

IFB No: IPRCL/MUMBAI/PROJECTS/JNPT/6/7/Tender Date: 22/08/2017

PROJECT:-

Construction of Formation, Track and Ancillary Works for Rail Connectivity in connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section, Maharashtra

Document No....

BIDDING DOCUMENTS

to

M/s.

For
Execution of

Construction of Formation, Track and Ancillary Works for Rail Connectivity in connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section, Maharashtra

Employer:

Indian Port Rail Corporation Ltd.,
4th Floor, Nirman Bhavan, Mumbai Port Trust Building,
M. P. Road, Mazgaon (E), Mumbai - 400 010
FAX: 022-6656 6336 EMAIL: iprclmumbai@gmail.com

TABLE OF CONTENTS

Preamble

Introduction

PART I: Bidding Procedures

Invitation for Bids

- **Section 1.** Instructions to Bidders (ITB)
- Section 2. Bid Data Sheet
- Section 3. Evaluation Criteria and Qualification Criteria
- Section 4. Bidding Forms

PART II: Works Requirements

- Section 5. Works Requirements:
 - (1) Safety Requirements
 - (2) General
 - (3) Site Facilities for the Employer and the Engineer
 - (4) Specifications
 - (5) Drawings

PART III: Conditions of Contract and Contract Forms

- **Section 6.** General Conditions of Contract (GCC)
- **Section 7.** Special Conditions of Contract (SCC)
 - (A) Contract Data
 - (B) Specific Provisions
- **Section 8.** Contract Forms

PART I

BIDDING PROCEDURES

INVITATION FOR BIDS

Section 1. Instructions to Bidders

Section 2. Bid Data Sheet

Section 3. Evaluation Criteria and Qualification Criteria

Section 4. Bidding Forms



Date:22/08/2017

INDIAN PORT RAIL CORPORATION LIMITED

NATIONAL COMPETITIVE BIDDING

INVITATION FOR BIDS

Bid Notice No. IPRCL/MUMBAI/PROJECTS/JNPT/6/7

Indian Port Rail Corporation Ltd., (IPRCL) having its Corporate office at 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai-400010, an SPV set up under Ministry of Shipping, as a Joint Venture Company between the Major ports under the Ministry of Shipping and Rail Vikas Nigam Ltd. under Companies Act 2013, invites bids under single stage two packet system for the work of "Construction of Formation, Track and Ancillary Works for Rail Connectivity in connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section, Maharashtra".

Name of Work	Approx. Estimated Cost of Project	Bid Security	Period of Completion	Cost of Bidding Document
Construction of Formation,	Rs.35.00	Rs. 70	18	Rs. 5000/-
Track and Ancillary Works for	Crores	Lakhs	(Eighteen)	
Rail Connectivity in connection	(Rupees		Months	
with Proposed Dry Port of	Thirty Five			
JNP <mark>T at Jalna on</mark> Aurangabad-	Crores only)			
Jalna Section, Maharashtra				

- 1. Bidders are advised to note the eligibility and minimum qualifying criteria specified in the Instruction to Bidders and Section 3 "Evaluation and Qualification Criteria" of bid document.
- 2. Bidding documents: The interested Bidders may purchase the bidding documents from the address in Sl.No. 9 below between 10:00 hrs and 17:00 hrs on all working days from 22/08/2017 to 09/10/2017 on payment of non-refundable cost of Rs. 5000/- (Rupees Five Thousand only) by a crossed Demand Draft on any Scheduled bank payable at Mumbai drawn in favour of Indian Port Rail Corporation Ltd., Mumbai. Bidding documents requested by Post will be dispatched by registered speed post/courier on payment of an extra amount of Rs. 2000/- (Rupees Rwo Thousand only). Indian Port Rail Corporation Ltd. will not be held responsible for the postal delay if any, in the delivery of the documents or non-receipt of the same.
- 3. Bids must be accompanied by a Bid Security of Rs. 70 Lakhs (Rupees Fifty Nine Lakhs only) in any one of the forms as specified in the bidding documents and shall have to be valid for minimum 42 days beyond the validity of the bid.

Preamble Introduction IPRCL

- 4. Bidding Documents can also be down loaded from JNPT website from 22/08/2017 to 10/10/2017 and the bids can be submitted on the down loaded document along with a separate demand draft towards the cost of bidding documents at the time of tendering in a separate envelope marked "Cost of Bidding documents" failing which the offer shall be summarily rejected.
- 5. Please note that if any drawings are referred in the bid document, the cost of such drawings shall be inclusive in the cost of bidding documents. For viewing the drawings and to have a copy of the same, the tenderer can contact this office on any working day. It will be the responsibility of the bidder who is submitting the bid on downloaded bidding documents to check and see any Addendum/Corrigendum issued in this regard from the website from time to time and ensure submission of bid along with all Addendum/Corrigendum."
- 6. Pre-bid Meeting: A pre-bid meeting will be held on 08/09/2017 at 15.00 hrs at IPRCL's Conference Room at 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai 400 010
- 7. **Purchase Preference:** Shall not apply to Central Public Sector Enterprises, as per extant rules in force.
- 8. Date of Receipt and opening of Bids: The completed Bids must be dropped in the nominated tender box or delivered to the address below not later than 15.00 hrs on 10/10/2017 and the same shall be opened at 15.30 hrs. on the same day in the presence of Bidders who choose to be present. IPRCL will not be responsible for any delays in receiving the Bidding documents by the Bidder or receipt of Bids by IPRCL.
- 9. Address for Communication: Interested eligible Bidders may obtain further information from the following address:

Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Fax No.: 022 - 6656 6336

Email: iprclmumbai@gmail.com

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

Section 2: Bid Data Sheet (BDS)

This section consists of provisions that are specific to each procurement and supplement the information or requirements included in Section I – Instructions To Bidders.

A. Introduction

ITB 1.1	The number of the Invitation for Bids is: IPRCL/MUMBAI/PROJECTS/JNPT/6/7 Date: 22/08/2017
ITB 1.1	The Employer is: INDIAN PORT RAIL CORPORATION LIMITED (IPRCL), MUMBAI The Principal Employer is: JAWAHARLAL NEHRU PORT TRUST (JNPT), Navi Mumbai
ITB 1.1	The name of the National Competitive Bidding is: "Construction of Formation, Track and Ancillary Works for Rail Connectivity in connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section, Maharashtra".

B. Bidding Documents

ITB 7.1	For <u>clarification purposes</u> only, the Employer's address is Sr Manager (Projects) Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Fax No.: 022 - 6656 6336 Email: <u>iprclmumbai@gmail.com</u>	
ITB 7.4	A Pre-Bid meeting will take place at the following date, time and place: Date: 08/09/2017	
7	Time: 15.00 hrs IPRCL's Conference Room at 4th floor Nirman Bhavan, Mumbai Port Trust Building, M.P.Road, Mazgaon (E), Mumbai- 400 010	

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

C. Preparation of Bids

ITB 10.1	The language of the bid is: English	
ITB 11.2	Alternative technical solutions are not permitted.	
ITB 13.1	Alternative bids will not be permitted.	
ITB 13.2	Alternative times for completion will not be permitted.	
ITB 14.5	The prices quoted by the Bidder shall be adjustable in accordance with the provisions in Sub Clause 13.8 of GCC, Section 7, Part B; Particular Conditions of Contract.	
ITB 15.1	The unit rates and the prices shall be quoted by the bidder entirely in Indian Rupees (INR)	
ITB 18.1	The bid validity period shall be at least 120 (One Hundred and Twenty only) days.	
ITB 19.1	The Bidder should submit along with the bid, a bid security for Rs. 70 Lakhs (Rupees Seventy Lakhs only)	
ITB 19.2	The bid security shall be valid up to minimum 42 days beyond the bid validity period	
ITB 20.1	In addition to the original of the bid, the number of copies is: NIL	
ITB 20.2	The written confirmation of authorization to sign on behalf of the Bidder shall consist of: (a) In case of Companies, a POA from the Director of the Company who has been authorized by the Board of Directors through resolution to sign on behalf of the Company (b) In case of firms Power of Attorney by the Proprietors.	

D. Submission and Opening of Bids

ITB 42	Mumbai					
ITB 25.2	Electronic bid opening procedure is Not permitted .					
	Time: 15:30 hrs.					
	Date: 10/10/2017					
	Bid Opening:					
	Fax: : 022 - 6656 6336					
	Pin Code : 400 010 Country :Indian Republic					
	City : Mumbai					
	Floor/Room No. : 4th Floor, Nirman Bhavan, Street Address : M. P. Road, Mazgaon (E),					
	Indian Port Rail Corporation Ltd.					
ITB 25.1	The bid opening shall take place at:					
ITB 22.2	Electronic bid submission is not permitted.					
	Bids received after 15.00 hrs on 10/10/2017 will be rejected and returned unopened at the same time.					
	Time: 15:00 hrs.					
	Date: 10/10/2017					
	The deadline for bid submission is:					
	Fax: : 022 - 6656 6336					
	Pin Code : 400 010 Country :Indian Republic					
	Street Address : M. P. Road, Mazgaon (E), City : Mumbai					
	Floor/Room No. : 4th Floor, Nirman Bhavan,					
	Indian Port Rail Corporation Ltd.					
	Managing Director,					

Table of Criteria

1.	Evaluation	. 2
1.1.	Adequacy of Technical Bid	. 2
1.2.	Multiple Contracts	. 2
1.3.	Completion Time	2
1.4.	Technical Alternatives	2
1.5.	Margin of Preference	2
1.5.1.	Purchase Preference to Central Public Sector Enterprises (CPSUs)	. 2
1.5.2.	Domestic Preference	2
2.	Qualification	3
2.1	Eligibility	3
2.2	Financial Status	3
2.3	Experience	4
2.3.1	Specific Construction Experience	4
2.4	Personnel	. 5
2.5	Equipment	. 5
2.6	Specialist sub-contractor	5

1. Evaluation

In addition to the criteria listed in ITB 35.2 (a)-(e), the following criteria shall apply:

1.1. Adequacy of Technical Bid

Evaluation of the Bidder's commitment to mobilize key equipment and personnel for the contract consistent with the requirements stipulated in Section 5: Works Requirements regarding work methods, scheduling, and material sourcing.

1.2. Multiple Contracts

Multiple Contracts are not envisaged.

1.3. Completion Time

Alternative Completion Time is not permitted.

1.4. Technical Alternatives

Technical alternatives are not permitted

1.5. Margin of Preference

1.5.1. Purchase Preference to Central Public Sector Enterprises (CPSEs)

Purchase Preference to CPSEs shall not apply.

1.5.2. Domestic Preference

Domestic Preference is not applicable.

2. Qualification

2.1 Eligibility (JV will not be permitted)

Criteria	Compliance Requirements	Documents Submission Requirements			
2.1.1 Conflict of Interes	t				
No Conflict of interest in accordance with ITB Sub-Clause 4.3.	Must meet requirement	Letter of Technical Bid (Form PS 1)			
		,			
2.1.2 Share of partners- D	DELETED				
·					
2.1.3 Disqualification of Bidder					
Not Disqualified under Clause 4.4 of ITB.	Must Meet Requirement	Letter of Technical Bid (Form PS 1) & Affidavit (Form PS 3)			

2.2 Financial Status

Criteria	Compliance Requirements	Documents Submission Requirements
2.2.1 Historical Financial Performan	ice	
Submission of audited balance sheets for the last three financial years (refer note below the table) to demonstrate the current soundness of the Bidders financial position with the following as minimum criteria;	Must meet requirement	Form FIN – 1 with attachments
 a) Average Profit After Tax (PAT) for last three financial years should be positive. b) Profit After Tax (PAT) should be positive for two financial years out of last three years. 		
c) The bidder should not be at default in repayment of dues to a financial institution or bank or debenture holders at the end the latest financial year for which audited balance sheet is available.		

2.2.2 Construction Turnover :				
2.2.2 Construction rumover.				
(i) The tenderer(s) shall be eligible only if he / they fulfill Eligibility Criteria of having received total contract amount during the last three financial years and in the current financial year with a minimum of 150% of the advertised tender value. (ii) Authentic Certificates shall be produced by the tenderer(s) to this effect which may be an attested Certificate from the employer / client, Audited Balance Sheet duly certified by the Chartered Accountant etc.	Must meet requirement	Form FIN – 2		
2.2.3 Net –Worth				
Minimum average Net-Worth (Total Assets – Total Liabilities) should be positive during the last three financial years.	Must meet requirement	Form FIN – 1		
2.2.4 Bid Capacity- DELETED				

Note: In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last three financial years after ignoring the latest concluded financial year. In case the bidder submits audited financial information for the last four or more years, only the figures for the <u>latest three years</u> shall be considered for evaluation.

2.3 Experience

Criteria		Compliance Requirements	Documents Submission Requirements
2.3.1 Specific Construction Experien	се		
		Must meet requirement	Form EXP - 2(a)

2.3.1 (b) Construction Experience in Key Activities (Executed during the last Seven years prior to the applications submission deadline)	DELETED	
Deleted	NA	Form EXP - 2(b)
		(DELETED)

Note:-

- (1) Deleted
- (2) Deleted
- (3) The experience of track linking work that shall be considered under this qualifying criteria must be a track linking work of **Railway including Metro Rail & Siding** but excluding LRT, Tramway & Mono Rail.

2.4 Personnel

The Bidder must give an undertaking to provide at least the personnel for the key position as given in Section 5: Works Requirement, if he is awarded the contract:

2.5 Equipment

The Bidder must give an undertaking to provide at least the key equipment for execution of the work as given in Section 5: Works Requirement, if he is awarded the contract.

2.6 Specialist Sub-contractor:-

If the bidder is not having experience of trackwork as per Item 2.3.1 (a), the bidder must give a separate undertaking that he will be deploying specialist subcontractor for each activity, if he is awarded the contract:

SI. No.	Key Activity	Minimum Experience Requirement (executed during the last Seven years to the application submission deadline) for the Bidder or the specialist subcontractor for execution of following key activities:
1	Track Laying and Linking works	Execution of track laying and linking work of atleast 5000 track meter in last three years.

Note:

(1) If the contract is awarded to the bidder and he himself does not have requisite experience as above, he is required to enter into legally enforceable agreement with the specialist subcontractor at least one month prior to scheduled starting of Key activity as per program submitted by him as contractor and agreed by the engineer. The agreement must specify the specific role and responsibility of the subcontractor.

- (2) Experience requirement of each key activity under the clause shall be adjudged in the same manner as described in Note (3) under Clause 2.3 above.
- (3) Name of specialist sub-contractor must be specified with his willingness and submitted alongwith Technical Bid.

IMPORTANT NOTE FOR SPECIAL ATTENTION OF BIDDERS:

EACH BIDDER SHOULD SUBMIT DETAILS/REFERENCES AS TO HOW THE ELIGILIBILITY CRITERIA IS BEING MET BY THE BIDDER AGAINST EACH ITEM OF EQC. THIS SHOULD BE PREPARED AND SUBMITTED IN THE PROFORMA "EQC FORM NO.1" ENCLOSED IN SECTION 4.

Section 4: Bidding Forms

This Section contains the forms which are to be completed by the Bidder and submitted as part of his Bid

Table of Forms

Letter of Technical Bid Form PS 1	2
Letter of Price Bid Form PS 2	. 3
Format for Affidavit (along with Bid) Form PS 3	4
Format for Affidavit (before opening of price bid) Form PS 4	7
Schedules.	
Form PC/1	8
Bid Security Form: BDF/1	9
Letter of Bid	
Form: JV/1	.12
Form: JV/2	14
Form: JV/3	.15
Draft MOU for JV	
Form: JV/4	.17
Form: JV/5	21
Bill of Quantities (BOQ)	.25
Bidders Qualification	26
Form ELI - 1: Bidder's Information Sheet	27
Form ELI - 2: JV Information Sheet	.28
Form FIN - 1: Financial Situation	.29
Form FIN - 2: Annual Construction Turnover for the last 5 years	.31
Form FIN - 3: Current Contract Commitment	32
Form EXP – 2(a): Specific Construction Experience	33
FOC Form No 1	34

Form:	PS	1
-------	----	---

	Form: PS 1	
	Letter of Technical Bid	
	Date:	
	Invitation for Bid No.:	
Го:		
Иe,	the undersigned, declare that:	
a)	We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Bidders (ITB) 8;	
b)	We offer to execute the Works in conformity with the Bidding Documents;	
c)	Our bid shall be valid for a period of days from the date fixed for the bid submission deadline in accordance with the Bidding Documents, and it shall remain binding upon us and	

- may be accepted at any time before the expiration of that period; If our bid is accepted, we commit to obtain a performance security in accordance with the (d) **Bidding Documents:**
- If our bid is accepted, we commit to deploy key equipment and key personnel consistent with the (e) requirements stipulated in Section 5: Works Requirements:
- (f) If our bid is accepted, we commit to submit work method statements for all major activities and get these approved from the engineer prior to commencing work on such activities. We also understand that the work shall be executed as per the approved method statements without any
- (g) We, including any subcontractors or suppliers for any part of the contract, do not have any conflict of interest in accordance with ITB 4.3;
- (h) We are not participating, as a Bidder or as a subcontractor, in more than one bid in this bidding process in accordance with ITB 4.3, other than alternative offers submitted in accordance with **ITB 13**;
- We declare that we are not liable to be disqualified in Accordance with ITB 4.4, and we are (i) enclosing the affidavit for the same as per the Performa given in the bid document.
- We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed: and
- We have not made any deviations from the requirement of the bidding document and we have (k) also not made any tampering or changes in the bidding documents on which the bid is being sub<mark>mitted and if any tampering or changes are detected at any stage, we understand the bid</mark> will invite summary rejection and forfeiture of bid security/the contract will be liable to be terminated along with forfeiture of performance security, even if LOA has been issued.
- We declare that the submission of this bid confirms that no agent, middleman or any (I) intermediary has been, or will be engaged to provide any services or any other item of work related to the award and performance of this contract. We further confirm and declare that no agency commission or any payment which may be construed as an agency commission has been, or will be, paid and that the bid price does not include any such amount. We acknowledge the right of the Employer, if he finds to the contrary, to declare our bid to be noncompliant and if the contract has been awarded to declare the contract null and void
- We understand that you are not bound to accept the lowest evaluated bid or any other bid that (m) you may receive.

Name	
In the capacity of	
Signed	
Duly authorized to sign the Bid for and on behalf of	
-	Date

Section 4: Bidding Forms Page 2 of 34

Form: PS 2

Letter of Price Bid

	Date:
	Invitation for Bid No.:
To:	
We,	, the undersigned, declare that:
(a)	We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Bidders (ITB) 8;
(b)	We offer to execute the Work in conformity with the Bidding Documents;
(c)	The total price of our Bid, excluding any discounts offered in item (d) below is
(d)	The discounts offered and the methodology for their application (see note below) are
(e)	We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed; and
(f)	We have not made any deviations from the requirement of the bidding document and we have also not made any tampering or changes in the bidding documents on which the bid is being submitted and if any tampering or changes are detected at any stage, we understand the bid will invite summary rejection and forfeiture of bid security/the contract will be liable to be terminated along with forfeiture of performance security, even if LOA has been issued.
(g)	We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.
Nan	ne
In th	ne capacity of
	ned
Duly	y authorized to sign the Bid for and on behalf of
Date	•

Note: The discounts, and the methodology of their application, should be quoted on prices quoted in the bid so that the discounts can be evaluated by simple arithmetic calculation during financial evaluation of the bids, to arrive at the net total price of the bid. If the net total price cannot be calculated after application of the methodology of the discount(s) quoted, the bid shall be considered as incomplete and will be rejected.

Form: PS 3

FORMAT FOR AFFIDAVIT TO BE SUBMITTED BY BIDDER ALONGWITH THE BID

(To be executed in presence of Public Notary on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper has to be in the name of the bidder)**

I	(Name	and	designation	<i>)</i> **	appointed	as	the
attorney/au	uthorized si	gnatory	of the bidder	(including	j its constitu	ents),	M/s.
	(hereinafte	r called	the bidder) for	or the purp	pose of the	Bid for	r the
work of	6	as per t	he bid No		of IPRCL,	do he	reby
solemnly a	iffirm and s	tate on	behalf of the	bidder in	cluding its c	onstitu	ents
as under:							

- *1. That the bidder or any of its constituents has not been Blacklisted/ banned for business dealings for all Government Departments or by Ministry of Railways or by JNPT at any time and/or no such blacklisting is in force as on the deadline for submission of bids.
- *2 That none of the previous contracts of the bidder or any of its constituents had been terminated/rescinded for Contractor's failure by JNPT during the period of last 2 years before the deadline for submission of bids.
 - (Add Proviso of Clause 4.4(b) (ITB suitably, if any Contract was so terminated).
- The bidder or any of its constituents has not been imposed liquidated damages of 5% or more of contract value by JNPT due to delay in the implementation of any previous contract (either in the capacity of a single entity or as constituent of any other JV) within the period of last 2 years before the deadline for submission of bid [2 years shall be reckoned from the date on which imposed L.D. has exceeded 5% of the contract price] and there are no such accrued delay damages which has not been fully recovered before the deadline for submission of bids on account of contractor's request for deferring recovery to maintain cash flow and IPRCL has acceded to the same in the interest of the project and the work under the previous contract in question has been completed before the deadline for submission of bid, unless imposition of such delay damages has been set aside by the Competent Authority.
- That the Bidder or any of its constituents is neither Bankrupt/Insolvent nor is in the process of winding-up nor such a case is pending before any Court on the deadline of submission of the bid.
- *5 That the name of the Bidder or any of its constituents is not on the list of "Poor Performer" of JNPT as on the deadline for submission of bid.

Section 4: Bidding Forms Page 4 of 34

- 6. We declare that the bidder or any of its constituents have not either changed their name or created a new business entity as covered by the definition of "Allied Firm" under para 1102 (iii) of chapter XI of Vigilance manual of Indian Railways with latest amendments and corrections (available on website of Indian Railways), or as per Vigilance Rules of Ministry of Shipping or Central Vigilance Commission, consequent to having been banned business dealings for specified period which is not over or suspended business dealings or having been declared as poor performer.
- 7.* We declare and certify that balance sheets for last three financial years including that for the latest concluded financial year are being submitted.

OR
We declare and certify that balance sheet for the latest concluded financial year has not been finalized till date and accordingly financial data for last

three financial years ignoring the latest concluded financial year is being furnished.

- (# Delete whichever is not applicable)**.
- 8. We declare and certify that we have not made any misleading or false representation in the forms, statements and attachments in proof of the qualification requirements.
- 9. We declare that the information and documents submitted along with the tender by us are correct and we are fully responsible for the correctness of the information and documents, submitted by us.
- 10. We understand that in case we cease to fulfill the requirements of qualifying and eligibility criteria at any time after opening of bids and till finalization of bids, it will be our bounden duty to inform the Employer of our changed status immediately and in case of our failure to do so, our bid shall be rejected and bid security shall be forfeited. In case such failure comes to the notice of Employer at any time after award of the contract, it will lead to termination of the contract and forfeiture of Bid or Performance Security. We shall also be liable for Banning of Business dealings upto a period of five years.
- 11. We understand that if the contents of the affidavit are found to be false at any stage during bid evaluation, it will lead to rejection of our bid and forfeiture of the bid security. Further, we **[insert name of the bidder]****____ and all our constituents understand that we shall be liable for banning of business dealings upto a period of five years.
- 12. We also understand that if the contents of the affidavit are found to be false at any time after the award of the contract it will lead to termination of the contract, forfeiture of Bid or Performance Security and Banning of Business dealings for a period of upto five years.

Section 4: Bidding Forms Page 5 of 34

	SEA	L AND S	IGNATURE OF THE BIDDER
Verification:			
Verified on	day of	at	that the contents o
the above mentioned	affidavit are true	and cor	rect and nothing material has
been concealed there	from.		

SEAL AND SIGNATURE OF THE BIDDER

*Modify the contents wherever necessary, in terms of sub-clause 4.4 ITB.

** The contents in Italics are only for guidance purpose and details as appropriate, are to be filled in suitably by Bidder.

Attestation before Magistrate/Public Notary



Section 4: Bidding Forms Page 6 of 34

Form: PS 4

DELETED



Section 4: Bidding Forms Page 7 of 34

Form: PC/1

Schedules

Schedule of Payment Currencies

(Refer Sub Clause 14.15)

DELETED



Section 4: Bidding Forms Page 8 of 34

Form: BDF/1

Bid Security Bank Guarantee

(On non-judicial stamp paper of the appropriate value in accordance with Stamp Act. The stamp paper should be in the name of the Executing Bank).

From:
[Insert Bank's Name, and Address of Issuing Branch or Office]
To: Managing Director, Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Ph.No.: 022 – 6656 6335
Beneficiary: Indian Port Rail Corporation Ltd., (hereinafter called "the Employer")
Date:
Bid Security No.:
We have been informed that
1. KNOW ALL MEN that by these present that I/We the undersigned [Insert name(s) of authorized representatives of the Bank], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee to pay to the Employer full amount in the sum of [Insert Value of Bid Security required] as above stated.
2. The Bank undertakes to immediately pay on presentation of demand by the Employer any amount up to and including aforementioned full amount without any demur, reservation or recourse. Any such demand made by the Employer on the Bank shall be final, conclusive and binding, absolute and unequivocal on the Bank notwithstanding any disputes raised/ pending before any Court,

Section 4: Bidding Forms Page 9 of 34

Tribunal, Arbitration or any Authority or any threatened litigation by the Bidder or Bank.

- 3. The Bank shall pay the amount as demanded immediately on presentation of the demand by Employer without any reference to the Bidder and without the Employer being required to show grounds or give reasons for its demand of the amount so demanded.
- 4. The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Bidder.
- 5. The Bank agrees that no change, addition, modifications to the terms of the Bid document or to any documents, which have been or may be made between the Employer and the Bidder, will in any way absolve the Bank from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification made by Employer at any time.
- 7. The Bank Guarantee is unconditional and irrevocable.
- 8. The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the Employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No.758.
- 10. The Guarantee shall be valid in addition to and without prejudice to any other security Guarantee (s) of Bidder in favour of the Employer. The Bank, under this Guarantee, shall be deemed as Principal Debtor of the Employer.

Bank"s Seal and authorized signature(s) [Name in Block letters][Designation]
[P/Attorney] No.

Witness:

1 Signature Name & Address & Seal

Section 4: Bidding Forms

2 Signature

Name & address & Seal

Bank"s Seal

[P/Attorney] No.

Note: All italicized text is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.



Section 4: Bidding Forms

Form: JV/1

NOT APPLICABLE

PRO-FORMA LETTER OF PARTICIPATION FROM EACH PARTNER OF JOINT VENTURE (JV)

(On each Firm's Letter Head)

No	Dated
From:	
To, Managing Director, Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Ph.No.: 022 – 6656 6335 Gentlemen,	
	,,
Re: [Insert name of work]	
Ref: Your notice for Invitation for Bid (IFB)	
We wish to confirm that our company/firm (dele Venture by name of with for the purpose	
(Members who are not the lead partner of the JV	should add the following paragraph)*.
 "The JV is led by whom we hereby author of submission of Bid for and a instructions for and on behalf of any and a Venture." 	uthorise to incur liabilities and receive
OR	
(Member(s) being the lead member of the group s	should add the following paragraph)*
2. "In this group we act as leader and, for the represent the Joint Venture:"	purposes of applying for qualification,
In the event of our group being awarded the confidence of other members of our JV) a Corporation Ltd.,, its successors and as	nd severally liable to the Indian Port Rail

Section 4: Bidding Forms Page 12 of 34

3.

* Delete as applicable

responsibilities arising from or imposed by the contract subsequently entered into between Indian Port Rail Corporation Limited and our JV.

4. *I/We, further agree that entire execution of the contract shall be carried out exclusively through the lead partner.

Yours faithfully,	
(Signature)	
(Name of Signatory) (Capacity of Signatory)	



Section 4: Bidding Forms Page 13 of 34

Form: JV/2

NOT APPLICABLE

FORMAT FOR POWER OF ATTORNEY FOR AUTHORISED SIGNATORY OF JOINT VENTURE (JV) PARTNERS

POWER OF ATTORNEY*

(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)

Know all men by these presents, we ... do hereby constitute, appoint and authorise Mr/Ms. who is presently employed with us and holding the position ofas our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our bid for the work of ...Including signing and submission of all documents and providing information/responses to Indian Port Rail Corporation Limited, representing us in all matters, dealing with Indian Port Rail Corporation Limited in all matters in connection with our bid for the said project.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

Witness 2: Name:
Address:
Occupation:

*Notes:

- i) To be executed by all the partners individually, in case of a Joint Venture.
- ii) The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.

Section 4: Bidding Forms Page 14 of 34

Form: JV/3

NOT APPLICABLE

FORMAT FOR POWER OF ATTORNEY TO LEAD PARTNER OF JOINT VENTURE (JV)

(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)

POWER OF ATTORNEY1

Whereas Indian Port Rail Corporation Limited has invited Bids for the work of

Whereas, the members of the Joint Venture comprising of M/s. ..., M/s., and M/s. are interested in submission of bid for the work of ...[Insert name of work]... in accordance with the terms and conditions contained in the bidding documents.

Whereas, it is necessary for the members of the Joint Venture to designate one of them as the Lead Partner, with all necessary power and authority to do, for and on behalf of the Joint Venture, all acts, deeds and things as may be necessary in connection with the Joint Venture's bid for the project, as may be necessary in connection the Joint Venture's bid for the project.

NOW THIS POWER OF ATTORNEY WITNESSETH THAT: We, M/s., hereby designate M/s., being one of the partners of the Joint Venture, as the lead partner of the Joint Venture, to do on behalf of the Joint Venture, all or any of the acts, deeds or things necessary or incidental to the Joint Venture's bid for the contract, including submission of bid, participating in conferences, responding to queries, submission of information/ documents and generally to represent the Joint Venture in all its dealings with the Railway or any other Government Agency or any person, in connection with the contract for the said work until culmination of the process of bidding till the contract agreement is entered into with the Indian Port Rail Corporation Limited and thereafter till the expiry of the contract agreement. We hereby agree to ratify all acts, deeds and things lawfully done by lead member, our said attorney, pursuant to this power of attorney and that all acts deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us/ Joint Venture. Dated this the Day of 20..... (Signature)

Section 4: Bidding Forms Page 15 of 34

(Name in Block letters of Executant) Seal of Company

Witness 1: Witness 2: Name: Name: Address: Address: Occupation: Occupation:

Notes:

- 1. To be executed by all the Partners of the JV except the lead Partner.
- 2. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.



Section 4: Bidding Forms Page 16 of 34

Form: JV/4

NOT APPLICABLE

DRAFT MEMORANDUM OF UNDERSTANDING (MOU²) For JOINT VENTURE PARTICIPATION BETWEEN

M/s (hereinafter referred to as) acting as the Lead Partner of the first part,
and
M/s
The expressions of
WHEREAS:
Indian Port Rail Corporation Limited (IPRCL) [hereinafter referred to as "Employer"] has invited bids for "[Insert name of work]"
NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:
 The following documents shall be deemed to form and be read and construed as an integral part of this MOU. i) Notice for Bid, and ii) Bidding document iii) Any Addendum/Corrigendum issued by Indian Port Rail Corporation Limited iv) The bid submitted on our behalf jointly by the Lead Partner.
2. The `Parties" have studied the documents and have agreed to participate in submitting a bid jointly in the name of
3. M/sshall be the lead member of the JV for all intents and purpose and shall represent the Joint Venture in its dealing with the Employer. For the purpose of submission of bid proposals, the parties agree to nominate as the leader duly authorized to sign and submit all documents and subsequent clarifications, if any, to the Employer. However M/s shall not submit any such proposals, clarifications or commitments before securing the written clearance of the other partner which shall be expeditiously given by M/s to M/s
4 The Parties" have resolved that the distribution of share and responsibilities between

_

the JV partners (Bidder shall ensure that the assigned responsibilities are commensurate with the EQC requirement of key activities being met by the respective JV partners under Clause 2.3.1: Specific Construction Experience duly

 $^{^{2}}$ In case of existing joint venture, the certified copy of JV Agreement be furnished.

indicating the schedule/bill nos. of BOQ for such responsibilities, failing which the bid may be rejected) is as under:-

(a)	Lead Partner share%;
	Responsibilities
	(I) Key Activities
	(i) (ii)
	(iii)
	(II) BOQ Schedule/Bill No. (i)
	(ii)
	(iii)
(b)	Joint Venture Partner share%;
	Responsibilities
	(I) Key Activities
	(i) (ii)
	(ii) (iii)
	(II) BOQ Schedule/Bill No.
	(i)
	(ii)
	(iii)
(c)	Joint Venture Partner share%;
	Responsibilities
	(I) Key Activities
	(i)
	(ii) (iii)
	(II) BOQ Schedule/Bill No.
	(i)
	(ii) (iii)
	(111)

Note: In case any Bill or items of a Bill are proposed to be executed by more than one JV partner then indicate the breakup of that Item/Bill no. for each JV partner.

5. JOINT AND SEVERAL RESPONSIBILITY

The Parties undertake that they shall be jointly and severally liable to the Employer in the discharge of all the obligations and liabilities as per the contract with the Employer and for the performance of contract awarded to their JV.

Section 4: Bidding Forms Page 18 of 34

6. ASSIGNMENT AND THIRD PARTIES

The parties shall co-operate throughout the entire period of this MOU on the basis of exclusivity and neither of the Parties shall make arrangement or enter into agreement either directly or indirectly with any other party or group of parties on matters relating to the Project except with prior written consent of the other party and the Employer.

7. EXECUTIVE AUTHORITY

The said Joint Venture through its authorized representative shall receive instructions, payments from the Employer. The management structure for the project shall be prepared by mutual consultations to enable completion of project to quality requirements within permitted cost and time.

8. GUARANTEES AND BONDS

The Bid Security of a JV shall be in the name of the JV that submits the bid. If the JV has not been legally constituted at the time of bidding, the Bid Security shall be in the names of all future partners through which JV is intended to be formed.

9. BID SUBMISSION

Each Party shall bear its own cost and expenses for preparation and submission of the bid and all costs until conclusion of a contract with the Employer for the Project. Common expenses shall be shared by both the parties in the ratio of their actual participation.

10. INDEMNITY

Each party hereto agrees to indemnify the other party against its respective parts in case of breach/default of the respective party of the contract works of any liabilities sustained by the Joint Venture.

11. For the execution of the respective portions of works, the parties shall make their own arrangements to bring the required finance, plants and equipment, materials, manpower and other resources.

12. DOCUMENTS & CONFIDENTIALITY

Each Party shall maintain in confidence and not use for any purpose related to the Project all commercial and technical information received or generated in the course of preparation and submission of the bid.

13. ARBITRATION

Any dispute, controversy or claim arising out of or relating to this MOU shall be settled in the first instance amicably between the parties. If an amicable settlement cannot be reached as above, it will be settled by arbitration in accordance with the Indian Arbitration and Conciliation Act 1996 or any amendments thereof. The venue of the arbitration shall be Mumbai.

14. VALIDITY

This MOU shall remain in force till the occurrence of the earliest to occur of the following, unless by mutual consent, the Parties agree in writing to extend the validity for a further period.

- a. The bid submitted by the Joint Venture is declared unsuccessful, or
- b. Cancellation/ shelving of the Project by the Employer for any reasons prior to award of work
- c. Execution of detailed JV agreement by the parties, setting out detailed terms after award of work by the Employer.

Section 4: Bidding Forms

Other Partner

and

15. This MOU is drawn in .	number of copies with equa	al legal strength and status.	One
copy is held by M/s	and the other by M/s	,M/s& M/s and a	сору
submitted with the bid.	•		

16. This MOU shall be construed under the laws of India.

17. NOTICES BETWEEN JV PARTNERS

Lead Partner

Notices shall be given in writing by fax confirmed by registered mail or commercial courier to the following fax numbers and addresses:

(Name & Address)	(Name & Address)
IN WITNESS WHEREOF THE PARTIES, year first before written.	have executed this MOU the day, month
M/s(Seal)	M/s(Seal)
Witness	
1(Name & Address) 2(Name & Address)	

Section 4: Bidding Forms Page 20 of 34

(AUTANOADAD JALINA SECTION)

Form: JV/5

NOT APPLICABLE

DRAFT FORMAT OF JOINT VENTURE AGREEMENT

M/s
and
M/shaving its registered office at (hereinafter referred to as `") in the capacity of a Joint Partner of the other part.
The expressions of
WHEREAS:
Indian Port Rail Corporation Limited (IPRCL) [hereinafter referred to as "Employer"] has invited bids for "[Insert name of work]" Vide LOA Noawarded contract. NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:
 The following documents shall be deemed to form and be read and construed as an integral part of this AGREEMENT. Notice for Bid, and Bidding document Any Addendum/Corrigendum issued by Indian Port Rail Corporation Limited The bid submitted on our behalf jointly by the Lead Partner. Letter of Acceptance issued by Indian Port Rail Corporation Ltd.
2. The `Parties" have studied the documents and LOA issued to enter into Joint Venture as under and have agreed to participate
3. M/sshall be the lead member of the JV for all intents and purpose and shall represent the Joint Venture in its dealing with the Employer. For the purpose of execution, the parties agree to nominate as the leader duly authorized to sign and submit all documents and enter into correspondence with the Employer.

Section 4: Bidding Forms Page 21 of 34

4.. The "Parties" have resolved that the distribution of share and responsibilities between the JV partners (Bidder shall ensure that the assigned responsibilities are commensurate with the EQC requirement of key activities being met by the respective JV partners under Clause 2.3.1: Specific Construction Experience duly indicating the schedule/bill nos. of BOQ for such responsibilities, failing which the

bid may be rejected) is as under:-

(a)	Lead Partner share% ;
	Responsibilities
	(I) Key Activities
	(i) (ii)
	(iii)
	(II) BOQ Schedule/Bill No. (i)
	(ii)
	(iii)
(b)	Joint Venture Partner share% ;
	Responsibilities
	(I) Key Activities
	(i)
	(ii) (iii)
	(II) BOQ Schedule/Bill No.
	(i)
	(ii)
	(iii)
(c)	Jo <mark>int Venture P</mark> artner share% ;
	Responsibilities
	(I) Key Activities
	(i)
	(ii) (iii)
	(II) BOQ Schedule/Bill No.
	(i)
	(ii)
	(iii)

Note: In case any Bill or items of a Bill are proposed to be executed by more than one JV partner then indicate the breakup of that Item/Bill no. for each JV partner.

5. JOINT AND SEVERAL RESPONSIBILITY

The Parties undertake that they shall be jointly and severally liable to the Employer in the discharge of all the obligations and liabilities as per the contract with the Employer and for the performance of contract awarded to their JV.

6. ASSIGNMENT AND THIRD PARTIES

The parties shall co-operate throughout the entire period of this AGREEMENT on the basis of exclusivity and neither of the Parties shall make arrangement or enter into agreement either directly or indirectly with any other party or group of parties on matters relating to the Project except with prior written consent of the other party and the Employer

Section 4: Bidding Forms Page 22 of 34

7. EXECUTIVE AUTHORITY

The said Joint Venture through its authorized representative shall receive instructions, payments from the Employer. The management structure for the project shall be prepared by mutual consultations to enable completion of project to quality requirements within permitted cost and time.

8. GUARANTEES AND BONDS

The Performance Security and other Securities of a JV shall be in the name of the JV that submits the bid.

9. BID SUBMISSION

Each Party shall bear its own cost and expenses for preparation and submission of the bid and all costs until conclusion of a contract with the Employer for the Project. Common expenses shall be shared by both the parties in the ratio of their actual participation.

10. INDEMNITY

Each party hereto agrees to indemnify the other party against its respective parts in case of breach/default of the respective party of the contract works of any liabilities sustained by the Joint Venture.

11. For the execution of the respective portions of works, the parties shall make their own arrangements to bring the required finance, plants and equipment, materials, manpower and other resources.

12. DOCUMENTS & CONFIDENTIALITY

Each Party shall maintain in confidence and not use for any purpose related to the Project all commercial and technical information received or generated in the course of preparation and submission of the bid.

13. ARBITRATION

Any dispute, controversy or claim arising out of or relating to this agreement shall be settled in the first instance amicably between the parties. If an amicable settlement cannot be reached as above, it will be settled by arbitration in accordance with the Indian Arbitration and Conciliation Act 1996 or any amendments thereof. The venue of the arbitration shall be Mumbai.

14. VALIDITY

This Agreement shall remain in force till the defect liability period is over and Securities are released.

- 15. This AGREEMENT is drawn in number of copies with equal legal strength and status. One copy is held by M/s and the other by M/s. &M/s and a copy submitted with the bid.
- 16. This AGREEMENT shall be construed under the laws of India.

17. NOTICES BETWEEN JV PARTNERS

Notices shall be given in writing by fax confirmed by registered mail or commercial courier to the following fax numbers and addresses:

Section 4: Bidding Forms Page 23 of 34

Lead Partner.	Other Partner
(Name & Address)	(Name & Address)
IN WITNESS WHEREOF THE PARTIES, month and year first before written.	have executed this AGREEMENT the day,
M/s	M/s
(Seal)	(Seal)
Witness 1(Name & Address)	
2 (Name & Address)	



Section 4: Bidding Forms Page 24 of 34

Bill of Quantities (BOQ)

For Bill of Quantities, Please refer Volume-II



Section 4: Bidding Forms Page 25 of 34

Bidders Qualification

To establish its qualifications to perform the contract in accordance with Section 3 (Evaluation and Qualification Criteria) the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.



Section 4: Bidding Forms Page 26 of 34

Form ELI - 1: Bidder's Information Sheet

	Bidder's Information
Bidder's legal name	
Bidder's country of constitution	
Bidder's year of constitution	
Bidder's legal address in country of constitution	ORRADA
Bidder's authorized representative	ALL COULT ON AR
(name, address, telephone numbers, fax numbers, e-mail address)	

The bidder shall attach copies of the following original documents with the form:

- 1. In case of single entity, articles of incorporation or constitution of the legal entity named above, in accordance with ITB 4.1 and 4.2.
- 2. Authorization to represent the firm in accordance with ITB 20.2.

Section 4: Bidding Forms Page 27 of 34

Form ELI - 2: JV Information Sheet NOT APPLICABLE

Each member of a JV must fill in this form separately

	JV / Specialist Subcontractor Information
Bidder's legal name	
JV Partner's or Subcontractor's legal name	
JV Partner's or Subcontractor's country of constitution	CORPOR
JV Partner's or Subcontractor's year of constitution	
JV Partner's or Subcontractor's legal address in country of constitution	
JV Partner's or Subcontractor's authorized representative information (name, address, telephone numbers, fax numbers, e-mail address)	

The bidder shall attach copies of the following original documents with the form:

- 1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITB 4.1 and 4.2.
- 2. Authorization to represent the firm named above, in accordance with ITB 20.2.

Section 4: Bidding Forms Page 28 of 34

Form FIN-1: Financial Situation

(Bidder must fill in this form)

NAME OF BIDDER:

	Financial Data fo	r Last 3 Years [Indian	National Rupees]
	Year 1:	Year 2:	Year 3:
1. Total Assets			
2. Current Assets			
3. Total Liabilities			
4. Current Liabilities			
5. Net Worth [= 1 – 3]			
6. Working Capital [= 2 - 4]	CORF	ORAN	
7. Profit After Tax (PAT)			
8. Average Profit After Tax (PAT) for last three financial years (= average of [7] for year 1, year 2 & year 3)			
9. Whether the bidder was at default in repayment of dues to a financial institution or bank or debenture holders at the end the latest financial year for which audited balance sheet is available (Yes/No).			

SEAL AND SIGNATURE OF THE BIDDER

Certified that all figures and facts submitted in this form have been furnished after full consideration of all observations/notes in Auditor's reports.

Section 4: Bidding Forms Page 29 of 34

(Signature of CA/Audit	tor)
Name of CA/Auditor:	
Registration No:	
	eal)

1. The bidder shall attach copies of the following original documents with the form:

Copies of the audited balance sheets, including all related notes, and income statements for the last three years, as indicated above, complying with the following conditions.

- All such documents reflect the financial situation of the Bidder and not sister or parent companies.
- Historic financial statements must be audited by a Chartered Accountant.
- Historic financial statements must be complete, including all notes to the financial statements.
- Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
- 2. Contents of this form should be certified by a Chartered Accountant/Auditor.
- 3. In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last three financial years after ignoring the latest concluded financial year. In case the bidder submits audited financial information for the last four or more years, only the figures for the latest three years shall be considered for evaluation.

Section 4: Bidding Forms Page 30 of 34

Form FIN-2: Total Construction Turnover for the last 3 years

Each Bidder must fill in this form:

NAME OF BIDDER:

	Annual Turnover Data for the Last 3 Years (Construction only)					
Year	Amount Currency	Exchange Rate	Indian National Rupees Equivalent			
		ODDO-				
		WIII URA				
	Total Construction	Turnover for last 3 years				

- 1. The information supplied shall be substantiated by data in the audited balance sheets and profit and loss accounts for the relevant years and submitted as attachments to form Fin-1 in respect of the bidder or all partners constituting the bidder.
- 2. Contents of this form should be certified by a Chartered Accountant/Auditor.
- 3. In the event that the audited accounts for the latest Financial Year are not available, the Bidder shall furnish information pertaining to last three financial years after ignoring the latest financial year. In case the bidder submits audited financial information for the last four or more years, only the figures for the latest three years shall be considered for evaluation.

Section 4: Bidding Forms Page 31 of 34

Form FIN-3: Current Contract Commitments / Works in Progress

Bidders should provide information on their current commitments on all contract that have been awarded, or which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Contract Commitments

SN	Description of work	Contract No. & date	Name & address of Employer, Tel./Fax/ Email	Value of Contract in INR	Stipulated Period of completion	Value of Balance work	Anticipated date of Completion
1							
2							
			r_{CO}	HP O	BAIN		
	/	(G)					
	É		Total		1		

Note: Enclose Certificate(s) from Engineer(s) Incharge (not below the rank of Executive Engineer) for Value of outstanding work. In case it is not feasible to furnish certificate from all the units the bidder should record the following certificate on Fin 3:

"Certified that current commitments on all the contracts that have been awarded or for which a letter of intent or acceptance has been received or for the works in progress or the works approaching completion, value of outstanding work has been indicated in the above table correctly. It is further certified that if later on the employer discovers that information provided in the table is incorrect then the employer will treat our bid invalid and it will be liable for rejection"

Section 4: Bidding Forms Page 32 of 34

Form EXP-2 (a): Specific Construction Experience

Fill up one form per contract

Contract of Similar Size and Nature					
Contract No.		Contract Identification			
Award Date		Completion Date			
Role in Contract	☐ Contractor		☐ Subcontractor		
Total Contract Amount	INR				
If partner in a JV or subcontractor, specify participation in total contract amount	Doroont of Total	Amou	unt: INR		
Employer's Name, Current Address and Current Telephone/Fax Number, E-mail	urrent Address and urrent Telephone/Fax				
Description of			teria 2.3.1 (a) of Section 3		

The bidder shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished in each Exp (2a) form, failing which the claim of the bidder shall be liable to be rejected (in case of experience as a sub-contractor, the employer shall be the owner of the Project who has engaged the main Contractor).

Section 4: Bidding Forms Page 33 of 34

EQC FORM NO.1

Clause No	Clause heading	Details of value of work/quantity of activity and References (Folio No.) of Documents through which criteria against each item is being met.
2	Qualification	
2.1.1	Conflict of Interest	
2.1.2	Share of partners	
2.1.3	Disqualification of Bidder	
2.2	Financial Status	
2.2.1	Historical Financial Performance	
2.2.2	Average Annual Construction Turnover	
2.2.3	Net Worth	
2.2.4	Bid Capacity	
2.3	Experience	DDO.
2.3.1	Specific Construction Experience	THE ORDER
(a)	Contracts of Similar Size and Nature	
(I)	Single Contract	
(II)	Two Contracts	
(b)	Construction Experience in Key Activities	
(i) (a)	Earth work	
(b)	Bridge work	
(ii)	Track linking	
(iii)	OHE work	
(iv)	S&T	
2.4	Personnel (Undertaking submitted)	
2.5	Equipment (Undertaking submitted)	
2.6	Specialist sub-contractor (undertaking submitted)	
1)	General Electrical works	
2)	Supplying and stacking of ballast	

Section 4: Bidding Forms Page 34 of 34

Section 5 – Works/Employers Requirements

This Section contains the Specification, the Drawings, and supplementary information that describe the Work to be procured.

INDEX

S. No.	Description	Pages
1	Safety and Security	2 to 8
2	General Information and Scope of work	9 to 22
3	Site Facilities for the Employer and the Engineer	22 to 27
4	Specifications	28 to 30
5	Personnel	31
6	Equipments	32 to 33
7	Bid Drawings (Index plan, Typical Earthwork section, Drawings, Soil data, etc.)	33

1. Safety and Security

- 1.1.1 The works included in this contract are to be carried out close to the running tracks and public utilities, in some stretches, therefore, safety of running trains and the public is paramount. Therefore, all activities undertaken by the Contractor / his Subcontractors shall ensure safety at all times. The contractor shall comply with the instructions issued by the Railway / Engineer / Employer from time to time to ensure safe running of trains while carrying out works. The rates quoted by the Contractor shall be deemed to include all expenditure incurred in compliance with the same.
- 1.1.2 Before starting any excavation work adjacent to existing track, the contractor shall ensure that necessary permissions has been obtained and required precautions have been taken for doing such work in terms of Railway's Latest Joint Procedure Order.
- 1.2.1 In case damage caused to OFC/Quad cable or Electrical cable during execution of the work, the contractor is liable to pay a penalty for damaging the cable. Penalty shall not be levied in case of the following:
 - i). Detailed cable route plan as per clause C-1 not provided by concerned department or cable is not protected as per laid down procedures.
 - ii). The alignment of the cable does not tally with the information provided to the contractor.
 - iii). The cable depth is found to be less than 800 mm from normal ground level.
 - iv). No representative of S&T department/RailTel was available at site guarding the cables on the fixed pre determined date and time.
- 1.2.2 Penalty to be imposed for damages to cable shall be as under:-

Cable damaged	Penalty per location
Only Quad cable or Signalling cable	Rs.1.0 Lakh
Only OFC	Rs.1.25 Lakh
Both OFC & Quad	Rs.1.5 Lakh
Electrical Cable	Rs.1.0 Lakh

Necessary debit in this regard shall be raised on the department undertaking the work who shall in turn levy the penalty on the defaulting contractor. S&T department shall raise the debits in case of damage to OFC or Quad or Signaling cable and Electrical department shall raise the debits in case of damage to Electrical cable.

1.3. Working near running line

- 1.3.1 The contractor shall not allow any road vehicle belonging to him or his suppliers etc. to ply in railway land next to the running line. If for execution of certain works viz earth work for parallel railway line and supply of ballast for new or existing rail line, gauge conversion etc, road vehicles are necessary to be used in railway land next to the railway line, the contractor shall apply to the Engineer for permission giving the type & no. of individual vehicles, names & license particulars of the drivers, location, duration & timings for such work/movement. The Railways/Port/Employer/ Engineer or his authorized representative will personally counsel, examine & certify, the road vehicle drivers, contractor's flag men & supervisor and will give written permission giving names of road vehicle drivers, contractor's flag men and supervisor to be deployed on the work, location, period and timing of the work. This permission will be subject to the following obligatory conditions:
- 1.3.2 Road vehicles can ply along the track after suitable cordoning of track with minimum distance of 6 meters from the centre of the nearest track. For working of machinery close to the running tracks or plying of road vehicles during night hours, the contractor shall apply to the Engineer in writing for permission, duly indicating the site details in a neat sketch and safety measures proposed to be taken. Subject to the approval of concerned Railway/Port authorities, the Engineer or his authorised representative will communicate permission to the contractor / contractor's representative. The contractor and his men shall strictly adhere to the instructions given along with such permissions.
- 1.3.3 Nominated vehicles and drivers shall be utilized for work in the presence of at least one flag man and one supervisor certified for such work. In order to monitor the activities during night hours, additional staff may have to be posted based on the need of the individual site.
- 1.3.4 The Contractor" machinery, equipment and vehicles shall normally operate 6 m clear of track. Any movement / work at less than 6m and upto a minimum of 3.5m clear of track centre, shall be carried out only in the presence of a person (including any railway employee) authorized by the Engineer. No part of the road vehicle shall be allowed at less than 3.5m from track centre. Cost of such railway employee shall be borne by the Employer.
- 1.3.5 The Contractor's machinery and equipment like Cranes, Flash Butt Welders, Ballasting machinery, Compactors, Track Laying Systems etc., are required to operate close to the existing line carrying traffic. Contractor is fully responsible for operating these machinery without endangering the safety of the running line and traffic.

1.3.6 <u>Safety Fencing</u>:

- (i) Before commencing any work close to the running track, the Contractor shall provide safety fencing and obtain the specific permission of Engineer to commence the work in that stretch.
- (ii) The fencing shall be for a height of 1.5 meters with wooden/Casurina balli posts of length 2.1 m at 3 m centre to centre spacing as per relevant BOQ item.

- (iii) The Contractor shall maintain the safety fencing in good working condition through out the period till the work in a given stretch is completed. He can remove the fencing after getting the approval of Engineer. The fencing material will be the property of the Contractor. Serviceable materials obtained from the released fencing can be re-used for providing fencing in subsequent stretches.
- (iv) The Contractor will be paid for providing safety fencing along the track as per the relevant item in the BOO.
- 1.4. The contractor's special attention is drawn to Para 826 of Indian Railways Permanent Way Manual introduced under Advance Correction Slip no. 69 dated 23.05.2001, reproduced below which should invariably be complied with.
 - "826 Safe working of Contractors -- A large number of men and machinery are deployed by the contractors for track renewals, gauge conversions, doublings, bridge rebuilding etc. It is therefore essential that adequate safety measures are taken for safety of the trains as well as the work force. The following measures should invariably be adopted:
 - (a) The contractor shall not start any work without the presence of IPRCL Engineer/Supervisor at site.
 - (b) Wherever the road vehicles and / or machinery are required to work in the close vicinity of railway line, the work shall be so carried out that there is no infringement to the railway's schedule of dimensions. For this purpose the area where road vehicles and / or Machinery are required to ply, shall be demarcated and acknowledged by the contractor. Special care shall be taken for turning / reversal of road vehicles / machinery without infringing the running track. Barricading shall be provided wherever justified and feasible as per site conditions.
 - (c) The "look out and whistle" caution orders shall be issued to the trains and speed restrictions imposed where considered necessary. Suitable flag men / detonators shall be provided where necessary for protection of trains.
 - (d) The supervisors / workmen should be counseled about safety measures. A competency certificate to the contractor's supervisor as per proforma annexed shall be issued by Engineer which will be valid only for the work for which it has been issued.
 - (e) The unloaded ballast / rails / sleepers / other P.Way materials after unloading along track should be kept clear off moving dimensions and stacked as per the specified heights and distance from the running track.
 - (f) Supplementary site specific instructions, wherever considered necessary, shall be issued by the Engineer.

COMPETENCY CERTIFICATE

Certified that Shri	P.Way	super	rvisor	of
M/S	has	been	exami	ned
regarding P.Way working on	work.	His kno	wledge	has
been found satisfactory and he is capable of supervising	the work	k safely.		

ASSISTANT ENGINEER"

- 1.5. The work of formation in banks and cuttings through out the length of doubling is adjacent to track under running traffic. Many of the bridges on the proposed double line are to be constructed either as extensions or just adjacent to the existing bridges under running traffic. The work of Installation of Track through out the length of doubling is adjacent to track under running traffic. The work of Installation of Track and Signals in the Station yards including alterations to the existing Track and Signals has to be done adjacent to or in replacement of the existing Track and Signals which are under running traffic. The contractor shall ensure that the safety of the running lines and running traffic is not endangered, because of his work.
- 1.6. Any traffic/traction blocks, temporary speed restrictions and caution orders required in this connection shall also be got sanctioned from the Railway authorities well in advance, through the Engineer. The Railways may sanction the same for specific sites within the overall recovery time available in the Railway's time table. The contractor shall have to schedule his programme according to the convenience of the Railways. No claim from the contractor for any delay/inconvenience/loss on this account shall be entertained by the Employer.
- 1.7. The contractor shall provide at site at his own cost, all protection measures including exhibition and lighting of all Temporary Engineering Signals as per Railway rules, instructions and norms. All lights provided by the contractor shall be screened so as not to interfere with any signal light on the Railways or with any traffic or signal lights of any local or other authority.
- 1.8. Ancillary and Temporary works
 - (a) The Contractor's proposals for erection of all ancillary and temporary works shall be in conformity with the proposals submitted along with the tender and modifications thereto as approved by the Engineer.
 - (b) The Contractor shall submit drawings, supporting design calculations where called for by the Engineer and other relevant details of all such works to the Engineer for approval at least one month before he desires to commence such works. Approval by the Engineer of any such proposal shall not relieve the contractor of his responsibility for the sufficiency of such works.

- (c) The contractor shall, at his own cost, design and provide any temporary arrangements including relieving/service girders required in connection with the above said works and remove the same, when no longer required. These arrangements shall conform to Railway norms. The contractor shall obtain all necessary approvals and sanctions of the concerned Railway authorities including Commissioner of Railway Safety through the Engineer in advance and well in time
- (d) The contractor shall ensure and be entirely responsible for proper design, fabrication, provision and upkeep of all temporary arrangements and all associated activities so as not to endanger safety of any assets, running track, traffic and traveling public and for following all extent instructions, norms, practice and procedures laid down by Railway authorities in this respect, which may be ascertained from the Railways through the Engineer.
- (e) If required, Railways may, in order to ensure the safety of the running track, post at site Regular Railway staff to watch the efficacy and safety of temporary arrangements and protection measures round the clock for the period the same exist in the running line and till the running line is restored back to normal. Railways may also supervise the insertion, maintenance and removal of the temporary arrangements. The cost of such staff shall be borne by the Employer.
- (f) Notwithstanding the above, the contractor shall not, however, be relieved of his responsibility and obligation as aforesaid.
- (g) Save as provided in Para 7 (e) above, the contractor shall bear the cost of complying with all safety requirements. No extra payment will be made for complying with the safety provisions under this chapter and the cost of all such elements to meet the safety requirements shall be deemed to be included in the Bill of Ouantities.
- 1.9. The contractor remains fully responsible for ensuring safety. In case of any accident, the Contractor shall bear cost of all damages to his equipment and men and also damages to Railway and its passengers.
- 1.10 Suitable barricading to forewarn road vehicle driver shall be provided by the contractor. The luminous tape, strung on bamboo or steel poles can be considered for such barricading. Barricading arrangement should be got approved by the Engineer.

1.11 **Indemnity by Contractor**

The Contractor shall indemnify and save harmless JNPT / Employer / Engineer from and against all actions, suit proceedings, losses, costs, damages, claims, and demands of every nature and description brought or recovered against JNPT / Employer / Engineer by reason of any act or omission of the contractor, his agents or employees, in the execution of the works or in his guarding the same. All sums payable by way of compensation under any of these conditions shall be considered as reasonable compensation to be applied to the actual loss or damage sustained, and whether or not any damage shall have been sustained.

1.12. Damage to Property or Life or Private Property

The contractor shall be responsible for all risks to the works and for the trespass and shall make good at his own expense all loss or damage whether to the works themselves or to any other property of Port or the lives of persons or property of others from whatsoever cause in connection with the works until they are taken over by the Employer and this although all reasonable and proper precautions may have been taken by the Contractor, and in case Port /Employer/Engineer shall be called upon to make good any costs, loss or damages, or to pay any compensation, including that payable under the provisions of Workmen's compensation act or any statutory amendments thereof to any person or persons sustaining damages as aforesaid, by reason of any act, or any negligence or any omissions on the part of the contractor, the amount of any costs or charges including costs and charges in connection with legal proceedings, which the Port/Employer/Engineer may incur in reference thereto, shall be charged to the contractor. The Port / Employer / Engineer shall have the power and right to pay or to defend or compromise any claim of threatened legal proceedings or in anticipation of legal proceedings being instituted consequent on the action or default of the contractor, to take such steps as may be considered necessary or desirable to ward off or mitigate the effect of such proceedings, charging to Contractor, as aforesaid, any sum or sums of money which may be paid and any expenses whether for reinstatement or otherwise which may be incurred and the propriety of any such payment, defence or compromise, and the incurring of any such expense shall not be called in question by the Contractor.

1.13. Safety of Public

- (i) The Contractor shall be responsible to take all precautions to ensure the safety of the Public whether on Public or Port property and shall post such look out men as may in the opinion of the Engineer be required to comply with regulations pertaining to the work.
- (ii) The Contractor shall provide effective barricading using G.I. corrugated sheets around foundation pits, trenches, erection sites, demolition sites etc., to prevent accidents and injuries to the public. He shall erect barricading duly leaving safe passage for the movement of the public as per the directions of Engineer.
- (iii) No payment will be made for providing such barricading and the rates quoted by the Contractor shall be inclusive of such safety measures.

1.14. Reporting of Accidents

The Contractor shall report to the Engineer details of any accidents as soon as possible after its occurrence. In the case of any fatality or serious accident, the Contractor shall, in addition, notify the Engineer and the Employer immediately by the quickest available means.

1.15 **Life-saving Appliances and First-aid Equipment:** The Contractor shall provide and maintain upon the Works sufficient, proper and efficient life-saving appliances and first-aid equipment to the approval of the Engineer and in accordance with the

requirements of ILO Convention No. 62. The appliances and equipment shall be available for use at all time

1.16 Security Measures

- (a) Security arrangements for the work shall be in accordance with general requirements and the contractor shall conform to such requirements and shall be held responsible for the action or inaction on the part of his staff, employees and the staff and employees of his subcontractors.
- (b) Contractor's as well as Sub Contractor's employees and representatives shall wear identification Badges (cards), uniforms, helmets, gum boots and other safety / protection gadgets / accessories provided by the Contractor. Badges shall identify the Contractor and show the employee's name and number and shall be worn at all times while at site.
- (c) All vehicles used by the contractor shall be clearly marked with the Contractor's name or identification mark.
- (d) The contractor shall be responsible for security of works for the duration of the contract and shall provide and maintain continuously adequate security personnel to fulfill these obligations. The requirements of security measures shall include, but not be limited to, maintenance of Law and Order at site, provision of all lighting, guard, flagmen, and all other measures necessary for protection of works within the colonies, camps and elsewhere at site, all materials delivered to the site and all persons employed in connection with the works continuously through out working and non-working periods including nights, Sundays and holidays, for the duration of the contract. However, at work sites in close proximity of traffic corridors where public and traffic are likely to come close to the work area, suitable barricading as proposed by contractor and approved by Engineer shall be provided.
- (e) No separate payment will be made for providing security measures and will be deemed to be included in the rates quoted by the contractor.

GENERAL INFORMATION AND SCOPE OF WORK

2.1. Introduction

This work pertains to "Construction of Formation, Track and Ancillary Works for Rail Connectivity in connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section, Maharashtra".

GENERAL INFORMATION AND SCOPE OF WORK

- 1. This work pertains to construction of Greenfield PFT (Dry Port) at Jalna in Aurangabad-Jalna section (Maharashtra).
- 1.1 The first dry port in Marathwada will come up on a 500 acre land on the Aurangabad- Jalna road that would fecilitate import and export and reduce the cost of goods transportation considerably.
- 1.2 Jalna port will enable import and export of farm and industrial products as it will link the road and rail network in Aurangabad with the Jawaharlal Nehru Port on the western coast of the country, spurring investment in the surrounding areas.
- 1.4 The Government of India released National Perspective Plan for Sagarmala Programme in April, 2016. The 4 major objectives of the programme are:
 - (i) Port Modernization & New Port Development
 - (ii) Port Connectivity Enhancement
 - (iii) Port-led Industrialization and
 - (iv) Coastal Community Development

The Indian Port Rail Corporation Limited (IPRCL) a Central Government PSU under Ministry of Shipping is taking up the work for enhancing port connectivity through Rail Network. IPRCL is working for providing last mile connectivity to the major ports.

2. Scope of Present Tender:

The scope of this tender includes construction of Greenfield PFT at Sindi on Nagpur – Wardha section which comprises of :

- (i) Earthwork in embankment and in cutting including mechanical compaction;
- (ii) Plantation of trees in Railway land.;
- (iii) Providing and Laying Pipe culvert.
- (iv) Laying of ballasted and ballastless track.

- (v) Loading and unloading Platforms;
- (vi) Supply and spreading of ballast for track;
- (vii) Supply and laying of sleepers and points & crossings and laying of rails;
- (viii) Lifting and lowering of track;
- (ix) Machine tamping of track;
- (x) Mobile Flash Butt/ Thermit welding of rails;

2.1 FORMATION & BRIDGES:

A. Formation

Earthwork in formation and cuttings for the full length, laying of a blanket layer as per RDSO guidelines with suitable materials as approved by Engineer.

The top width of the proposed new alignment for bank shall normally be 7.85m (having side slope 2H:1V) and for cutting width of the proposed line excluding side drain shall be 7.85m on straight, side slopes for cutting shall be as under:

Formation in embankment shall be provided with side slopes of 2:1 or flatter as required. Side slopes shall be turfed. Bank of height more than 6 Mts shall be designed for slope stability and the slope shall be adopted accordingly. Earthwork shall be provided with contractor's own earth.

Formation in cutting shall be provided with side slopes of 1:1 in ordinary soil and up to 1/10:1 in rocky strata. Flatter slopes in ordinary soil can be adopted subject to the design.

B. BRIDGES

There are no bridges on the proposed alignment. Pipe crossing with NP-4 class pipe is provided at three locations.

- 2.2. The contemplated works as a part of this tender consists of --
 - (a) Providing and maintaining office accommodation and vehicles for use of Employer and their Assistants and Staff.

- (b) Survey for fixing the working alignment and establishment of working bench marks for doing Earth Work and Bridges, river training and protection works as per necessity at site.
- (c) Earth Work in formation in banks are to be done with suitable filling /blanketing material. Also required protection works are to be done.
- (g) Construction of drain along the track.
- (h) Setting of batching plant for production of controlled concrete.
- (j) Setting out alignments and levels and marking of locations for all minor bridges, drains, service roads, buildings, protection works, etc.
- (k) Laying & Linking of Ballasted and Ballastless Embedded Track.
- (l) Making and supply of ,As Built" drawings of all the assets created.

2.3 The Nature of work:

The works to be executed can broadly be grouped as under:

Civil:

- 1. Providing and maintaining office accommodation, equipment, vehicles for the use of the Employer/Engineers and their Assistants and Staff during the contract and defect liability periods.
- 2. Setting out the alignment of proposed new line and establishing working, bench marks and alignment references, taking the details from bench marks and alignment references established by the Employer earlier. This work has to be done before any works under this contract.
- 3. Preparation of working plans for longitudinal sections, cross sections and bridge layouts.
- 4. Carrying out the work of Earth work in formation in banks with eath arising from cut spoils and with Contractor's earth, blanketing material with Contractor's material. The earthwork and blanket layer have to be compacted with suitable machinery at OMC.
- 5. Providing and laying NP-4 class pipe at desired locations.

- 6. Transportation, handling, stacking, watching, protection, etc of all material from the manufacturer's works/place of purchase to the sites of use.
- 7. Setting out the line and level of alignment for the proposed new line and establishing working bench marks and alignment references, taking the details from bench marks and alignment references established by the Employer/ other designated contractors.
- 8. For carrying out accurate survey work, survey control points shall be established along the railway line using high end survey equipment such as DGPS or Total Station connected with nearest GTS Bench Mark. Also reference pillars are to be established for relocation. This work is meant for maintaining & checking proper alignment of mid section& bridges for which the contractor is solely responsible.
- 9. Every precaution has to be taken such that there shall be least disturbance to locals during transportation of construction materials to the site of work.
- 10. Making and supply of ,As Built" drawings for the new assets created.
- 11. Track Laying & Linking:

2.4 BALLASTLESS EMBEDDED TRACK:

(I) The technical specifications for embedded track shall be given to the contractor after approval of the design from the competent authority. A strict quality control shall be required for installation of embedded track.

BALLASTED TRACK:

- 1. 60 Kg/90UTS rails on PSC sleepers with density of 1660/1540 sleepers per km with 350/300 mm ballast cushion shall be provided for the main line. The main line is proposed to be provided with LWR / CWR.
- 2. Yards will be track circuited. Glued joints shall be provided wherever required. 60 Kg points and crossings on PSC fan shaped lay outs have been proposed.

- 3. Hard Stone ballast of 65 mm size with 300 mm cushion.
- 4. The work also involves slewing / regrading of existing tracks and relaying / dismantling of P-way and Points & crossings, wherever necessary.
- 5. After the formation is ready and cleared by the Engineer for track linking the rails (Single Rails/3 Rail Panels) shall be unloaded on the formation.
- 6. Flash Butt/Alumino Themit welding shall be done to convert the single rails into LWR/CWR as per plan approved by IPRCL/JNPT by contractor's portable road trailer mounted flash butt welding machine. If there is delay in formation being ready, single rails can be converted into 3/4 Rail Panels in depots and then these 3/4Rail Panels can be converted into LWR/CWR after transferring them to formation.
- 7. PSC sleepers shall be procured, transported by road and stacked at suitable locations.
- 8. The required quantity of ballast to the maximum extent (not less than 2 Cum per meter length) shall be brought by contractor's dumpers on the formation and spread on the proposed alignment by contractor's grader. The grader should spread and level the ballast in a width of 3.2 meter (Bottom) and cushion of 200 mm. The remaining quantity of ballast shall remain on the common cess of existing/proposed track, leaving clear space for linking of auxiliary track.
- 9. After laying of ballast is completed in a particular stretch between level crossings then auxiliary track shall be laid at a gauge of 3400 mm with centre line matching the proposed track.
- 10. Now the sleepers shall be lifted from the stacks near the level crossings with the help of hydra and kept on the formation between auxiliary track for being picked up by portals for leading them to point of laying in case portals are proposed to be used for transport and laying of sleepers.
- 11. Contractor shall bring his own mechanized portal equipment which will be capable of traveling on the auxiliary track and will transport the sleepers from L-Xing to the location of linking. At the location of laying, the sleepers shall be laid at the required spacing ensuring that the centre line of sleepers matches with the centre line of proposed track. Instead of portals, sleeper laying equipment which does not require use of auxiliary track, can also be used for laying of sleepers.
- 12. After the sleepers are laid on the ballast bed of 200 mm thickness, contractor shall arrange his own Rail Threader to pick up the auxiliary track rails from both sides and lay on the PSC sleeper at Rail Seat to complete the laying of track.
- 13. The ballast lying along the track shall be put in the track in the cribs and shoulders for taking up the work of lifting of track to provide required cushion of 350 mm.
- 14. Track shall be lifted and aligned by small track machines. The lifting and aligning machine should be light in weight and should be transportable by road

- from one site to another. This lifting, aligning and tamping may be required in 2-3 phases until the desired clear cushion and proper alignment is achieved.
- 15. Behind the lifting aligning machine, a contractor's small track machine capable of tamping to be deployed. The Tamping machine should have 8 Nos. of tamping tools so that one sleeper can be tamped completely per insertion. This light duty tamper should be self propelled and should be transportable by road from one place to another.
- 16. The contractor shall unload additional ballast over the track by his own mechanized means or manually as per site conditions and directions of Engineer to achieve desired ballast profile.
- 17. For achieving the desired cushion and to fill the inter-space of sleepers as well as crib ballast as per final profile, whatever ballast will be required in phases to be unloaded by the contractor with his own equipment and machinery.
- 18. The contractor, after sequence of linking, lifting, aligning and packing with his own tools, plants and equipments shall bring the track parameters in floating condition within the following tolerance limits:

Sr.	Parameters	Extent of irregularities		
No.		permit <mark>ted</mark>		
1.	Gauge (to be measured on each sleeper)	Up to $= 3 \text{ mm}$		
2.	Twist on 3.6 Meter base (for arriving twist cross level to	Up to 2.78 mm per meter.		
	be measured on each sleeper and twist to be calculated			
	with respect to every 7 th sleeper)			
3.	Unevenness on 3.6 meter chord	Up to 15 mm.		
4.	Alignment on 7.2 meter chord	Up to 5 mm.		
5.	Spacing of sleepers with respect to theoretical spacing.	Up to $= 20$ mm.		
6	<u>Joints</u>			
	i) Low Joints	Not permitted		
	ii) High Joints	Up to $= 2 \text{ mm}$.		
	iii) Squareness of joints on straight	Up to = 10 mm .		

- 19. The track thus laid shall have speed potential of 45 kmph.
- 20. Two packings shall be done by the contractor with Railway's heavy ontrack tampers. After the first tamping the track shall be made suitable for speed potential of 75 kmph. The second tamping (final tamping) shall be done in design mode and the track shall be made suitable for maximum permissible sectional speed.
- 21. Profiling of ballast shall be done to achieve the ballast section as prescribed in IRPWM.

The above is not an exhaustive list covering all the works to be done under this Tender. Major works only have been listed for guidance.

2.6 Some Special stipulations/features:

Civil Work

- 2.6.1 The finished formation of earth work in banks and cuttings with or without blanket layer should not be used for plying of trucks or other vehicles used for transportation. This restriction has specially been imposed to save the top surface of the formed formation from forming pits and ruts, which later are likely to accumulate water and pose problems for maintenance.
- 2.6.2 The mechanical means required to meet the stipulations mentioned in the above sub Para should be arranged by the Contractor.
- 2.6.3 Port land, if available and spareable, shall be provided for the purpose of stacking of material and setting up of concrete batching plant, etc. However, the Contractor shall be responsible for arranging any such requirement of land and arranging/developing any required approach to site of work, etc at his own cost.
- The Contractor shall be required to mobilise resources for taking up work at a number of locations simultaneously. A tentative scheduling of major activities is given at subclause 2.14 of this section for purpose of guidance only. The planning of resources may be done at least to meet these requirements.
- 2.6.5 The bidder may ascertain availability of suitable/preferred type of soil for embankment in the proposed area and lead/lift involved before quoting his rates.

Procurement and storage of materials

2.6.6 Procurement of material for P way & civil work has to be planned by the contractor in consultation with the Engineer and procurement plan shall be got approved from the Employer before placing any orders for such materials.

Procurement Plan should be prepared in such a manner that those materials which have a shelf life like rubber pads etc may be procured in a staggered manner so that the materials are used before its properties get modified with age. The procurement of materials shall be planned as per the milestone/key activities specified in pursuance to GCC clause 8.3. If the material/product does not remain of required specifications at the time of its actual use, the same will be replaced by the contractor with materials conforming to Specifications at his own cost.

The procurement of materials for the works by the contractor shall also be subject to the following:

(i) **Procurement Schedule:**

SN	Items	Schedule		
1.	Supply of P. Way items under Civil BOQ	Procurement process should commence after nominated IPRCL Officer approves the programme for procurement of materials proposed by the contractor such that they are expected to be installed within a year of receipt. However, as the formation work starts, some materials in connection with extension/shifting of lifting barriers and other structures at Level Crossings may be required for replacement/shifting of existing cables/location box etc. Therefore, such items should be processed for procurement at the beginning and other items as per above para.		
2.	The contractor would make out a list of items for which procurement process to start indicating the expected time of arrival at site and installation as per the period indicated above. after nominated IPRCL Officer would approve the programme in consultation with other officers.			

Based on the above schedule, the contractor shall prepare BOQ Schedule/Bill-wise procurement plan and submit a statement in the following format:

Procurement Plan- Installment No.1						
BOQ Bi	BOQ Bill No:					
Item	Description	BOQ	Quantity planned	Justification for placing		
No.		Quantity	for procurement	order for procurement (lead,		
				likely month of		
				execution/installation)		

Certified that all efforts have been taken to ensure that the quantity of materials being planned for procurement is as per the BOQ and as per the requirement at the site based on approved drawing.

Contractor

The requirement planned is commensurate with the progress of work and as per approved drawing/design.

Engineer/PMC

Approved

IPRCL Representative

While submitting the 1st stage payment of the materials, a copy of the above approval certificate should accompany the bill/IPC.

(iii) Reconciliation of materials after each milestone is reached: For procurement of materials in subsequent installment(s), the contractor shall enclose along with his proposal, a review statement of consumption already made or likely to be made in respect of materials already procured in the following format:

Review	Review of the materials procured up to Previous Installment					
BOQ Bi	BOQ Bill No:					
Item No.	Description	Quantity procured previous Installment	upto	Quantity Consumed/ installed	Balance Quantity	Remark

Considering the consumption and further targets, second installment of materials may approve for procurement.

Contractor

The requirement planned is commensurate with the progress of work and as per approved drawing/design.

Engineer/PMC

Approved

IPRCL Representative

2.6.6.1 If the Contractor is required to purchase certain material(s) from firms in the approved list of RDSO/Zonal Railways/JNPT/Port, as per terms and conditions of the contract, then the Contractor shall place orders accordingly on firms appearing in the approved list, as specified, on the date of placing the order and provide details of such orders.

In case the contractor places an order on an approved firm, but the firm is subsequently de-listed before the supply is completed, then in such cases, if there are any outstanding orders on the de-listed firm, then wherever the balance delivery period is available, the extent of supply made by the firm within the original delivery period, shall be accepted provided it passes the inspection of RITES/RDSO/inspecting authority specified in the contract. If the de-listed firm fails to deliver the material within the original delivery

period, no extension shall be granted by the Contractor to such firms after date of issue of de-listing order. In case where delivery period has expired, no extension shall be granted and all such orders shall be cancelled and a fresh purchase action should be taken.

2.6.7 The plant and materials etc. so procured for the works shall be properly stored on Site and protected against loss, damage or deterioration. For this purpose, the contractor shall make necessary arrangements of store godown/fenced area at site in Railway/Port land as per directions of Engineer. In case the contract is terminated, the Employer shall have the right to take possession of the godown/store and reasonable cost of such store/godown shall be determined by the Engineer and included in the Final Payment Certificate.

2.7 CODES & SPECIFICATIONS

2.7.1 GENERAL

Wherever reference is made in the Contract to specific standards and codes to be met by the goods and materials to be furnished and work performed or tested the provisions of the latest current edition or revision of the relevant standards and codes in effect shall apply, unless otherwise expressly stated in the Contract. Where such standards and codes are national or relate to a particular country or region, other authoritative standards which ensure an equal or higher quality than the standards and codes specified will be accepted subject to the Engineer's prior review and written approval. Differences between the standards specified and the proposed alternative standards must be fully described in writing by the Contractor and submitted to the Engineer at least 28 days prior to the date when the Contractor desires the Engineer's approval. In the event the Engineer determines that such proposed deviations do not ensure equal or higher quality, the Contractor shall comply with the standards specified in the documents.

All goods and materials to be incorporated in the goods be new, unused, and of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided for otherwise in the contract.

- (a) The specifications mentioned in these specifications shall be prime governing.
- (b) Where there is conflict between provision in IRS & IS specifications, provisions in IRS specifications shall prevail.
- (c) Where there is no provision of specifications in IRS, provisions in IS specifications should be adopted. Where there are no provisions in IRS and IS Specifications, provisions in IRC Specifications should be followed.
- (d) For items not covered in IRS/IS/IRC specifications, BS-5400 Part 1 to 10 may also be considered.
- (e) Where applicable CEB/FIP recommendations and codes of practices shall be used if specific recommendation does not exist in IRS/IRC/BS specifications.

(f) The decision of Engineer shall be final and binding in the interpretation of the clause of the codes of practice and specifications of this tender and no claim whatsoever shall be entertained on this account from the Contractor.

2.8 SURVEY AND FIXING WORKING BENCH MARKS AND ALIGNMENT MARKERS.

2.8.1 The work of conducting survey and fixing bench marks and alignment markers before the start of any work on this tender is included in the works covered by the present Tender.

2.9 Bench marks:

- All along the length of the proposed New Line bench marks have to be set up by the Contractorr at intervals of about a kilometer with reference to the nearest GTS Bench Mark. The details of these bench marks along with their reduced levels shall be marked on the drawings indicating the plan and "L" section. The contractor along with the Engineer should verify the details of these bench marks in the first instance. If any mistakes are detected in these details of these bench marks the same should be corrected in consultation with the Engineer. These corrections should be got approved by the Engineer before starting of any other work.
- The contractor shall then in presence of the Engineer establish working bench marks at short intervals, adequately connecting them to the reference bench marks in the Project length. The working bench mark levels should be got approved from the Engineer. An up to date record of all bench marks including approved corrections if any, shall be maintained by the contractor and also the Engineer.
- All levels taken for making out the longitudinal section and cross section should be related only to these working bench marks.
- While doing the above mentioned work, the fact that similar work will have to be done once again on the completed earth work in formation for fixing up the longitudinal levels of the installed P.Way should be kept in view.

2.10 Alignment:

All along the length of the proposed New Line at a intervals of about half a kilometer, alignment reference pillars shall be set up the Employer using latest survey equipment such as DGPS and Total Stations; in addition five reference pillars shall be erected for each of the curves to indicate the start & end of the over all length of the curve and its circular portion and apex, by the contractor. In the drawings showing the plan and ,L" section, the co-ordinates for these pillars shall be given. The contractor along with the Engineer should verify the details of these alignment pillars. If any mistakes are detected in these details, the same should be indicated to the Engineer before starting any other work. These detected mistakes should be corrected by the Contractor in

consultation with the Engineer. These corrections should be got approved from the Engineer.

- 2.10.2 The contractor shall then in presence of the Engineer establish working alignment reference markers at shorter intervals, adequately connecting them to the reference pillars in the Project length. The location of these subsidiary alignment markers should be got approved from the Engineer. An up to date record of all alignment pillars, and corrections, if any done, shall be maintained by the contractor and also the Engineer.
- 2.10.3 The alignment for the new Line should be related only to these working bench marks.
- 2.10.4 While doing the above mentioned work, the fact that similar work will have to be done once again on the completed earth work in formation for fixing up the alignment of the installed P.Way should be kept in view.
- 2.10.5 After the formation and bridges have been constructed, the centre line of alignment of both in Block Sections at either end should be refixed taking guidance from already set up alignment references. Similarly the rail levels of track both in the block sections and the yards should also be fixed with reference markers. These will be used for installation of track by other agency.

2.11 Responsibility for establishing and maintaining working bench marks and alignment markers

- 2.11.1 The Engineer when necessary will provide the contractor with the data necessary for setting out of the centerline. All dimensions and levels shown on the drawing or mentioned in the documents forming part of or issued under the contract shall be verified by the contractor on the site; he shall immediately inform the Engineer of any apparent errors or discrepancies noticed in such dimensions or levels. In consultation with the Engineer, the noticed mistakes should be corrected. These corrections should have the approval of the Engineer.
- 2.11.2 The contractor will be entirely responsible for accurate setting out of the works and safeguarding all survey monuments, bench marks, alignment references etc. The work of setting out shall be deemed to be a part of the general works preparatory to the execution of work and no separate payment shall be made for the same.
- 2.11.3 The abovementioned points have been repeated in the respective sections dealing with specifications for different works for laying emphasis on these items.
- 2.11.4 The contractor will be entirely responsible for accurate setting out of the works and safeguarding all survey monuments, bench marks, alignment references etc. The work of setting out shall be deemed to be a part of the general works preparatory to the execution of work and no separate payment shall be made for the same.

2.12 INTERFACING AND INTEGRATION OF WORKS

2.12.1 The work of Construction of Roadbed, bridges & track linking etc will be done in this bid. Hence interfacing for the purpose of integration of works between different

Contractors is of very much importance so that progress of work is not hampered. Coordination between all agencies has to be ensured. Such interfacing for the purpose of integration of works will arise between the Contractor and his sub contractors. This has to be kept in view.

- 2.12.2 Proposed line is planned within the existing land boundary of JNPT Port. Assistance if any required, should be provided by the contractor as & when required for speedy execution of the contractor.
- 2.12.3 It is the intention of the Employer that the overall interfacing, planning, scheduling, logistic administration inclusive of necessary logistic planning and all tasks that are necessary to guarantee proper co-ordination and proper interfacing of all activities during the complete execution of the works, is clearly understood and agreed to. Integrated programme shall set out in detail how the different contractors will work together in execution of the works. This new line project will have three more contracts for its completion in entirety. It shall also spell out the overall interfacing, planning, scheduling, logistic administration inclusive of necessary logistic planning and all tasks that are necessary to guarantee proper co-ordination and proper interfacing of the different activities by various agencies during the execution of the works. A master schedule incorporating the milestone of works completion by each contractor shall be included in the integrated programme to demonstrate the capability of all parties involved in the completion of the works. The essence of the integrated programme shall be that the contractors have both overall responsibility for the completeness and the timeliness of all the works and quality of the contractual works within the agreed time frame. Allowance need to be made by the contractors in their resources and pricing to ensure that proper co-ordination of the various items is incorporated in their contract.
- 2.12.4 Needless to say that commissioning of this new line project requires close coordination among various agencies executing the works in this section, Engineer, Employer and the Railway/Port authorities. The contractor shall therefore plan all his works requiring interfacing, like works in mid section, station yards, with other agencies, meticulously, in consultation and coordination with all concerned parties, in advance, for expeditious execution, without causing any delay either to his works or those of others.
- 2.12.5 The contractor shall strictly adhere to the work plan made for works requiring interfacing. Any delay either on his part or on the part of other agencies and other bottlenecks that could affect the pace of works shall be informed to the Engineer in time so as to enable him to take corrective steps.
- 2.12.6 If, in the opinion of Engineer, any delay in execution of any part of the Project requiring interfacing is attributable to the failures of the contractor to take adequate steps for smooth execution of such works, then the Engineer shall have the right to take necessary steps to organize and streamline such works, including excluding the requisite portion of work from the scope of the Contractor and getting the same executed by other agencies, at the risk and cost of the contractor.

2.13 Damages to property:

The contractor shall organize all his activities so as not to cause any damage to the property of Railway/Port or that of other agencies or any third party. In spite of taking all precautions, in the unfortunate event of any damage to the property, then the contractor shall not only indemnify the Employer of the claims made by the affected parties but also settle the matters with the affected parties as per law. If the nature of damage is one of that affecting the train movements or causing a safety hazard to the public, then the situation will be treated as an emergency and the Engineer reserves the right to take all necessary steps as deemed necessary to restore train operations or to remove the hazardous situation or to mitigate the damage, at the risk and cost of the contractor.

2.14 Tentative scheduling of major works:

Building work shall be completed in 6 months and Bridge work, Road bed should be completed in 8 months from Date of commencement and progressively the complete work including track linking, roads is to be completed in 20 months.

2.15 Survey Equipment

All survey shall be done with Total Stations and high precision Auto levels. The contractor should provide the survey equipment and other accessories as per the instructions of Engineer as and when required. He should also provide all necessary help as required by the Engineer for checking the works, whenever required.

3.0 SITE FACILITIES FOR THE EMPLOYER AND THE ENGINEER

3.1 GENERAL

The Contractor shall provide for the use of the Employer / Engineer office accommodation, equipment, communication & drawing facilities throughout the course of the work and for such period of time during the defects liability period as the employer & Engineer may require, The details of the accommodation & the other facilities are detailed below: All the facilities under this clause will continue to be maintained by the Contractor free of cost till the defect liability period is over. Thereafter the Contractor shall dismantle the building and take away all the materials, office furniture, & equipment etc which will be the property of the Contractor. The provisions of the site facilities will be paid for separately.

3.2 SITE OFFICES

3.2.1 Accommodation for the Employer / Engineer shall consist of one site office to be constructed by the Contractor at a place decided by the Employer, within Two months from the date of commencement of the works. In case of delay beyond Two months in provision of the accommodation either through construction or hire, penalty @ Rs 10000/-Per week or part thereof will be imposed."

3.2.2 The site office should remain open for 24 hours a day and 7 days a week i.e. round the clock till the defect liability period is over.

3.2.3 The Site Office will provide for the following rooms/work desks:

SITE OFFICE for Engineer/Employer to be constructed by Contractor	Area required (Sq. m)
Project Director of IPRCL	
Other Officers of IPRCL	
Project Manager of PMC	
Resident/Independent Engineers	
Experts	
Office staff of IPRCL & PMC	
Conference/Visitor Room	
Computer/Drawing Office	
Pantry & Kitchen area	
File and Documents & Instruments Storage	
Toilets	
TOTAL AREA	60 M ²

- Materials used for the construction of the offices shall be new and of good quality. Materials shall be chosen such that the buildings when erected shall give good ventilation, heat and sound insulation.
- 3.2.5 All buildings shall be supplied with continuous (24 hour) running potable cold water to the kitchens and wash rooms. The toilets may use raw water for flushing. The Contractor shall also arrange for the constant and hygienic disposal of all effluent, sewage and rubbish from the buildings.
- 3.2.6 All buildings shall be supplied with electricity, AC 240 Voltage 50 Hz that shall be distributed to each room in accordance with the Regulations. Lighting and electrical power points shall be provided in each room. The disposition and location of light and power points will be as directed by the Engineer. 24 hours power supply is to be arranged by contractor to meet full power load. Fans and coolers etc will be provided as decided by the engineer. Rooms for (i) Project Director of Employer (ii) Project Manager (iii) Conference/Visitor Room shall be provided with Air Conditioners of appropriate capacity.
- 3.2.7 **Deleted**
- 3.2.8 Fire fighting equipment shall be provided in accordance with the local recommendations.

3.2.9 **Deleted**

3.3 FURNITURE AND OTHER OFFICE EQUIPMENT

3.3.1 The Contractor shall supply and maintain the following new furniture and equipment to the Engineer's offices within two months of the date of commencement of the works until the defect liability period is over:

Description of Item	Nos.
Glass-fronted lockable bookcase	3
1500mm x 900mm double pedestal desk	2
1200mm x 900mm single pedestal desks	6
Swivel office chair with armrests	6
Visitors chair	10
4-drawer filing cabinet	4
1500mm x 900mm tables	6
Steel lockable cupboard 6ft high with internal shelves	4
Γele-facsimile transmission/reception facility connected to a dedicated line with STD facility	1 set
1 Telephone Landline	1 phone
First aid kits	2
Safety helmets	10
Safety harness	15
Day-glow waistcoat	15
Pairs industrial safety goggles	6 pairs
Kitchen cutlery/crockery as required	
Fire extinguisher	(As required confirming to the stipulations of Local authorities).
Silent DG set of minimum power of 10 KVA	1

Note: In case of failure to provide the equipments within Two months, penalty @ Rs 5000/- Per week or part thereof will be imposed.

Section 5: Works Requirement IPRCL

3.4 TRANSPORT

3.4.1 General

The Contractor shall provide road transport (for the use of the Employer and the Engineer within one month from the date of commencement of the works) and the Payment for the same shall be covered in relevant schedule of the BOQ

3.4.2 Road Transport

- a) The vehicles shall be new and delivered and maintained by the Contractor in good roadworthy condition including daily cleaning. The vehicle shall be replaced with a new vehicle after a maximum run of 75000 Km or three years whichever is earlier.
- (b) The Contractor shall employ and make available competent drivers fully licensed to operate the vehicles as and when required by the Engineer/Employer. The Contractor shall replace drivers at the request of the Engineer/Employer.
- (c) The vehicles shall be licensed and insured for use on the public highway and shall have comprehensive insurance cover for any qualified driver authorised by the Engineer together with any authorised passengers and the carriage of goods or samples.
- (d) The Contractor shall provide fuel, oil for running of each vehicle for 4000 kms monthly and ensure maintenance in conformity with the vehicle manufacturer's recommendations and all relevant toll and parking charges incurred in connection with the Works. The vehicle shall be provided day and night as required by the Engineer/Employer. If any vehicle is allowed, with the prior approval of Project Director, to run beyond 4000 Kms in a month the charges for running of the additional kilometres shall be paid separately under item 9003(c) of schedule.
- (e) A suitable replacement shall be provided by the contractor for any vehicle out of service for more than 24 hours. If the contractor at any time fails to provide vehicle(s) or substitute vehicle(s) as specified, an amount of Rs.1500 per day for each vehicle (that the Contractor failed to provide) shall be recovered from the Contractor.

3.4.3 **Number of Vehicles**

3.4.3.1 The Contractor shall provide the following type of vehicles as per requirement indicated by the Project Director within one month of the date of commencement.

Туре	Numbers
INNOVA, Mahindra XUV 500 or similar SUV	36 Vehicle months

Note: Vehicle to be supplied as and when required as per site conditions.

3.4.3.2 The vehicle requirement given in para 3.4.3.1 above is the total requirement of the vehicles. However requirement in a particular period will be intimated by the project director to the contractor on programme basis at least 7 days before the actual date of requirement after approval of the distribution by the Project Director. The Contractor shall withdraw particular vehicle(s) if the same is not further required by the Engineer/Employer if so directed by the Project Director. In such cases the instructions shall be given in writing 7 days in advance. The requirement during the Defect Liability Period will be quite less than the maximum requirement mentioned above.

3.4.3.3 **Duration of Transport Requirements**

Transport for the Engineer / Employer shall be provided so as to cover the entire completion period(s) and defect liability period(s). The transport so provided, as per Para 3.4.3, shall continue to be the property of the Contractor.

3.5 OFFICE MAINTENANCE

- 3.5.1 The contractor is required to maintain the offices throughout the contract period and provide the following, but not limited to:
 - i. Pay all electricity charges.
 - ii. Reimburse telephone bills for the use of telephone, upto Rs 1000/- per month for each external landline connection.
 - iii. Pay all water charges.
 - iv. Carry out necessary repairs to office and equipment as and when required.
 - v. Day to Day cleaning and maintenance and watch & ward etc
- 3.5.2 The contractor shall provide within Two months from the Date of Commencement following personnel in the office as required for watch and ward of the site office.

Watchmen / Security (3 shifts of 2 men in a shift, till the defect Liability period is over)

Note: In case of delay beyond Two month, penalty @ Rs 5000/- Per week or part thereof will be imposed.

3.6 EQUIPMENT FOR USE OF THE ENGINEER.

The Contractor shall provide at his own cost new equipment and software as listed below and maintain them for the exclusive use of the Employer and the Engineer. The Contractor shall provide and maintain the following equipment for the use of the Engineer and the Employer within one month from the date of commencement of the works until the defect liability period is over. On completion of defect liability period, the equipment shall be property of the Contractor.

(a)	Desktop Computer two nos.	With minimum specification of Intel Core i7, 3.4 GHz, 3 MB Cache, 4 GB DDR3 RAM, 500 GB Hard Disk Drive, DVD Writer, 18.5" colour TFT monitor, 10/100 LAN Card, Modem Card,		
		Operating System - Windows 8 Professional or higher preloaded with media and documentation and certificate of authenticity and Microsoft Security Essentials preloaded antivirus software.		
(b)	Printers – 2 nos.	The A4 size printer shall be all in one officejet having features of Fax, Scanner and Printer, A3 size printer shall be Colour		
	(A4 size – 1 No. and A3 size – 1 No.)	Officejet with a print speed of up to 8 pages at 800 dpi or more.		
(c)	Application software (Original with minimum 2 user license)	(i) Microsoft office latest release (2013).		
(d)	Colour scanner- 1 no.	A3 size		
(e)	UPS system with sufficient power backup (with minimum backup time of 30 minute) to meet the sufficient power load in case of power disruption.			
(f)	Surge Protection Devices (one for each computer and printer as given above)			
(g)	Power supply for the systems is to be AC 240 volts, 50 Hz from normal building wiring circuit mains, power regulator, stabilizer or transformer should be supplied by the Contractor for the computer systems such that the systems can function efficiently.			

Note: In case of failure to provide the equipments including original software within one month, penalty @ Rs 5000/- Per week or part thereof will be imposed.

3.7 **Documentation**

A complete set of documentation will be supplied with each System. The documentation should be self tutorial in nature and be readily understood by non-computer personnel. The following manuals will be supplied with the system:

- (a) Manual on how to operate the equipment; and
- (b) Manual on how to use the facilities and software provided by the supplier (including languages and utilities).

4.0 SPECIFICATIONS

4.1 Standard Specifications for Materials and Works of Rail Vikas Nigam Ltd.

The BOQ is based on RVNL's Standard Specifications for Materials and Works in Volume I (Civil)

It is presumed that bidders have gone through Rail Vikas Nigam Ltd."s Standard Specifications for Materials and Works (Civil, Electrical and Signalling and Telecommunication) including latest correction slips issued up to the date 28 days prior to the deadline for submission of bids before quoting the rates.

Works are to be executed as per above referred specifications except for Methodology of Mechanised Laying and Packing of Track, which is specified below:-

METHODLOGY OF MECHANISED LAYING AND PACKING OF TRACK

- 1. After the formation is ready and cleared by the Engineer for track linking the rails (Single Rails/3 Rail Panels) shall be unloaded on the formation.
- 2. Flash butt welding shall be done to convert the single rails into LWR/CWR as per plan approved by RVNL by contractor's portable road trailer mounted flash butt welding machine. If there is delay in formation being ready, single rails can be converted into 3/4 Rail Panels in depots and then these 3/4Rail Panels can be converted into LWR/CWR after transferring them to formation.
- 3. PSC sleepers shall be transported by road and stacked near level crossings.
- 4. The required quantity of ballast to the maximum extent (not less than 2 Cum per meter length) shall be brought by contractor's dumpers on the formation and spread on the proposed alignment by contractor's grader. The grader should spread and level the ballast in a width of 3.2 meter (Bottom) and cushion of 200 mm. The remaining quantity of ballast shall remain on the common cess of existing/proposed track, leaving clear space for linking of auxiliary track.
- 5. After laying of ballast is completed in a particular stretch between level crossings then auxiliary track shall be laid at a gauge of 3400 mm with centre line matching the proposed track.
- 6. Now the sleepers shall be lifted from the stacks near the level crossings with the help of hydra and kept on the formation between auxiliary track for being picked up by portals for leading them to point of laying in case portals are proposed to be used for transport and laying of sleepers.
- 7. Contractor shall bring his own mechanized portal equipment which will be capable of traveling on the auxiliary track and will transport the sleepers from L-Xing to the location of linking. At the location of laying, the sleepers shall be laid at the required spacing ensuring that the centre line of sleepers matches with the centre line of proposed track. Instead of portals, sleeper laying equipment which does not require use of auxiliary track, can also be used for laying of sleepers.

Section 5: Works Requirement IPRCL

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

- 8. After the sleepers are laid on the ballast bed of 200 mm thickness, contractor shall arrange his own Rail Threader to pick up the auxiliary track rails from both sides and lay on the PSC sleeper at Rail Seat to complete the laying of track.
- 9. The ballast lying along the track shall be put in the track in the cribs and shoulders for taking up the work of lifting of track to provide required cushion of **350 mm**.
- 10. Track shall be lifted and aligned by small track machines. The lifting and aligning machine should be light in weight and should be transportable by road from one site to another. This lifting, aligning and tamping may be required in 2-3 phases until the desired clear cushion and proper alignment is achieved.
- 11. Behind the lifting aligning machine, a contractor's small track machine capable of tamping to be deployed. The Tamping machine should have 8 Nos. of tamping tools so that one sleeper can be tamped completely per insertion. This light duty tamper should be self propelled and should be transportable by road from one place to another.
- The contractor shall unload additional ballast over the track by his own mechanized means or manually as per site conditions and directions of Engineer to achieve desired ballast profile.
- For achieving the desired cushion and to fill the inter-space of sleepers as well as crib ballast as per final profile, whatever ballast will be required in phases to be unloaded by the contractor with his own equipment and machinery.
- 14. The contractor, after sequence of linking, lifting, aligning and packing with his own tools, plants and equipments shall bring the track parameters in floating condition within the following tolerance limits:

Sr. No.	Parameters	Extent of irregularities permitted		
1.	Gauge (to be measured on each sleeper)	Up to = 3 mm		
2.	Twist on 3.6 Meter base (for arriving twist cross level to be measured on each sleeper and twist to be calculated with respect to every 7 th sleeper)	Up to 2.78 mm per meter.		
3.	Unevenness on 3.6 meter chord	Up to 15 mm.		
4.	Alignment on 7.2 meter chord	Up to 5 mm.		
5.	Spacing of sleepers with respect to theoretical spacing.	Up to = 20 mm.		
6	Joints i) Low Joints ii) High Joints iii) Squareness of joints on straight	Not permitted Up to = 2 mm. Up to = 10 mm.		

The track thus laid shall have speed potential of 45 kmph. After this Railway's heavy ontrack tampers shall be made available for machine packing to achieve normal sectional speed.

- 15. Two packings shall be done by the contractor with Railway's heavy on track tampers. After the first tamping the track shall be made suitable for speed potential of 75 kmph. The second tamping (final tamping) shall be done in design mode and the track shall be made suitable for maximum permissible sectional speed.
- 16. Profiling of ballast shall be done to achieve the ballast section as prescribed in IRPWM.

Note: Contractor may propose his own methodology of mechanised laying and packing of track in place of above methodology which can be adopted, if approved by the Employer.



Section 5: Works Requirement IPRCL

5.0 PERSONNEL

The Bidder shall deploy, as per the programme, the minimum number of personnel for the key positions with requisite qualification and experience as mentioned hereunder:-

S. No.	Position	Minimum No. of Personnel	Qualification	Minimum Experience In Similar Work [years]
1	Project Manager	1	Graduate/ Diploma in Civil Engineering	5 years, out of which 2 years in-charge of road/Railway project (for Graduate).
2	Sr. Engineer For Earth work/Bridges/roadwork	1	Graduate/Diploma in Civil Engg.	5 Years (for Graduate)/10 years for Diploma
3	Technician/Supervisor			
	Earthwork/Bridges/Roads	1	Minimum Diploma in Civil Engineering	5 years
4	Surveyor for setting out Lines and levels periodically. (Part-time)	1	Minimum Diploma in Civil Engg.	5 years
5	Material Testing Engineer cum Quality Engineer	1	Minimum Diploma in Civil Engg.	5 years
6	Material Manager	1	Graduate/ Diploma in Engineering	5 years (for Graduate) / 8 years (for Diploma) experience of material handling and accounting of material for Project of this size

Note:

- (1) Project Manager is to be deployed within 30 days of issue of Letter of Acceptance. The programme for deployment of other personnel shall be conveyed by PMC/Employer and personnel shall be deployed within 30 days of the stated requirement. In case of failure to deploy any personnel within 30 days of the stated requirement, a penalty shall be imposed for each day of delay as under:
 - (a) Rs 2500 per person per day for Project Manager
 - (b) Rs 1000 per person per day for other personnel.
- (2) On completion/likely completion of activities concerned to a particular personnel, demobilization of that personnel shall be requested by the Contractor at least 30 days in advance and demobilization shall be done with the approval of PMC/Employer only. In case demobilization is done without approval of PMC/Employer, the penalty mentioned in note (1) above, as relevant, shall be imposed for each day of absence of the personnel.

6.0 EQUIPMENT

The Bidder shall deploy, as per the mutually agreed programme, the minimum number of equipments for execution of the work as mentioned hereunder:

S.No.	Equipment Type and Characteristics	Min. Number Required
Equipr	nent type and characteristics for Civil work	
1	Earth excavation and loading equipment (Excavator)	1 set
2	Earth moving equipment (Dumper)	4 Nos.
3	Earth leveling and grading equipment -Grader)	1 Nos. (Part-time)
4	Earth compaction equipment(10 Ton Vibratory Rollers)	1 Nos. (Part-time)
5	Truck mounted water tank/sprinklers	1 nos (Part-time)
6	Concrete Vibrating equipment	2 Sets (As per site conditions) (Part-time)
7	Total stations	1 No. (Part-time)
8	Auto levels	2 Nos. (Part-time)
9	Transit mixers[6 cum or any other suitable capacity should be mentioned]	6 nos (As per site requirement)
10	Fully equipped soil, aggregate and concrete testing laboratory	1 set
11	Portable Generators of all capacities as per site requirement	As per requirement at night
12	First Aid Boxes	Sufficient nos.

Note:

- **1.** Failure to deploy the above equipment as per mutually agreed programme shall attract penalty @ Rs.10,000/- per day of delay for each equipment at S.No.1, 3, 4, 9, 10 and 11.
- **2.** The type of equipment specified can be changed by the Engineer depending upon suitability of the equipment as per site conditions with the approval of IPRCL's Officer's in-charge concerned subject to the following;
 - (i) The total rate of production/output of proposed number and type of equipments should be equal to or more than that of the number and type of equipments stipulated in the contract agreement and quality of work is not compromised in any way;

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

- (ii) Eligibility and Qualification Criteria (EQC) in the bid document did not include any past experience criteria of execution of a key activity with the use of particular type of equipment proposed to be changed;
- (iii) Higher rates for works were not justified in the estimate or BOQ on account of use of particular type of equipment proposed to be changed;
- (iv) Financial implications due to change in type and number of equipment shall be prepared and signed by both the parties and placed on record. If any financial benefit is found to accrue to the contractor, the same shall be recoverable from the contractor's bills.
- (v) If the equipment proposed to be changed is covered under penalty clause specified in note above then the applicable rate of penalty per equipment shall be modified in proportion to increase/decrease in number of equipments."

7.0 BID DRAWINGS

For Drawings, Special feature of Project Area, Scheduling of Work and Methodology and Soil Investigation Reports etc.:

Please refer Volume-III, copy of which is available in the office of IPRCL Mumbai



Section 5: Works Requirement IPRCL

Section 7 - Special Conditions of Contract

The following Special Conditions of Contract (SCC) shall supplement the General Conditions of Contract (GCC). Whenever there is a conflict, the provisions herein shall prevail over those in the GCC

Part A - Contract Data

Conditions	Reference to GCC		Data
Employer's name and address	1.1.2.2 & 1.3	Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Fax No.: 022 – 6656 6336	
Employer's Representative	1.1.2.6	Sr. Manager (Projects) Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Fax No.: 022 – 6656 6336 Email: iprclmumbai@gmail.com	
Engineer's name and address	1.1.2.4 & 1.3 (b)	Will be notified later	
Defects Notification Period	1.1.3.7	180 days.	
Sections	1.1.5.6; 1.1.3.3 & 8.2	Project Sections Name/Description (Sub-Clause 1.1.5.6)	Time for Completion (Sub-Clause1.1.3.3 & 8.2)
		(Entire Works): Key Dates for Completion of work	18 Months Milestones: i) Earthwork in formation: Start to 12 months ii) Bridge work: 10 months simultaneously iii) Track linking: 4 months after formation iv) Miscelleneous works: 2 months from track linking Above period includes monsoon.
Electronic transmission systems	1.3 (a)	Not permitted	

Conditions	Reference	Data
	to GCC	
Address for Communication to Employer	1.3 (b)	Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010 Fax No.: 022 – 6656 6336 Email: iprclmumbai@gmail.com
Governing Law	1.4	The Laws of Republic of India
Ruling language	1.4	English
Language for communications	1.4	English
Time for access to Site (after the date of commencement)	2.1	Starting from the Date of Commencement, the site will be handed over progressively as per the requirement of work at site
Performance Security	4.2 & 11.9	 (a) The Performance Security shall be for an amount of 5% (Five percent) of the Accepted Contract Amount and in the same currency(ies) of the Accepted Contract amount in the prescribed form for the stated amount valid for a period of 28 days beyond issue of performance certificate. (b) Additional Performance Security, if any, shall be for an amount as determined by Employer in terms of ITB 35.5 and informed through letter of award and in the same currency(ies) of the Accepted Contract amount in the prescribed form valid for a period of 28 days beyond issue of performance certificate.
Normal working hours	6.5	Sunrise to Sunset (This may be modified to suit the work requirements).
Commencement of works	8.1	Within thirty (30) days from the date Contractor receives Letter of acceptance.
Delay damages for the Works	8.7	1/25000 of the Contract Price per day in the currencies and proportions in which the Contract Price is payable in case of delay in completion for entire works;
Maximum amount of delay damages	8.7	10% (ten percent) of the Contract Price.
Amount of bonus for early completion	8.13	1% of initial Contract Price per month (part of the month to be excluded) for completion of entire works.
Maximum limit of bonus	8.13	5% of Contract Price.

Conditions	Reference to GCC	Data		
State Capital Applicable		Mumbai		
Mobilization advance payment	14.2.1	Comprises of the percentage of Accepted Contract Amount payable in the currencies and proportions in which the Accepted Contract Amount is payable and in installments as mentioned below;		
		Mobilisation Advance Installments		
		As per request of the Contractor subject to a installments of 5% each.		
Timing of Mobilization Advance Payment	14.2.1	First Installment within 21 (Twenty one) days from the date of receipt of guarantee acceptable to Employer.		
		Second Installment shall be released only when the contractor submits statement of having utilized the First Installment of mobilization Advance and the Employer is satisfied that the utilization has been done in purposeful manner. This shall be released within 21 days from the date of receipt of guarantee acceptable to the Employer.		
Advance Payment against Plant and Machinery	14.2.2	DELETED		
Interest on Advance Payment	14.2.1 & 14.2.2	At the rate of % [Prevailing Prime Lending Rate of SBI + 2%] (Per cent) simple interest per annum on reducing balances.		

Conditions	Reference to GCC	Data
Repayment rate of Advance payment	14.2.4	13.5% (Thirteen and half percent) of the amount of each payment if the advance taken is 10%.
		If the total advance taken is less, the rate of recovery shall be proportionate.
		In case further advance is taken under sub-clause 14.2.1, after repayment has already been started, the Repayment Rate (R%) shall be revised in the next payment certificate as per following formula;
		$R = A \times 100/(80 - \% \text{ financial progress till}$
		where 'A' is total outstanding Advance as a percentage of Contract Price.
		If the Contractor returns part of the advance amount on his own or on the instructions of the Employer or in the event that the Contractor has failed to deposit the advance amount as per demand of the Employer and the Employer has subsequently encashed the Bank Guarantee(s), then the rate of recovery shall be suitably reworked considering the balance amount of advance due to be recovered and to ensure that the complete recovery of advance and accrued interest is made within 80% of the financial progress.
Percentage of Retention Money	14.3	Total Retention Money shall be 5% of the Contract Price. The retention money shall be deducted at the rate of 10% from each Interim Payment Certificate till Total 5% retention money is recovered.
		However, after deduction of 50% of the retention money on request of the contractor same may be refunded against acceptable form of Bank Guarantee is submitted by the contractor and accepted by the Employer.
Limit of Retention Money	14.3	5% of the Contract Price.
Plant and Materials	14.5 (b) (i)	Not applicable
	14.5(c) (i)	Plant and materials when delivered at site are: 1.Cement 2. Reinforcement steel
Minimum Amount of Interim Payment Certificates	14.6	INR – $[0.25{(0.75xV)/T}]$ ($V = Contract\ Value$, $T = Time\ in\ month$)] crore for first three months of the Time of completion and INR – $[(0.75xV)/T]$ ($V = Contract\ Value$, $T = Time\ in\ month$)]crores for rest of the period.

Conditions	Reference to GCC	Data
Maximum Total Liability of the	17.6	Accepted Contract Amount
Contractor		
Periods for submission of	18.1	
insurance:		a) 14 days
a) evidence of insurance		b) 28 days
b) relevant policies		
Maximum amount of deductibles	18.2	NIL
for insurance of Employer's	(d)	
risks.		
Minimum amount of insurance		
by the Contractor for Works and	18.2	100 (hundred) percent of Contract price
Contractor's Plant and Materials		
including loss or damage to		
equipment.		
Minimum amount of insurance		
by the Contractor for Third		
party insurance including		Rs.2 (two) crores per occurrence without any limit
damage to Other Property and	18.3	for number of occurrences.
personal injury or death		for number of occurrences.
insurance for: a) for other		
people, and b) for Contractor's		
Employees.		
Jurisdiction of Courts	21	
		Mumbai

SECTION - 7

PART B

PARTICULAR CONDITIONS OF CONTRACT

Whenever there is a conflict or inconsistency between the provisions of the Particular Conditions of Contract–Section 7 PART B and the General Conditions of Contract–Section 6, the provisions stipulated in Particular Conditions of Contract–Section 7 PART B shall prevail and supersede those appearing in the General Conditions of Contract–Section 6.

Section 7 - PART B - Particular Conditions of Contract

The following Particular Conditions of Contract (PCC) Section 7-Part B shall supplement the General Conditions of Contract (GCC) Section 6. Whenever there is a conflict, the provisions herein shall prevail over those in the GCC Section 6.

Table of Clauses

Reference	Subject	Page No.	Remarks
to Sub			
Clause			
4.8	Annexure 1 – Safety Provisions	4	Amended
13.8	Annexure 2 – Adjustments for changes in cost, Percentages governing Price Adjustment	6	
14.2	Annexure 3- Contract Price and Payment	7	

Annexure 1 to Section 7

Safety Provisions

- (1) The Contractor shall comply with all the precautions as required for the safety of the workmen by the I.L.O Convention No.62 as far as they are applicable to the Contract. The Contractor shall provide all necessary safety appliances; such as safety goggles, helmets, masks, etc to the workmen and the staff.
- (2) Suitable scaffolds shall be provided for workmen for all work that cannot safely be done from the ground, or from solid construction except for such short period work as can be done safely from ladders. When a ladder is used, an extra labourer shall be engaged for holding the ladder and if the ladder is used for carrying materials as well, suitable foot-holds and hand-holds shall be provided on the ladder, which shall be given an inclination not steeper than 1/4 to 1 (1/4 horizontal in 1 vertical)
- (3) Scaffolding or staging more than 3.25 metres above the ground or floor, swung or suspended from an overhead support or erected with stationary support, shall have a guard rail properly attached, bolted, braced and otherwise secured at least 1 metre high above the floor or platform of such scaffolding or staging and extending along the entire length of the outside and ends thereof with only such openings as maybe necessary for the delivery of materials. Such scaffolding or staging shall be so fastened as to prevent it from swaying in from the support or structure.
- (4) Working platforms, gangways and stairways shall be so constructed that they do not sag unduly or unequally, and if the height of any platform or gangway or stairway is more than 3.25 metres above ground level or floor level, it shall have closely spaced boards, have adequate width and be suitably provided with guard rails as described in (3) above.
- (5) Every opening in the floor of a structure or in a working platform shall be provided with suitable means to prevent fall of persons or materials by providing suitable fencing or railing with a minimum height of one metre.
- (6) Safe means of access and egress shall be provided to all working platforms and other working places. Every ladder shall be securely fixed. No portable single ladder shall be over 9 metres in length. The width between side rails in a rung ladder shall in no case be less than 30 cm for ladders up to and including 3 metres in length. For longer ladders the width shall be increased at least 6 mm for each additional 30 cm of length. Spacing of steps shall be uniform and shall not exceed 30 cm.
- (7) Adequate precautions shall be taken to prevent danger from electrical equipment. Adequate safety measures shall be taken when any work is undertaken near any live highly charged electric wire. Necessary shutdown may be arranged, where and whenever essential. All rules in force in this connection shall be fully complied with. The Contractor shall ensure all precautions to prevent any accidents due to electrocution or otherwise.

Section 7 - Particular Conditions of Contract (Part B)

- (8) No materials on any of the sites shall be so stacked or placed as to cause danger or inconvenience to any person or the public. The Contractor shall provide all necessary fencing and lights to protect the public from accidents and shall be bound to bear the expenses of defending every suit, action or other proceedings at law that may be brought by any person for injury sustained owing to neglect of the above precautions and to pay any damages and costs which may be awarded in any such suit, action or proceedings to any such person or which may with the consent of the Contractor be paid to compromise any claim by any such person.
- (9) Excavation and Trenching: All trenches, 1.5 metres or more in depth, shall at all times be supplied with at least one ladder for each 20 metres in length or fraction thereof, Ladders shall be extended from the bottom of the trench to at least 1 metre above the surface of the ground. The sides of a trench, which is 1.5 metres or more in depth shall be stepped back to provide a suitable slope, or be securely held by timber bracing so as to avoid the danger of side collapse. Excavated material shall not be placed within 1.5 metres of the edge of any trench or half the depth of the trench, whichever is more. Excavation shall be made from the top to the bottom. Under no circumstances shall undermining or undercutting be done.
- (10) Demolition: Before any demolition work is commenced and also during the process of the work:
 - (a) All roads and open areas adjacent to the work site shall either be closed or suitably protected.
 - (b) No electric cable or apparatus, which is liable to be a source of danger other than a cable or apparatus used by operators, shall remain electrically charged:
 - (c) All practical steps shall be taken to prevent danger to persons employed by the Employer, from risk of fire or explosion, or flooding. No floor, roof or other part of a building shall be so overloaded with debris or materials as to render it unsafe.
- (11) All necessary personal safety equipment as considered adequate by the Engineer shall be available for use of persons employed on the site and maintained in a condition suitable for immediate use; and the Contractor shall take adequate steps to ensure proper use of such equipment by those concerned.
 - (a) Workers employed on mixing asphaltic materials, cement, lime mortars, concrete etc. shall be provided with protective footwear and protective goggles.
 - (b) Those engaged in handling any material, which is injurious to the eyes, shall be provided with protective goggles.
 - (c) Those engaged in welding works shall be provided with welder's protective eye-shield.
 - (d) Stone breakers shall be provided with protective goggles and protective clothing and seated at sufficiently safe intervals.

- (e) When workers are employed in sewers and manhole, which are in use, the contractor shall ensure that manhole covers are open and manholes are ventilated at least for an hour before workers are allowed to go into them. Manholes so open shall be cordoned off with suitable railing and provide warning signals or boards to prevent accidents to the public.
- (12) The Contractor shall not employ men below the age of 18 years and women, on the work of painting with products containing lead in any form. Whenever men above the age of 18 years are employed on the work of lead painting, the following precautions shall be taken:
 - (a) No paint containing lead or lead products shall be used except in the form of paste or ready made paint.
 - (b) Suitable face masks shall be supplied for use by workers when paint is applied in the form of spray or a surface having lead paint dry rubbed and scrapped.
 - (c) Overalls shall be supplied by the Contractor to workmen and adequate facilities shall be provided to enable workers to wash during and at the close of any day's work.
- When work is performed near any place where there is risk of drowning all necessary equipment shall be provided and kept ready for use and all necessary steps taken for prompt first aid treatment of all injuries likely to be sustained during the course of the work.
- Use of hoisting machines and tackle including their attachments, anchorage and supports shall conform to the following:
 - (a) (i) These shall be of good mechanical construction, sound material and adequate strength and free from patent defects and shall be kept in good working order, be regularly inspected and properly maintained.
 - (ii) Every rope used in hoisting or lowering materials or as a means of suspension shall be of durable quality and adequate strength, and free from defects
 - (b) Every crane driver or hoisting appliance operator shall be properly qualified and no person under the age of 21 shall be in charge of any hoisting machine including scaffold equipment. Only trained men over the age of 21 shall be permitted to give signals to such plant and appliance operators.
 - (c) For every hoisting machine and every chain hook, shackle, swivel and pulley block used in hoisting, lowering or as means of suspension, safe working load shall be ascertained by adequate means. Every hoisting machine and all gear referred to above shall be plainly marked with safe working load. In case of a hoisting machine or a variable safe working load, each safe working load and

conditions under which it is applicable shall be clearly indicated. No part of any machine or any gear referred to in the paragraph above shall be loaded beyond safe working load except for the purpose of testing.

- (d) In case of the Employer's machine, safe working load shall be notified by the Engineer or his Representative. As regards Contractor's machines, the Contractor shall notify safe working load of each machine to the Engineer or his Representative, whenever he brings it to the site of work and get it verified by him.
- (15) Motors, gearing, transmission, electric wiring and other dangerous parts of hoisting appliances shall be provided with such means—as will reduce the risk of accident during descent of load to the minimum. Adequate precautions shall be taken to reduce to the minimum risk of any part of a suspended load becoming accidentally displaced. When workers are employed on electrical installations, which are already energised, insulating mats, working apparel such as gloves, sleeves and boots, as may be necessary, shall be provided. Workers shall not wear any rings, watches and carry keys or other materials which are good conductor of electricity.
- (16) All scaffolds, ladders and other safety devices mentioned or described herein shall be maintained in a safe condition and no scaffold, ladder or equipment shall be altered or removed while it is in use. Adequate washing facilities shall be provided at or near places of work.
- (17) These safety provisions shall be brought to the notice of all concerned by displaying on a notice board at a prominent place at the work location. Persons responsible for ensuring compliance with the Safety Code shall be named therein by the Contractor.
- (18) To ensure effective enforcement of the rules and regulations relating to safety precautions, arrangements made by the contractor shall be open to inspection by the Engineer or his Representative.
- (19) Notwithstanding anything contained in conditions (1) to (17) above, the Contractor shall at its own costs, remain liable to comply with the provisions of all acts, rules, regulations, and bylaws for the time being in force in India and applicable in this matter.
- (20) For work carried out in the vicinity of any wharf or quay, the Contractor shall abide by all the provisions of the Dock Workers (Safety, Health and Welfare) Scheme, 1961.
- (21) The Contractor shall at his own expense provide protective safety Equipment like gloves and footwear for all labour engaged on concrete mixing work and all other types of working involving the use of tar, cement, etc. to the satisfaction of the Engineer or his Representative, and on his failure to do so, the employer shall be entitled to provide the same and recover the cost from the Contractor.
- (22) The Contractor shall be responsible for observance, by the sub-contractors, of the foregoing provisions.

"Clause 13, Sub – Clause 13.8: Adjustment for changes in cost Annexure 2 to Section 7

Percentages governing Price adjustment

Coefficients governing the adjustment of changes in cost

S.	Cost	Symbol	Bill of Quantity Schedule wise % component					
No.	Element		Sch. A, B, E, F, G, J	Sch.	Sch. D	Sch. H, L, M	Sch. K	Sch. C
1	Fixed	Px	15	15	15	15	15	15
2	Labour	Pl	15	5	5	25	15	5
3a	Steel Formulae-I	P_s	0	75	0	20	50	0
3b	Steel Formulae-II	P_s	0	0	75	0	0	0
4	Cement	P _c	0	0	0	15	0	75
5	Plant Machinery & Spares	P_p	20	0	0	0	10	0
6	Fuel and Lubricants	P_{f}	35	5	5	10	5	5
7	Other materials	P_{m}	15	0	0	15	5	0
8	Other Non Ferrous Metals	P_{cp}	0	0	0	0	0	0
	Total		100	100	100	100	100	100

Schedule-A: USSOR-2010 S.C. Railway Items Earthwork in Formation

Schedule B: USSOR – 2010 S.C. Railway Items Other Than Earthwork, Cement & Steel

Schedule C: USSOR – 2010 S.C. Railway Items (Supply of Cement)

Schedule D: USSOR-2010 S.C. Railway Item (Supply & Fixing of Reinforcement Steel)

Schedule E: Non Schedule (Non- USSOR) Items

Schedule F: Non Schedule Item (Blanketing Material)

Schedule G: Supply of Ballast

Schedule H: P. Way Supply (Other Than Steel)

Schedule I : P. Way Supply (Steel Items)

Schedule J: P. Way Linking (Installation Work)

Schedule K: P. Way Linking (Supply & Installation Work)

Schedule L : Site Facilities

Schedule M: Any Other USSOR 2010 S.C. Railway Item

Note:-

i) NA stands for Not Applicable

14.2 The Contract Price

- 1. Tenderers will examine the various provisions of The Central Goods and Services Tax Act 2017 (CGST) / Integrated Goods and Services Tax Act 2017 (IGST) / Union Territory Goods and Services Tax (UGST) / respective state's State Goods and Services Tax Act (SGST) also, as notified by Central/ State Govt. & as amended from time to time and applicable taxes before bidding. Tenderers will ensure that full benefit of Input Tax Credit (ITC) likely to be availed by them is duly considered while quoting rates.
- 2. The successful tenderer who is liable to be registered under CGST/IGST/UTGST/SGST Act shall submit GSTIN along with other details required under CGST/IGST/UTGST/SGST Act to IPRCL immediately after the award of contract without which no payment shall be released to the contractor. The contractor shall be responsible for deposition of applicable GST to the concerned authority.
- 3. In case the successful tenderer is not liable to be registered under CGST/IGST/UTGST/SGST Act, the IPRCL shall deduct the applicable GST from his / their bills under reverse charge mechanism (RCM) and deposit the same to the concerned authority.
- 4. The GST component should be indicated separately.



इंडियन पोर्ट रेल कॉर्पोरेशन लिमिटेड भारत सरकार का उपक्रम

Indian Port Rail Corporation Ltd. (A Government of India Enterprise) CIN No: U60300DL2015GOI282703



BIDDING DOCUMENT FOR

Execution Work of

Construction of Formation, Track and Ancillary Works for Rail Connectivity in Connection with Proposed Dry Port of JNPT at Jalna on Aurangabad-Jalna Section,

Maharashtra.

Indian Port Rail Corporation Ltd.

Corporate Office: 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010

Fax No.: 022 - 6656 6336 Email id: iprclmumbai@gmail.com

Table of Clauses A. Scope of Bid 2 Source of Funds 2 Corrupt Practices. 2 В. Clarification of Bidding Document, Site Visit, Pre-Bid Meeting6 C. 12. Letters of Bid and Price Schedules8 14. Bid Prices and Discounts9 16. Documents Comprising the Technical Bid10 Submission and Opening of Bids......12 D. 21. Sealing and Marking of Bids......12 23. Late Bids 13 E. 35. Evaluation of Bids.......19 36. Comparison of Bids......20 F.

Section 1: Instructions to Bidders (ITB)

A. General

1. Scope of Bid

1.1

In connection with the Invitation for Bids indicated in the Bid Data Sheet (BDS), Indian Port Rail Corporation Ltd. (IPRCL), a Government of India Undertaking, hereinafter referred to as the "Employer" and JNPT as the "Principal Employer" issues these Bidding Documents for the Procurement of Works as specified in Section 5: Works Requirements. The name, identification, number of contract(s) for the National Competitive Bidding (NCB) are provided in the BDS.

IPRCL, a first of its kind Joint Venture Company (JVC) between the Major Ports under the Ministry of Shipping and Rail Vikas Nigam Ltd. (RVNL), formed with the objective to provide efficient rail evacuation systems to Major Ports for enhancing their capacity and throughput. In the coming years, IPRCL, as a dedicated SPV will continue to develop railways as a mode of transport in the Port Sector and will pave the way for seamless integration of Port-railway system with the Indian Railway network.

- 1.2 Throughout these Bidding Documents:
 - (a) the term "in writing" means communicated in written form and delivered against receipt;
 - (b) except where the context requires otherwise, words indicating the singular also include the plural and words indicating the plural also include the singular; and
 - (c) "day" means calendar day.

2. Source of Funds 2.1

The required funds have been sourced by IPRCL, unless otherwise specified in the BDS.

3. Corrupt Practices

- 3.1 The Employer requires that bidders, suppliers, and contractors observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, the Employer:
 - (a) defines, for the purposes of this provision, the terms set forth below as follows:
 - (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of any party in the procurement process or the execution of a contract;
 - (ii) "fraudulent practice" means a misrepresentation or omission of facts in order to influence a procurement process or the execution of a contract;
 - (iii) "collusive practice" means a scheme or arrangement between two or more bidders, with or without the knowledge of the Employer, designed to influence the action of any party in a procurement process or the execution of a contract;

- (iv) "coercive practice" means harming or threatening to harm, directly or indirectly, persons, or their property to influence their participation in a procurement process, or affect the execution of a contract:
- (b) will reject a Bid for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract; and
- (c) will sanction a party or its successor, including declaring ineligible, either indefinitely or for a stated period of time, to participate in Employer's activities, if it at any time determines that the firm has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for, or in executing a contract of the employer.
- 4. Eligible Bidders
- 4.1 A Bidder may be a natural person, private entity, government-owned entity, or any combination of them with a formal intent to enter into an agreement or under an existing agreement in the form of a Joint Venture (JV). The bidder must ensure the following:
- (a) In case of Single Entity:
 - (i) Submit Power of Attorney authorizing the signatory of the bid to commit the bidder.
- (b) In case of Joint Venture: DELETED
- (c) DELETED
- 4.2 Deleted.
- 4.3 A Bidder shall not have conflict of interest. All Bidders found to have a conflict of interest shall be disqualified. A Bidder may be considered to be in a conflict of interest with one or more parties in this bidding process, if, including but not limited to:
 - (a) they have controlling shareholders in common; or
 - (b) they receive or have received any direct or indirect subsidy from any of them; or
 - (c) they have the same legal representative for purposes of this bid; or
 - (d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the Bid of another Bidder, or
 - (e) a Bidder participates in more than one bid in this bidding process. Participation by a Bidder in more than one Bid will result in the disqualification of all Bids in which the party is involved. However, this does not limit the inclusion of the same subcontractor in more than one bid; or
 - a Bidder participated as a consultant in the preparation of the design or technical specifications of the contract that is the subject of the Bid; or
 - (g) a Bidder was affiliated for any period(s)during last two years

before the date of issue of Invitation for Bids with a firm or entity that has been hired (or is proposed to be hired) by the Employer as Engineer for the contract.

- 4.4 The bidder shall be disqualified if:
 - (a) The bidder or any of its constituents has been blacklisted/ banned business dealings for all Government Departments or by Ministry of Railways or by RVNL/JNPT at any time till finalization of bids, except in cases where such blacklisting/banning has been withdrawn by Competent Authority or has ceased on the deadline for submission of the bids, for which satisfactory evidence is to be produced.
 - (b) Any previous contract of the bidder or any of its constituents had been terminated for contractor's failure by JNPT at any time starting from 2 years before the deadline for submission of bids and upto one day before the date of opening of price bids;
 - Provided, however, there is no stay order or declaration by any Court against such termination of the Contract by JNPT or such termination of the Contract has not been revoked by JNPT
 - (c) The bidder or any of its constituents has been imposed delay damages of 5% or more of contract value by JNPT due to delay in the implementation of any previous contract within the period of last 2 years before the deadline for submission of bids (Period of 2 years shall be reckoned from the date on which the total accrued amount of Delay Damages has reached 5% or more of the contract price) or such accrued delay damages has not been fully recovered before the deadline for submission of bids on account of contractor's request for deferring recovery to maintain cash flow and JNPT has acceded to the same in the interest of the project or the work under the previous contract in question has not been completed before the deadline for submission of bids, unless imposition of such delay damages has been set aside by the Competent Authority.
 - (d) The bidder or any of its constituents has suffered bankruptcy/insolvency or it is in the process of winding-up or there is a case of insolvency pending before any Court on the deadline of submission of bids or thereafter till finalization of bids
 - (e) The bidder is found ineligible by the Employer, in accordance with ITB-3.
 - (f) The bidder or its constituent(s) has been declared by JNPT to be a poor performer and the period of poor performance is still in force on the deadline for submission of bids.

OR

The bidder or its constituent(s) has been declared by JNPT to be a poor performer at any time after the deadline for submission of bids and upto one day before the date of opening

of price bids.

(g) The bidder or any of its constituents has changed its name or created a new business entity as covered by the definition of "Allied Firm" under para 1102 (iii) of Chapter XI of Vigilance Manual of Indian Railways (available on website of Indian Railways) consequent to having been banned business dealings or suspended business dealings or having been declared poor performer.

The Bidder shall submit an affidavit stating that they are not liable to be disqualified as per this sub clause using the appropriate Performa given in Section 4. Non-submission of an affidavit by the bidder shall result in summary rejection of his bid.

- 4.5 Bidders shall immediately inform the Employer in case they cease to fulfill eligibility in terms of ITB 4.3 & 4.4. In case the bidder fails to inform the Employer or submits a false affidavit his bid shall be summarily rejected and bid security shall be forfeited. The bidder shall also be liable for Banning of Business dealings for a period up to five years.
- 5. Eligible Materials, 5.1 Equipment and Services

The materials, equipment and services to be supplied under the Contract shall be from the approved sources as specified in Section 5: Works Requirements.

B. Contents of Bidding Document

6. Sections of Bidding Document

6.1 The Bidding Document consists of Volume-I (Parts I, II and III) and Volume-II, which includes all the Sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITB 8.

Volume-I

PART I: Bidding Procedures

Section 1: Instructions to Bidders (ITB)

Section 2: Bid Data Sheet (BDS)

Section 3: Evaluation and Qualification Criteria (EQC)

Section 4: Bidding Forms (BDF)

PART II: Work's Requirements

Section 5: Work's Requirements (WRQ)

PART III: Conditions of Contract and Contract Forms

Section 6: General Conditions of Contract (GCC)

Section 7: Special Conditions of Contract (SCC)

Part A: Contract Data (CD)

Part B: Specific Provisions (SP)

Section 8: Contract Forms (COF) - Annexes to SCC

Volume-II

Bill of Quantities(BOQ)

- 6.2 The Invitation for Bids (IFB) issued by the Employer is not part of the Bidding Document.
- 6.3 The Employer is not responsible for the completeness of the Bidding Document and their Addenda, if they were not obtained directly from the source stated by the Employer in the Invitation for Bids.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Document. Failure to furnish all information or documentation required by the Bidding Document may result in the rejection of the bid.
- Clarification of 7.1
 Bidding
 Document, Site
 Visit, Pre-Bid
 Meeting
- A prospective Bidder requiring any clarification of the Bidding Document shall contact the Employer in writing at the Employer's address indicated in the BDS or raise his inquiries during the pre-bid meeting if provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such request is received no later than twenty one (21) days prior to the deadline for submission of bids. The Employer shall forward copies of its response to all Bidders who have acquired the Bidding Document in accordance with ITB 6.3, including a description of the inquiry but without identifying its source. Should the Employer deem it necessary to amend the Bidding Document as a result of a request for clarification, it shall do so following the procedure under ITB 8 and ITB 22.2.
- 7.2 The Bidder is advised to visit and examine the Site of Works and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the bid and entering into a contract for construction of the Works. The costs of visiting the Site shall be at the Bidder's own expense.

- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 The Bidder's designated representative is invited to attend a pre-bid meeting, if provided for in the BDS. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested, as far as possible, to submit any questions in writing, to reach the Employer not later than one week before the meeting.
- 7.6 Minutes of the pre-bid meeting, including the text of the questions raised, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the Bidding Document in accordance with ITB 6.3. Any modification to the Bidding Document that may become necessary as a result of the pre-bid meeting shall be made by the Employer exclusively through the issue of an addendum pursuant to ITB 8 and not through the minutes of the pre-bid meeting.
- 7.7 Non-attendance at the pre-bid meeting will not be a cause for disqualification of a Bidder.
- 8. Amendment of Bidding Document
- 8.1 At any time prior to the deadline for submission of bids, the Employer may amend the Bidding Document by issuing addenda.
- 8.2 Any addendum issued shall be part of the Bidding Document and shall be communicated in writing to all who have obtained the Bidding Document from the Employer in accordance with ITB 6.3.
- 8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their bids, the Employer may, at its discretion, extend the deadline for the submission of bids, pursuant to ITB 22.2

C. Preparation of Bids

- 9. Cost of Bidding
- 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall not be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.
- 10. Language of Bid 10.1
- The Bid, as well as all correspondence and documents relating to the bid exchanged by the Bidder and the Employer, shall be written in English. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant

passages in English in which case, for purposes of interpretation of the Bid, such translation shall govern.

11. Documents Comprising the Bid

- 11.1 The Bid shall comprise two envelopes submitted simultaneously, one containing the Technical Bid and the other the Price Bid, enclosed together in an outer single envelope.
- 11.2 Initially, only the Technical Bids are opened at the address, date and time specified in ITB Sub-Clause 25.1. The Price Bids remain sealed and are held in custody by the Employer. The Technical Bids are evaluated by the Employer. No amendments or changes to the Technical Bids are permitted. Bids with Technical Bids which do not conform to the specified requirements will be rejected as deficient Bids.
- 11.3 Price Bids of technically compliant Bids are opened in public at a date and time advised by the Employer. The Price Bids are evaluated and the Contract is awarded to the Bidder whose Bid has been determined to be the lowest evaluated substantially responsive Bid.
- 11.4 The Technical Bid shall contain the following:
 - (a) Letter of Technical Bid in accordance with ITB 16;
 - (b) Bid Security, in accordance with ITB Clause 19;
 - (c) alternative Technical Bid, if permissible, in accordance with ITB Clause 13;
 - (d) written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB Clause 20.2;
 - documentary evidence in accordance with ITB Clause 17 establishing the Bidder's qualifications to perform the contract; and
 - (f) any other document required in the BDS.
- 11.5 The Price Bid shall contain the following:
 - (a) Letter of Price Bid and completed schedules as required including Bill of Quantities in accordance with ITB Clauses 12, and 14;
 - (b) alternative Price Bid corresponding to the alternative Technical Bid, if permissible, in accordance with ITB Clause 13; and
 - (c) any other document required in the BDS.

12. Bid Letters and Price Schedules

- 12.1 The Bidder shall submit the Technical Bid and the Price Bid using the appropriate letter formats furnished in Section 4: Bidding Forms. These forms must be completed without any alterations to their format, and no substitutes shall be accepted. All blank spaces shall be filled in with the information requested.
- 12.2 The Bidder shall submit, as part of the Price Bid, the Schedules, including the Bill of Quantities.

13. Alternative Bids

- 13.1 Unless otherwise indicated in the BDS, alternative bids shall not be considered.
- 13.2 When alternative periods for completion are explicitly invited, a statement to that effect will be included in the BDS, as will the method of evaluating different periods for completion.

14. Bid Prices and Discounts

- 14.1 The prices and discounts quoted by the Bidder in the Letter of Price Bid(LPB)and in the Bill of Quantities shall conform to the requirements specified below.
- 14.2 In the BOQ, quantity and unit rates and thereby the amount against each item have been indicated. From this, price of each schedule has been worked out and indicated in the summary sheet in BOQ. The Bidder shall quote rates as single percentage above/below/at par in figures and words as per format for each schedule in the summary sheet. If any bidder quotes more than one percentage for any schedule, its bid shall be summarily rejected.
- 14.3 The price to be quoted in the Letter of Price Bid, in accordance with ITB 12.1, shall be the total price of the Bid, excluding any discounts offered.
- 14.4 The Bidder shall quote any discounts and the methodology for their application (the discounts, and the methodology of their application, should be quoted on prices quoted in the bid so that the discounts can be evaluated by simple arithmetic calculation during financial evaluation of the bids, to arrive at the net total price of the bid. If the net total price cannot be calculated after application of the methodology of the discount(s) quoted, the bid shall be considered as incomplete and will be rejected) in the Letter of Price Bid, in accordance with ITB 12.1
- 14.5 Unless otherwise provided in the BDS and the Contract, the rates and prices quoted by the Bidder are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions of Contract. In such a case, the indices and weightings for the price adjustment formulae shall be as specified in the Tables of Adjustment Data included in Contract Data.
- 14.6 If so indicated in ITB 1.1, bids are being invited for individual contracts or for any combination of contracts (packages). Bidders wishing to offer any price reduction for the award of more than one Contract shall specify in their bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package. Price reductions or discounts shall be submitted in accordance with ITB 14.4, provided the bids for all contracts are submitted and opened at the same time.
- 14.7 All duties, taxes, and other levies payable by the Contractor under the Contract, or for any other cause (including standard specifications), as of the date 28 days prior to the deadline for

submission of bids, shall be included in the rates and prices and the total Bid Price submitted by the Bidder.

- 14.8 Bidders should note that during the progress of the Works, the foreign currency requirements of the outstanding balance of the Contract Price may be adjusted by agreement between the Employer and the Contractor in order to reflect any changes in foreign currency requirements for the Contract, in accordance with Sub-Clause 14.15 of the Conditions of Contract. Any such adjustment shall be effected by comparing the percentages quoted in the bid with the amounts already used in the Works and the Contractor's future needs for imported items.
- 14.9 Bidder should note that non-submission of the Letter of Price Bid (LPB) and/or Summary sheet of BOQ by the bidder shall result in summary rejection of his bid.
- 15. Currencies of Bid and Payment
- 15.1 The bidder shall quote the unit rates and the prices entirely in the Indian Rupees.
- 16. Documents
 Comprising the
 Technical Bid
- 16.1 The Bidder shall furnish a commitment in Letter of Technical Bid(LTB)for deployment of equipment and personnel as stipulated in Section 5: Work's Requirement.
- 16.2 The bidder shall furnish commitment in LTB for submitting construction method statement for all major activities of work and get this approved from the engineer prior to the commencement of work on that activity in case of award of contract.
- 16.3 The Bidder shall furnish a commitment in Letter of Technical Bid(LTB)for adhering to mobilisation and construction schedule as stipulated in Section 5: Work's Requirement.
- 16.4 Bidder should note that non-submission of the Letter of Technical Bid (LTB) by the bidder shall result in summary rejection of his bid.
- 17. Documents
 Establishing the
 Qualifications of
 the Bidder
- 17.1 To establish its qualifications to perform the Contract in accordance with Section 3: Evaluation and Qualification Criteria, the Bidder shall submit as part of its technical Bid the information requested in the corresponding information sheets included in Section 4: Bidding Forms.
- 17.2 Domestic Bidders, individually or in joint ventures, applying for eligibility for domestic preference shall supply all information required to satisfy the criteria for eligibility as described in ITB 34.
- 18. Period of Validity of Bids
- 18.1 Bids shall remain valid for a period of 120 days after the bid submission deadline date prescribed by the employer. A bid valid for a shorter period shall be rejected by the employer as non responsive.
- 18.2 In exceptional circumstances, the Employer may request Bidders to extend the period of validity of their bids. The request and the responses shall be made in writing. If a bid security is requested

in accordance with ITB 19, it shall also be extended upto the date mentioned in the letter of request for extension. A Bidder may refuse the request without forfeiting its bid security. A Bidder granting the request shall not be required or permitted to modify its bid

19. Bid Security

- 19.1 Unless otherwise specified in the BDS, the Bidder shall furnish as part of its bid, a bid security in original form and for the said amount of Indian Rupees as specified in the BDS.
- 19.2 The bid security shall be, at the Bidder's option, in any of the following forms:
 - (a) A Cashiers or Banker"s certified cheque or Bank draft drawn on a Scheduled/Nationalized Bank in India in favour of "Indian Port Rail Corporation Ltd." payable at Mumbai; or
 - (b) An unconditional bank guarantee using the Form given in Section 4: Bidding Forms. The bank guarantee shall be from a bank having minimum net worth of over INR 500 million from the specified banks as under:
 - (i) A Scheduled Bank in India, or
 - (ii) A Foreign Bank having their operations in India, or
 - (iii) A Foreign Bank which do not have operations in India is required to provide a counter-guarantee by State Bank of India,

The bid security shall be valid upto the date as mentioned in BDS, or upto the date mentioned in the letter of request for extension, if any under ITB 18.2.

- 19.3 Any bid not accompanied by an enforceable and compliant bid security, as required in accordance with ITB 19.1, shall be summarily rejected by the Employer as non-responsive.
- 19.4 The bid security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's furnishing of the performance security pursuant to ITB 41.
- 19.5 The bid security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required performance security.
- 19.6 The bid security may be forfeited:
 - (a) if a Bidder withdraws its bid during the period of bid validity specified by the Bidder on the Letter of Bids, except as provided in ITB 18.2 or
 - (b) if a Bidder misrepresents or omits the facts in order to influence the procurement process;
 - (c) if the successful Bidder fails to:
 - (i) sign the Contract in accordance with ITB 40;
 - (ii) furnish a performance security in accordance with ITB 41;

- (iii) accept the correction of its Bid Price pursuant to ITB 32.2; or
- (iv) furnish a domestic preference security if so required.
- (d) if the undertaking of the affidavit submitted by the bidder or its constituents in pursuance to ITB clause 4.4 or any of the declarations of Letter of Technical Bid or Letter of Price Bid submitted by the bidder has been found to be false at any stage during the process of bid evaluation.

19.7 DELETED.

20. Format and Signing of Bid

- 20.1 The Bidder shall prepare one original of the Technical Bid and one original of the Price Bid as described in ITB Clause 11 and clearly mark each "ORIGINAL TECHNICAL BID" and "ORIGINAL PRICE BID". In addition, the Bidder shall submit copies of the Technical Bid and the Price Bid, in the number specified in the BDS and clearly mark them "COPY NO... TECHNICAL BID" and "COPY NO... PRICE BID". In the event of any discrepancy between the original and the copies, the original shall prevail.
- 20.2 The original and all copies of the bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as specified in the BDS. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the bid, except for un-amended printed literature, shall be signed or initialed by the person signing the bid.
- 20.3 Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the bid. Using of white fluid for correction is not permissible.

D. Submission and Opening of Bids

21. Sealing and Marking of Bids

- 21.1 The Bidder shall enclose the original of the Technical Bid, the original of the Price Bid, and each copy of the Technical Bid and each copy of the Price Bid, including alternative bids, if permitted in accordance with ITB Clause 13, in separate sealed envelopes, duly marking the envelopes as "ORIGINAL TECHNICAL BID", "ORIGINAL PRICE BID" and "COPY NO... TECHNICAL BID" and "COPY NO... PRICE BID", as appropriate. These envelopes containing the original and the copies shall then be enclosed in one single envelope.
- 21.2 The inner and outer envelopes shall:
 - (a) bear the name and address of the Bidder;
 - (b) be addressed to the Employer in accordance with BDS

22.1;

- (c) bear the specific identification of this bidding process indicated in the BDS 1.1; and
- (d) The outer envelopes and the inner envelopes containing the Technical Bids shall bear a warning not to open before the time and date for the opening of Technical Bids, in accordance with ITB Sub-Clause 25.
- (e) The inner envelopes containing the Price Bids shall bear a warning not to open until advised by the Employer in accordance with ITB Sub-Clause 25.
- 21.3 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the bid.
- 21.4 In case Price Bid in a bid is received unsealed then the bid shall be considered as non-responsive and will be returned to the bidder immediately.
- 21.5 Alternative Bids, if permissible in accordance with ITB Clause 13, shall be prepared, sealed, marked, and delivered in accordance with the provisions of ITB Clauses 22 and 23, with the inner envelopes marked in addition "ALTERNATIVE NO...." as appropriate.
- 21.6 No details about price proposal shall be disclosed directly or indirectly in the technical proposal failing which the bid shall be rejected.
- 22. Deadline for Submission of Bids
- 22.1 Bids must be received by the Employer at the address and not later than the date and time indicated in the BDS.
- 22.2 The Employer may, at its discretion, extend the deadline for the submission of bids by amending the Bidding Document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.
- 23. Late Bids
- 23.1 The Employer shall not consider any bid that arrives after the deadline for submission of bids, in accordance with ITB 22. Any bid received by the Employer after the deadline for submission of bids shall be declared late, rejected, and returned unopened to the Bidder.
- 24. Withdrawal, Substitution, and Modification of Bids
- A Bidder may withdraw, substitute, or modify its bid after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 20.2, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the bid must accompany the respective written notice. All notices must be:
 - (a) prepared and submitted in accordance with ITB 20 and ITB 21 (except that withdrawal notices do not require

- copies), and in addition, the respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," "MODIFICATION;" and
- (b) received by the Employer prior to the deadline prescribed for submission of bids, in accordance with ITB 22.
- 24.2 Bids requested to be withdrawn in accordance with ITB 24.1 shall be returned unopened to the Bidders.
- 24.3 No bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Bidder on the Letter of Bid or any extension thereof.

25. Bid Opening

- 25.1 The Employer shall conduct the opening of Technical Bids in the presence of Bidders" representatives who choose to attend, at the address, date and time specified in the BDS.
- 25.2 The Price Bids will remain unopened and will be held in custody of the Employer until the time of opening of the Price Bids. The date, time, and location of the opening of Price Bids will be advised in writing by the Employer to all the bidders who have been determined qualified in technical evaluation.
- 25.3 First, envelopes marked "WITHDRAWAL" shall be opened, read out, and recorded, and the envelope containing the corresponding Bid shall not be opened, but returned to the Bidder. No Bid shall be withdrawn unless the corresponding Withdrawal Notice contains a valid authorization to request the withdrawal and is read out and recorded at bid opening.
- 25.4 Next, outer envelopes marked "SUBSTITUTION" shall be opened. The inner envelopes containing the Substitution Technical Bid and/or Substitution Price Bid shall be exchanged for the corresponding envelopes being substituted, which are to be returned to the Bidder unopened. Only the Substitution Technical Bid, if any, shall be opened, read out, and recorded. Substitution Price Bids will remain unopened in accordance with ITB Sub-Clause 25.2. No envelope shall be substituted unless the corresponding Substitution Notice contains a valid authorization to request the substitution and is read out and recorded at bid opening.
- 25.5 Next, outer envelopes marked "MODIFICATION" shall be opened. No Technical Bid and/or Price Bid shall be modified unless the corresponding Modification Notice contains a valid authorization to request the modification and is read out and recorded at the opening of Technical Bids. Only the Technical Bids, both Original as well as Modification, are to be opened, read out, and recorded at the opening. Price Bids, both Original as well as Modification, will remain unopened in accordance with ITB Sub-Clause 25.2.
- 25.6 All other envelopes holding the Technical Bids shall be opened one at a time, and the following read out and recorded :

- (a) the name of the Bidder;
- (b) whether there is a modification or substitution;
- (c) the presence of a Bid Security, if required; and
- (d) any other details as the Employer may consider appropriate.

Only Technical Bids and alternative Technical Bids read out and recorded at bid opening shall be considered for evaluation. No Bid shall be rejected at the opening of Technical Bids except for late bids, in accordance with ITB Sub-Clause 23.1.

- 25.7 The Employer shall prepare a record of the opening of TechnicalBids that shall include, as a minimum: the name of the Bidder and whether there is a withdrawal, substitution, modification, or alternative offer; and the presence or absence of a Bid Security, if one was required. The Bidders" representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.
- 25.8 At the end of the evaluation of the Technical Bids, the Employer will invite bidders who have submitted substantially responsive Technical Bids and who have been determined as being qualified for award to attend the opening of the Price Bids. The date, time, and location of the opening of Price Bids will be advised in writing by the Employer. Bidders shall be given reasonable notice of the opening of Price Bids.
- 25.9 The Employer will notify Bidders in writing who have been rejected on the grounds of being substantially non-responsive to the requirements of the Bidding Document and who have been determined as being not qualified as a result of evaluation of Technical Bid and their unopened Price Bids along with their bid security shall be returned.
- 25.10 The Employer shall conduct the opening of Price Bids of all Bidders who submitted substantially responsive Technical Bids and who have been determined qualified as a result of technical evaluation, in the presence of Bidders" representatives who choose to attend at the address, date and time specified by the Employer. The Bidder's representatives who are present shall be requested to sign a register evidencing their attendance.
- 25.11 All envelopes containing Price Bids shall be opened one at a time and the following read out and recorded:
 - (a) the name of the Bidder

- (b) whether there is a modification or substitution;
- (c) the Bid Price(s), including any discounts and alternative offers; and
- (d) any other details as the Employer may consider appropriate.

Only Price Bids, discounts, and alternative offers read out and recorded during the opening of Price Bids shall be considered for evaluation. No Bid shall be rejected at the opening of Price Bids.

25.12 The Employer shall prepare a record of the opening of Price Bids that shall include, as a minimum: the name of the Bidder, the Bid Price (per contract if applicable), any discounts, and alternative offers. The Bidders" representatives who are present shall be requested to sign the record. The omission of a Bidder"s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

E. Evaluation and Comparison of Bids

26. Confidentiality

- 26.1 Information relating to the examination, evaluation & comparison, pre-qualification of Bids and recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process until information on Contract award is communicated to all Bidders.
- 26.2 Any attempt by a Bidder to influence the Employer in the examination, evaluation & comparison and pre-qualification of the Bids or Contract award decisions may result in the rejection of its Bid.
- 26.3 Notwithstanding ITB Sub-Clause 26.2, from the time of opening the Technical Bids to the time of Contract award, if any Bidder wishes to contact the Employer on any matter related to the bidding process, it should do so in writing.

27. Clarification of Bids

- 27.1 To assist in the examination, evaluation & comparison and prequalification of the Bids, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of errors discovered by the Employer in the evaluation of the Price Bids, in accordance with ITB Clause 32.
- 27.2 If a Bidder does not provide clarifications of its bid by the date and time set in the Employer's request for clarification, its bid may be rejected.

28. Deviations, Reservations, and Omissions

- 28.1 During the evaluation of bids, the following definitions apply:
 - (a) "Deviation" is a departure from the requirements specified in the Bidding Document;
 - (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding Document; and
 - (c) "Omission" is the failure to submit part or all of the information or documentation required in the Bidding Document.

29. Determination of Responsiveness

- 29.1 The Employer's determination of a bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB11.
- 29.2 A substantially responsive bid is one that meets the requirements of the Bidding Document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
 - (a) if accepted, would:
 - affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
 - (ii) limit in any substantial way, inconsistent with the Bidding Document, the Employer's rights or the Bidder's obligations under the proposed Contract; or
 - (b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive bids.
- 29.3 The Employer shall examine the technical aspects of the bid submitted in accordance with ITB 16, Technical Bid, in particular, to confirm that all requirements of Section 5 (Works Requirements) have been met without any material deviation or reservation.
- 29.4 If a bid is not substantially responsive to the requirements of the Bidding Document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

30. Nonconformities, Errors, and Omissions

- 30.1 Provided that a bid is substantially responsive, the Employer may waive any nonconformities in the bid that do not constitute a material deviation, reservation or omission.
- 30.2 Provided that a bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the bid. Failure of the Bidder to comply with the request may result in the rejection of its bid.

30.3 Deleted

31. Pre-Qualification of the Bidder

- 31.1 The Employer shall determine to its satisfaction during the evaluation of Technical Bids whether Bidders are qualified to perform the Contract satisfactorily.
- 31.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB Clause 17, to clarifications in accordance with ITB Clause 27 and the qualification criteria indicated in Section 3: Evaluation and Qualification Criteria. Factors not included in Section 3: Evaluation and Qualification Criteria shall not be used in the evaluation of the Bidder's qualification.
- 31.3 An affirmative determination of technical bid shall be a prerequisite for the opening and evaluation of a Bidder's Price Bid. A negative determination shall result into the disqualification of the Bid, in which event the Employer shall return the unopened Price Proposal to the Bidder

.

- 31.4 DELETED
- 31.5 DELETED

32.1

32. Correction of Arithmetical Errors and Omissions in Price Bid

Provided that the bid is substantially responsive, the Employer shall correct arithmetical errors and omissions in the price bid on the following basis:

- (a) If there is a discrepancy between the price mentioned in the summary sheet of the BOQ and the price that is obtained by calculation i.e. by taking into account the percentage rate quoted above/below/at par for anybill/ schedule in the summary sheet of BOQ, then the quoted percentage rate shall prevail and the price shall be corrected accordingly;
- (b) if the percentage rate has been quoted both in words and in figures and there is a discrepancy in such rates, then the rate in words shall prevail and shall be considered for evaluation of the price of the schedule/bill;
- (c) If the percentage rate has been quoted either in words or in figures only, then the same shall be considered for evaluation of the price of the schedule/bill;
- (d) If no percentage rate has been indicated for any particular schedule/bill in words, as well as in figures, irrespective of the fact whether the bidder has written or not written above/below/at par, in such cases, the rate shall be considered as at par and the price shall be calculated accordingly;
- (e) If the bidder has indicated a percentage rate other than

- zero percent without mentioning whether it is above/below or has mentioned it as at par, in such cases the percentage rate shall be considered as at par and the price shall be calculated accordingly;
- (f) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected
- 32.2 If the Bidder that has submitted the lowest evaluated bid does not accept the correction of errors and omissions as per above provisions, its bid shall be disqualified and its bid security shallbe forfeited.
- 33. Conversion to Single Currency
- 33.1 For evaluation and comparison purposes the currencies of the bid shall be converted into Indian Rupees as stated in BDS.
- 34. Purchase Preference
- 34.1 Unless otherwise specified in the BDS the Purchase Preference shall not apply.
- 34.2 Unless otherwise specified in the BDS the Domestic Preference shall not apply.
- 35. Evaluation of Bids 35.1
- The Employer shall evaluate Price Bids of each Bid for which the Technical Bids have been determined to be substantially responsive. The Employer shall use the criteria and methodologies listed in this Clause. No other evaluation criteria or methodologies shall be permitted.
 - 35.2 To evaluate the price Bid of a bid, the Employer shall consider the following:
 - (a) the bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Summary Bill of Quantities, but including Day Work items, where priced competitively;
 - (b) price adjustment for correction of arithmetic errors and omissions in the price bid in accordance with ITB 32.1;
 - (c) price adjustment due to discounts offered in accordance with ITB 14.4:
 - (d) DELETED
 - (e) application of all the evaluation factors indicated in Section 3 (Evaluation and Qualification Criteria).
 - 35.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in bid evaluation.
 - 35.4 If this Bidding Document allows Bidders to quote separate prices for different contracts, and the award to a single Bidder of multiple contracts, the methodology to determine the lowest evaluated price of the contract combinations, including any discounts offered in the Letter of Price Bid, is specified in Section 3 (Evaluation and Qualification Criteria).

35.5 If the bid, which results in the lowest Evaluated Bid Price is seriously unbalanced in the opinion of the Employer as per criteria defined below, the Employer may require the bidder to submit additional performance security.

If for any bill/ schedule of quantities % age above or below quoted by the bidder on the estimated price is beyond 10% below the overall % age difference between the quoted contract price and the engineers estimated price, then the price for that particular schedule shall be treated as seriously unbalanced and bidder shall be bound to furnish additional performance security for such unbalanced price. Example below demonstrates the method of calculation to arrive at unbalanced price and additional performance security:

Suppose for the L1 bidder overall % age difference between quoted contract price and the engineers estimated price;

(Overall contract price – Overall estimated price) x 100÷ overall estimated price = + 4 %

Maximum % age below permitted over estimated price of any bill / schedule in this case = +4 - 10 = -6%

Suppose for the L1 bidder has quoted 20% below estimated price of schedule "A" then the pricing of the schedule A shall be treated as unbalanced and the bidder shall required to furnish additional performance security for an amount equal to (20-6) % of the estimated price of schedule A

- 36. Comparison of Bids
- 36.1 The Employer shall compare all substantially responsive bids to determine the lowest evaluated bid, in accordance with ITB 34 and 35.
- 37. Employer's Right to Accept Any Bid, and to Reject Any or All Bids

37.1

The Employer reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to Bidders. In case of annulment, all bids submitted and specifically, bid securities, shall be promptly returned to the Bidders.

F. Award of Contract

- 38. Award Criteria
- 38.1 The Employer shall award the Contract to the Bidder whose bid is substantially responsive to the Bidding Document, provided further that the Bidder is determined to be qualified to perform the Contract satisfactorily and whose offer has been determined to be the lowest evaluated subject to ITB 38.2 below.
- 38.2 The Employer has the right to review at any time prior to award of contract that the qualification criteria as specified in Section 3: Evaluation and Qualification Criteria are still being met by the Bidder whose offer has been determined to be the lowest evaluated Bid. A Bid shall be rejected if the qualification criteria as specified in Section 3: Evaluation and Qualification Criteria are no longer met by the Bidder whose offer has been determined to be the lowest evaluated Bid. In this event the Employer shall proceed to the next lowest evaluated Bid to make a similar reassessment of that Bidder's capabilities to perform

satisfactorily.

39.1

39. Notification of Award

- Prior to the expiration of the period of bid validity, the Employer shall notify the successful Bidder, in writing, that its bid has been accepted by the Competent Authority at IPRCL's Corporate Office at Mumbai. The notification letter (hereinafter and in the Conditions of Contract and Contract Forms called the "Letter of Acceptance") shall specify the sum that the Employer will pay the Contractor in consideration of the execution and completion of the Works (hereinafter and in the Conditions of Contract and Contract Forms called "the Contract Price") and the requirement for the Contractor to remedy any defects therein as prescribed by the Contract.
- 39.2 Until a formal contract is prepared and executed, the notification of award shall constitute a binding Contract.
- 40. Signing of Contract 40.1
- Promptly after notification, the Employer shall send the successful Bidder the Contract Agreement.
- 40.2 Within twenty-eight (28) days of receipt of the Contract Agreement, the successful Bidder shall sign, date, and return it to the Employer.

41. Performance Security

- 41.1 Within twenty-eight (28) days of the receipt of notification of award from the Employer, the successful Bidder shall furnish the performance security in accordance with the conditions of contract, subject to ITB 35.5, using for that purpose the Performance Security Form included in Section 8: Contract Forms, or another form acceptable to the Employer.
- 41.2 Failure of the successful Bidder to submit the above-mentioned Performance Security or to sign the Contract Agreement shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security.
- 41.3 The above provision shall not apply to the furnishing of a Domestic Preference Security, if so required.

42. Jurisdiction of Courts

The bidding process shall be governed by and construed in accordance with the laws of India and the Courts as indicated in Bid Data Sheet shall have exclusive jurisdiction over all the disputes/issues arising under, pursuant to and/ or in connection with the bidding process.

Table of Clauses

1.	Gene	eral Provisions	5		
	1.1	Definitions	5		
	1.2	Interpretation	9		
	1.3	Communications	10		
	1.4	Law and Language	10		
	1.5	Priority of Documents			
	1.6	Contract Agreement			
	1.7	Assignmen			
	1.8	Care and Supply of Documents			
	1.9	Delayed Drawings or Instructions			
	1.10	Employer's Use of Contractor's Documents			
	1.11	Contractor's Use of Employer's Documents			
	1.12	Confidential Details			
	1.12				
		Compliance with Laws			
	1.14	Joint and Several Liability			
_	1.15	Inspections by the Employer			
2.	ine i	Employer	14		
		Right of Access to the Site			
	2.2	Permits, Licences or Approvals			
	2.3	Employer's Personnel			
	2.4	Employer's Financial Arrangements			
	2.5	Employer's Claims	15		
3.	The E	Engineer			
	3.1	Engineer's Duties and Authority	16		
	3.2	Delegation by the Engineer	17		
	3.3	Instructions of the Engineer	17		
	3.4	Replacement of the Engineer	18		
	3.5	Determinations			
4.	The (The Contractor18			
	4.1	Contractor's General Obligations	18		
	4.2	Performance Security			
	4.3	Contractor's Representative			
	4.4	Subcontractors			
	4.5	Assignment of Benefit of Subcontract	23		
	4.6	Co-operation			
	4.7	Setting Out			
	4.8	Safety Procedures			
	4.9	Quality Assurance			
	4.10	Site Data			
	4.10	Sufficiency of the Accepted Contract Amount			
	4.11				
		Unforeseeable Physical Conditions			
	4.13	Rights of Way and Facilities			
	4.14	Avoidance of Interference			
	4.15	Access Route			
	4.16	Transport of Goods			
	4.17	Contractor's Equipment			
	4.18	Protection of the Environment			
	4.19	Electricity, Water and Gas			
	4.20	Employer's Equipment and free issue material			
	4.21	Progress Report	30		

	4.22	Security of the Site	31			
	4.23	Contractor's Operations on Site	31			
	4.24	Fossils	31			
5.	Nominated Subcontractors					
J.	5.1	Definition of —ominated Subcontractor"				
	5.2	Objection to Nomination				
	5.3	Payments to nominated Subcontractors				
	5.4	Evidence of Payments				
•	04 - ff	·				
6.	Staπ 6.1	and Labour Engagement of Staff and Labour				
	6.2	Rates of Wages and Conditions of Labour				
	_	rsons in the Service of Employer				
	6.4	Labour Laws				
	6.5	Working Hours				
	6.6	Facilities for Staff and Labour				
	6.7	Health and Safety				
	6.8	Contractor's Superintendence				
	6.9	Contractor's Personnel				
	6.10	Records of Contractor's Personnel and Equipment				
	6.11	Disorderly Conduct				
	6.12	Foreign Personnel				
	6.13	Supply of Foodstuffs				
	6.14	Supply of Water				
	6.15	Measures against Insect and Pest Nuisance				
	6.16	Alcoholic Liquor or Drugs				
	6.17	Arms and Ammunition				
	6.18	Festivals and Religious Customs				
	6.19	Funeral Arrangements				
	6.20	Prohibition of Forced or Compulsory Labour				
	6.21	Prohibition of Harmful Child Labour				
	6.22	Employment Records of Workers				
7.		, Materials and Workmanship				
	7.1	Manner of Execution				
	7.2	Samples				
	7.3	Inspection				
	7.4	Testing				
	7.5	Rejection				
	7.6	Remedial Work				
	7.7	Ownership of Plant and Materials				
	7.8	Royalties	41			
8.	Commencement, Delays and Suspension					
	8.1	Commencement of Works				
	8.2	Time for Completion				
	8.3	Programme				
	8.4	Extension of Time for Completion				
	8.5	Delays Caused by Authorities				
	8.6	Rate of Progress				
	8.7	Delay Damages				
	8.8	Suspension of Work	44			

	8.9	Consequences of Suspension		
	8.10	Payment for Plant and Materials in Event of Suspension		
	8.11	Prolonged Suspension		
	8.12	Resumption of Work		
	8.13	Bonus for early completion	45	
9.	Tests on Completion			
	9.1	Contractor's Obligations	45	
	9.2	Delayed Tests	45	
	9.3	Retesting	46	
	9.4	Failure to Pass Tests on Completion	46	
	9.5	Contractor's obligation.	46	
10.	Employer's Taking Over			
	10.1	Taking Over of the Works and Sections	47	
	10.2	Taking Over of Parts of the Works	47	
	10.3	Interference with Tests on Completion	48	
	10.4	Surfaces requiring Reinstatement.		
11.	Defec	ts Liability	49	
	11.1	Completion of Outstanding Work and Remedying Defects	49	
	11.2	Cost of Remedying Defects		
	11.3	Extension of Defects Notification Period	49	
	11.4	Failure to Remedy Defects	49	
	11.5	Removal of Defective Work	50	
	11.6	Further Tests		
	11.7	Right of Access	50	
	11.8	Contractor to Search		
	11.9	Performance Certificate	50	
	11.10	Unfulfilled Obligations	50	
	11.11	Clearance of Site		
12.	Measi	urement and Evaluation	51	
	12.1	Works to be Measured	51	
	12.2	Method of Measurement	51	
	12.3	Evaluation	51	
	12.4	Omissions	53	
13.	Variations and Adjustments			
	13.1	Right to Vary	53	
	13.2	Value Engineering	54	
	13.3	Variation Procedure		
	13.4	Payment in Applicable Currencies	55	
	13.5	Provisional Sums	55	
	13.6	Day work	55	
	13.7	Adjustments for Changes in Legislation	56	
	13.8	Adjustments for Changes in Cost	57	
14.	Contract Price and Payment			
	14.1	The Contract Price	73	
	14.2	Advance Payment		
	14.3	Application for Interim Payment Certificates	76	
	14.4	Schedule of Payments	77	

	14.5	Plant and Materials intended for the Works	77
	14.6	Issue of Interim Payment Certificates	78
	14.7	Payment	79
	14.8	Delayed Payment	80
	14.9	Payment of Retention Money	80
	14.10	Statement at Completion	81
	14.11	Application for Final Payment Certificate	81
		Discharge	
	14.13	Issue of Final Payment Certificate	82
		Cessation of Employer's Liability	
	14.15	Currencies of Payment	82
15.	Termination by Employer		
	15.1	Notice to Correct	82
	15.2	Termination by Employer	83
	15.3	Valuation at Date of Termination	83
	15.4	Payment after Termination	
	15.5	Employer's Entitlement to Termination for Convenience	
	15.6	Corrupt or Fraudulent Practices	85
16.		ension and Termination by Contractor	
	16.1	Contractor's Entitlement to Suspend Work	
	16.2	Termination by Contractor	
	16.3	Cessation of Work and Removal of Contractor's Equipment	
	16.4	Payment on Termination	87
17.		and Responsibility	
	17.1	Indemnities	
	17.2	Contractor's Care of the Works	
	17.3	Employer's Risks	
	17.4	Consequences of Employer's Risks	
	17.5	Intellectual and Industrial Property Rights	
	17.6	Limitation of Liability	
40	17.7	Use of Employer's Accomodation/Facilities	
18.	18.1	Conoral Dequirements for Insurances	
	18.2	General Requirements for Insurances Insurance for Works and Contractor's Equipment	
	18.3	Insurance against Injury to Persons and Damage to Property	
	18.4	Insurance for Contractor's Personnel	044
19.	_	Majeure	
13.	19.1	Payment and release in case of Optional Termination.	
20.		s, Disputes and Arbitration	
_0.	20.1	Contractor's Claims	
	20.2	Amicable Settlement	
	20.3	Arbitration	
21.		liction of Courts	
	Δηηρ	ndix 1	100

General Conditions

1. General Provisions

1.1 Definitions

In the Conditions of Contract (these Conditions"), which include Special Conditions of Contract, Parts A and B, and these General Conditions, the following words and expressions shall have the meanings stated. Words indicating persons or parties include corporations and other legal entities, except where the context requires otherwise.

1.1.1 The Contract

- 1.1.1.1 **-Contract**" means the Contract Agreement, the Letter of Acceptance, the Letter of Bid, these Conditions, the Specification, the Drawings, the Schedules, and the further documents (if any) which are listed in the Contract Agreement or in the Letter of Acceptance.
- 1.1.1.2 **General Agreement** means the contract agreement (*if any*) **referred** to in Sub-Clause 1.6 [Contract Agreement].
- 1.1.1.3 "Letter of Acceptance" means the letter of formal acceptance, signed by the Employer, of the Letter of Bid, including any annexed memoranda comprising agreements between and signed by both Parties. If there is no such letter of acceptance, the expression —Letter of Acceptance" means the Contract Agreement and the date of issuing or receiving the Letter of Acceptance means the date of signing the Contract Agreement.
- 1.1.1.4 "Letter of Bid" means the document entitled letter of bid, which was completed by the Contractor and includes the signed offer to the Employer for the Works.
- 1.1.1.5 **"Specification**" means the document entitled specification, as included in the Contract, and any additions and modifications to the specification in accordance with the Contract. Such document specifies the Works.
- 1.1.1.6 **"Drawings"** means the drawings of the Works, as included in the **Contract**, and any additional and modified drawings issued by (or on behalf of) the Employer in accordance with the Contract.
- 1.1.1.7 **"Schedules"** means the document(s) entitled schedules, completed by the Contractor and submitted with the Letter of Bid, as included in the Contract. Such document may include the Bill of Quantities, data, lists, and schedules of rates and/or prices.
- 1.1.1.8 "Bid/Tender" means the Letter of Technical Bid andLetter of Price Bid and all other documents which the Contractor submitted with the Letter of Technical Bid and Letter of Price Bid, as included in the Contract.
- 1.1.1.9 **"Bill of Quantities"** and **"Daywork Schedule"** and **-S**chedule of Payment Currencies" mean the documents so named (if any) which are comprised in the Schedules.

- 1.1.1.10 **"Contract Data"** means the pages completed by the Employer entitled contract data which constitute Part A of the Special Conditions of Contract.
- 1.1.1.11 "Employer's Requirements" means the document entitled Employer's Requirements' as part of Works Requirements and as included in the Contract, and any additions and modifications to such document in accordance with the Contract. Such document specifies the purpose, scope, and/or design and/or other technical criteria, for the works.

1.1.2 Parties and 1.1.2.1 Persons

- "Party" means the Employer or the Contractor, as the context requires.
- 1.1.2.2 **"Employer"**/**-Principal Employer"** means the person named as employer/Principal employer in the Contract Data and the legal successors in title to this person.
- 1.1.2.3 "Contractor" means the person(s) named as contractor in the Letter of Bid accepted by the Employer and the legal successors in title to this person(s).
- 1.1.2.4 "Engineer" means the person nominated by the Employer to act as the Engineer for the purposes of the Contract and named in the Contract Data, or other person appointed from time to time by the Employer and notified to the Contractor under Sub-Clause 3.4 [Replacement of the Engineer]. The person nominated to act as an engineer may be an employee of Indian Port Rail Corporation Ltd. (IPRCL) or an employee of a Project Management Consultancy firm engaged by IPRCL for project management as per the discretion of the Employer.
- 1.1.2.5 "Contractor's **Representative**" means the person named by the Contractor in the Contract or appointed from time to time by the Contractor under Sub-Clause 4.3 [Contractor's Representative], who acts on behalf of the Contractor.
- 1.1.2.6 Employer's **Representative**" means the person named by the Employer in the Contract or appointed from time to time by the Employer who acts on behalf of the Employer.
- 1.1.2.7 **"Employer's Personnel"** means the Engineer, the assistants referred to in Sub-Clause 3.2 [Delegation by the Engineer] and all other staff, labour and other employees of the Engineer and of the Employer; and any other personnel notified to the Contractor, by the Employer or the Engineer, as Employer's Personnel.
- 1.1.2.8 "Contractor's Personnel" means the Contractor's Representative and all personnel whom the Contractor utilises on Site, who may include the staff, labour and other employees of the Contractor and of each Subcontractor; and any other personnel assisting the Contractor in the execution of the Works.
- 1.1.2.9 **"Subcontractor"** means any person named in the Contract as a subcontractor, or any person appointed as a subcontractor, for a part of the Works; and the legal successors in title to each of these persons.

1.1.3 Dates, Tests, 1.1.3.1 Periods and

"Base Date" means the date 28 days prior to the deadline for

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA Completion submission of bids. 1.1.3.2 "Commencement Date" means the date notified under Sub-Clause 8.1 [Commencement of Works]. 1.1.3.3 "Time for Completion" means the time for completing the Works or a Section (as the case may be) under Sub-Clause 8.2 [Time for Completion], as stated in the Contract Data (with any extension under Sub-Clause 8.4 [Extension of Time for Completion]), calculated from the Commencement Date. 1.1.3.4 "Tests on Completion" means the tests which are specified in the Contract or agreed by both Parties or instructed as a Variation, and which are carried out under Clause 9 [Tests on Completion] before the Works or a Section (as the case may be) are taken over by the Employer. 1.1.3.5 "Taking-Over Certificate" means a certificate issued under Clause 10 [Employer's Taking Over]. 1.1.3.6 "Tests after Completion" means the tests (if any) which are specified in the Contract and which are carried out in accordance with the Specification after the Works or a Section (as the case may be) are taken over by the Employer. 1.1.3.7 "Defects Notification Period" means the period for notifying defects in the Works or a Section (as the case may be) under Sub-Clause 11.1 [Completion of Outstanding Work and Remedying Defects], as stated in the Contract Data (with any extension under Sub-Clause 11.3 [Extension of Defects Notification Period]), calculated from the date on which the Works or Section is completed as certified under Sub-Clause 10.1 [Taking Over of the Works and Sections]. "Performance Certificate" means the certificate issued under 1.1.3.8 Sub-Clause 11.9 [Performance Certificate]. 1.1.3.9 -Day" means any (working or non-working) calendar day from 00:00 hrs. to 24:00 hrs. 1.1.3.10 "Months" means any calendar month of the Gregorian calendar vear. 1.1.3.11 "Year" means 365 days

include both such days.

(IST).

1.1.4 Money and **Payments**

1.1.4.1 -Accepted Contract Amount" means the amount accepted in the Letter of Acceptance for the execution and completion of the Works and the remedying of any defects.

1.1.3.13 Any reference to "Time" shall be according to Indian Standard Time

-Time Periods" Any reference to time period commencing <u>-from</u>" the specified day or date -till" or -until" a specified day shall

1.1.4.2 "Contract Price" means the price defined in Sub-Clause 14.1 [The Contract Price], and includes adjustments in accordance with the Contract.

1.1.3.12

- 1.1.4.3 "Cost" means all expenditure reasonably incurred (or to be incurred) by the Contractor, whether on or off the Site, including overhead and similar charges, but does not include profit.
- 1.1.4.4 **"Final** Payment **Certificate"** means the payment certificate issued under Sub-Clause 14.13 [Issue of Final Payment Certificate].
- 1.1.4.5 **"Final Statement"** means the statement defined in Sub-Clause 14.11 [Application for Final Payment Certificate].
- 1.1.4.6 **"Foreign Currency"** means a currency in which part (or all) of the Contract Price is payable, but not the Local Currency.
- 1.1.4.7 **"Interim Payment Certificate**" means a payment certificate issued under Clause 14 [Contract Price and Payment], other than the Final Payment Certificate.
- 1.1.4.8 "Local Currency" means the currency in Indian Rupees.
- 1.1.4.9 **"Payment Certificate"** means a payment certificate issued under Clause 14 [Contract Price and Payment].
- 1.1.4.10 **"Provisional Sum"** means a sum (if any) which is specified in the Contract as a provisional sum, for the execution of any part of the Works or for the supply of Plant, Materials or services under Sub-Clause 13.5 [Provisional Sums].
- 1.1.4.11 "Retention Money" means the accumulated retention moneys which the Employer retains under Sub-Clause 14.3 [Application for Interim Payment Certificates] and pays under Sub-Clause 14.9 [Payment of Retention Money].
- 1.1.4.12 **"Statement"** means a statement submitted by the Contractor as part of an application, under Clause 14 [Contract Price and Payment], for a payment certificate.

1.1.5 Works and Goods

- 1.1.5.1 "Contractor's Equipment" means all apparatus, machinery, vehicles and other things required for the execution and completion of the Works and the remedying of any defects. However, Contractor's Equipment excludes Temporary Works, Employer's Equipment (if any), Plant, Materials and any other things intended to form or forming part of the Permanent Works.
- 1.1.5.2 **"Goods"** means Contractor's Equipment, Materials, Plant and Temporary Works, or any of them as appropriate.
- 1.1.5.3 "Materials" means things of all kinds (other than Plant) intended to form or forming part of the Permanent Works, including the supply-only materials (if any) to be supplied by the Contractor under the Contract.
- 1.1.5.4 **"Permanent Works"** means the permanent works to be executed by the Contractor under the Contract.
- 1.1.5.5 **"Plant"** means the apparatus, machinery and vehicles intended to form or forming part of the Permanent Works.
- 1.1.5.6 **"Section**" means a part of the Works specified in the Contract Data as a Section (if any).
- 1.1.5.7 **"Temporary Works"** means all temporary works of every kind (other than Contractor's Equipment) required on Site for the

execution and completion of the Permanent Works and the remedying of any defects.

1.1.5.8 **"Works"** mean the Permanent Works and the Temporary Works, or either of them as appropriate.

1.1.6 Other Definition:

- 1.1.6.1 **"Contractor's** Documents" means the calculations, computer programs and other software, drawings, manuals, models and other documents of a technical nature (if any) supplied by the Contractor under the Contract.
- 1.1.6.2 "**Country**" means India, the country in which the Site (or most of it) is located, where the Permanent Works are to be executed.
- 1.1.6.3 **"Employer's Equipment**" means the apparatus, machinery and vehicles (if any) made available by the Employer for the use of the Contractor in the execution of the Works, as stated in the Specification; but does not include Plant which has not been taken over by the Employer.
- 1.1.6.4 "Force Majeure" is defined in Clause 19 [Force Majeure].
- 1.1.6.5 **"Laws"** means all national (or state) legislation, statutes, ordinances and other laws, and regulations and by-laws of any legally constituted public authority.
- 1.1.6.6 **"Performance Security**" means the security (or securities, if any) under Sub-Clause 4.2 [Performance Security].
- 1.1.6.7 **"Site"** means the places where the Permanent Works are to be executed and to which Plant and Materials are to be delivered, and any other places as may be specified in the Contract as forming part of the Site.
- 1.1.6.8 **"Unforeseeable**" means not reasonably foreseeable and against which adequate preventive precautions could not reasonably be taken by an experienced contractor by the date for submission of the Bid.
- 1.1.6.9 **"Variation**" means any change to the Works, which is instructed or approved as a variation under Clause 13 [Variations and Adjustments].
- 1.1.6.10 **"Railway"** means a railway, or any portion of a railway for public carriage of passengers and goods as defined in the Railways ACT 1989. Any reference to railway means the Indian Railways and the respective Zonal Railway

1.2 Interpreta-

In the Contract, except where the context requires otherwise:

tion

- (a) words indicating one gender include all genders;
- (b) words indicating the singular also include the plural and words indicating the plural also include the singular:
- (c) provisions including the word -agree," -agreed" or -agreement" require the agreement to be recorded in writing;
- (d) -written" or -in writing" means hand-written, type-written, printed or electronically made, and resulting in a permanent record; and
- (e) the word -tender" is synonymous with -bid", and -tenderer" with -bidder"

and the words -tender documents" with -bidding documents"

The marginal words and other headings shall not be taken into consideration in the interpretation of these Conditions.

In these Conditions, provisions including the expression "Cost plus profit" require this profit to be one twentieth (5%) of this Cost unless otherwise indicated in the Contract Data.

1.3Communications

Wherever these Conditions provide for the giving or issuing of approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be:

- in writing and delivered by hand (against receipt), sent by mail or courier, or transmitted using any of the agreed systems of electronic transmission as stated in the Contract Data; and
- (b) delivered, sent or transmitted to the address for the recipient's communications as stated in the Contract Data. However:
 - (i) if the recipient gives notice of another address, communications shall thereafter be delivered accordingly; and
 - (ii) if the recipient has not stated otherwise when requesting an approval or consent, it may be sent to the address from which the request was issued.

Approvals, certificates, consents and determinations shall not be unreasonably withheld or delayed. When a certificate is issued to a Party, the certifier shall send a copy to the other Party. When a notice is issued to a Party, by the other Party or the Engineer, a copy shall be sent to the Engineer or the other Party, as the case may be.

1.4 Law and Language

The Contract shall be governed by the law of the country or other jurisdiction stated in the Contract Data.

The ruling language of the Contract shall be that stated in the Contract Data.

The language for communications shall be that stated in the Contract Data. If no language is stated there, the language for communications shall be the ruling language of the Contract.

1.5 Priority of Documents

The documents forming the Contract are to be taken as mutually explanatory of one another. For the purposes of interpretation, the priority of the documents shall be in accordance with the following sequence:

- (a) the Contract Agreement (if any),
- (b) the Letter of Acceptance,
- (c) the Letter of bid,
- (d) Special Conditions of Contract:
 - (i) Part A Contract Data
 - (ii) Part B Specific Provisions
- (e) the General Conditions of Contract
- (f) the Schedules (including Priced Bill of Quantities),
- (g) Specification,
- (h) the Drawings,

- (i) Works Requirements,
 - (i) Employers Requirements
 - (ii) Safety Requirements, and
- (j) any other documents forming part of the Contract.

If an ambiguity or discrepancy is found in the documents, the Engineer shall issue any necessary clarification or instruction.

1.6 Contract Agreement

The Parties shall enter into a Contract Agreement within 28 days after the Contractor receives the Letter of Acceptance, unless they agree otherwise. The Contract Agreement shall be based upon the form annexed to the Special Conditions of Contract. The costs of stamp duties and similar charges (if any) imposed by law in connection with entry into the Contract Agreement shall be borne by the Employer.

1.7 Assignment

Neither Party shall assign the whole or any part of the Contract or any benefit or interest in or under the Contract. However, either Party:

- (a) may assign the whole or any part with the prior agreement of the other Party, at the sole discretion of such other Party, and
- (b) may, as security in favour of a bank or financial institution, assign its right to any moneys due, or to become due, under the Contract.

1.8 Care and Supply of Documents

The Specification and Drawings shall be in the custody and care of the Employer. Unless otherwise stated in the Contract, two copies of the Contract and of each subsequent Drawing shall be supplied to the Contractor, who may make or request further copies at the cost of the Contractor.

Each of the Contractor's Documents shall be in the custody and care of the Contractor, unless and until taken over by the Employer. Unless otherwise stated in the Contract, the Contractor shall supply to the Engineer six copies of each of the Contractor's Documents.

The Contractor shall keep, on the Site, a copy of the Contract, publications named in the Specification, the Contractor's Documents (if any), the Drawings and Variations and other communications given under the Contract. The Employer's Personnel shall have the right of access to all these documents at all reasonable times.

If a Party becomes aware of an error or defect in a document which was prepared for use in executing the Works, the Party shall promptly give notice to the other Party of such error or defect.

1.9 Delayed Drawings or Instructions

The Contractor shall give notice to the Engineer whenever the Works are likely to be delayed or disrupted if any necessary drawing or instruction is not issued to the Contractor within a particular time, which shall be reasonable. The notice shall include details of the necessary drawing or instruction, details of why and by when it should be issued, and details of the nature and amount of the delay or disruption likely to be suffered if it is late.

If the Contractor suffers delay and/or incurs Cost as a result of a failure of the Engineer to issue the notified drawing or instruction within a time which is reasonable and is specified in the notice with supporting details, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- payment of any such Cost, which shall be included in the Contract Price.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

However, if and to the extent that the Engineer's failure was caused by any error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time. Cost or profit

1.10Employer's Use of Contractor's **Documents**

As between the Parties, the Contractor shall retain the copyright and other intellectual property rights in the Contractor's Documents and other design documents made by (or on behalf of) the Contractor.

The Contractor shall be deemed (by signing the Contract) to give to the Employer a non-terminable transferable non-exclusive royalty-free licence to copy, use and communicate the Contractor's Documents, including making and using modifications of them. This licence shall:

- apply throughout the actual or intended working life (whichever is longer) of the relevant parts of the Works,
- entitle any person in proper possession of the relevant part of the Works to copy, use and communicate the Contractor's Documents for the purposes of completing, operating, maintaining, altering, adjusting, repairing and demolishing the Works, and
- in the case of Contractor's Documents which are in the form of computer programs and other software, permit their use on any computer on the Site and other places as envisaged by the Contract, including replacements of any computers supplied by the Contractor.

The Contractor's Documents and other design documents made by (or on behalf of) the Contractor shall not, without the Contractor's consent, be used, copied or communicated to a third party by (or on behalf of) the Employer for purposes other than those permitted under this Sub-Clause.

of Employer's **Documents**

1.11 Contractor's Use As between the Parties, the Employer shall retain the copyright and other intellectual property rights in the Specification, the Drawings and other documents made by (or on behalf of) the Employer. The Contractor may, at his cost, copy, use, and obtain communication of these documents for the purposes of the Contract. They shall not, without the Employer's consent, be copied, used or communicated to a third party by the Contractor, except as necessary for the purposes of the Contract.

1.12 Confidential **Details**

The Contractor shall disclose all such confidential and other information as the Engineer may reasonably require in order to verify the Contractor's compliance with the Contract.

The Contractor shall treat the details of the Contract as private and confidential, except to the extent necessary to carry out the Contractor's obligations under the Contract or to comply with applicable Laws. Contractor shall not publish or disclose any particulars of the Works without the However, the Contractor shall be previous agreement of the Employer. permitted to disclose any publicly available information, or information otherwise required to establish his qualifications to compete for other projects.

- **1.13 Compliance with** The Contractor shall, in performing the Contract, comply with applicable Laws. Laws Unless otherwise stated in the Special Conditions of Contract:
 - (a) the Employer shall have obtained (or shall obtain) the planning, zoning or similar permission for the Permanent Works, and any other permissions described in the Specification as having been (or being) obtained by the Employer; and the Employer shall indemnify and hold the Contractor harmless against and from the consequences of any failure to do so; and
 - (b) the Contractor shall give all notices, pay all taxes, duties and fees, and obtain all permits, licences and approvals, as required by the Laws in relation to the execution and completion of the Works and the remedying of any defects; and the Contractor shall indemnify and hold the Employer harmless against and from the consequences of any failure to do so.

1.14 Joint and Several Liability

If the Contractor constitutes (under applicable Laws) a joint venture of two or more persons/firms:

- (a) these persons shall be deemed to be jointly and severally liable to the Employer for the performance of the Contract;
- (b) these persons shall notify the Employer of their leader who shall have authority to bind the Contractor and each of these persons; and
- (c) the Contractor shall not alter its composition or legal status without the prior consent of the Employer.
- (d) In the event of default by any partner of joint venture, on or after achieving 25% of the financial progress (excluding advance if any) the lead partner or remaining partner(s), in case the defaulting partner is the lead partner, shall notify the Employer within twenty eight (28) days of the occurrence and within Fifty six (56) days of the said notification, the lead partner or remaining partner(s), who are not the defaulting partner, shall assign the works of the defaulting partner, to equally competent party with prior consent of the Employer. For this purpose the term -equally competent party" shall mean as under:

The new JV partner replacing the defaulting partner should meet the EQC requirement of package/combination of packages which was met by the defaulting partner on the basis of which the original tender was awarded."

The replacement of any defaulting partner, with the new partner shall be subject to the condition that the new partner has to submit additional performance security equal to 10% of balance cost of work of the JV partner being replaced. The performance security submitted by the defaulting partner shall also continue with IPRCL till satisfactory completion of the work.

(e) Notwithstanding the consent of the Employer for change in composition or legal status of the joint venture the partners shall continue to be jointly and severally liable to the Employer.

The joint venture shall enter into a joint venture (f) agreement incorporating the provisions of sub-paras (a) to (e) based upon the form annexed to the Conditions of Contract. The JV agreement shall indicate precisely the specific role of all members of the JV in respect of planning, design, construction equipment, key personnel, work execution, and financing of the project. The authority to sign the agreement shall be evidenced by approved legal instruments.

Notwithstanding the contents of the sub-clauses above, if the performance of any JV partner is not found satisfactory by the Employer, in respect of the responsibilities assigned to him as per JV agreement which is a part of this agreement, the Employer may issue notice of such default to the said JV partner or the JV (depending upon reasons of default) and declare the said JV partner or the JV as Performer. The issue of such notice shall automatically debar the JV partner or JV as the case may be from participating in any IPRCL tender from the date of issue of notice of default.

1.15Inspections by the Employer

The Contractor shall permit the Employer and/or persons appointed by the Employer to inspect the Site and/or the Contractor's records relating to the performance of the Contract.

2. The Employer

to the Site

2.1 Right of Access The Employer shall give the Contractor right of access to, and possession of, all parts of the Site within the time (or times) stated in the Contract Data. The right and possession may not be exclusive to the Contractor. If, under the Contract, the Employer is required to give (to the Contractor) possession of any foundation, structure, plant or means of access, the Employer shall do so in the time and manner stated in the Specification. However, the Employer may withhold any such right or possession until the Performance Security has been received.

> If no such time is stated in the Contract Data, the Employer shall give the Contractor right of access to, and possession of, the Site within such times as may be required to enable the Contractor to proceed in accordance with the programme submitted under Sub-Clause 8.3 [Programme].

> If the Contractor suffers delay and/or incurs Cost as a result of a failure by the Employer to give any such right or possession within such time, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- payment of any such Cost . which shall be included in the Contract Price. (b)

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

However, if and to the extent that the Employer's failure was caused by any

error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time, Cost or profit.

2.2 Permits, Licenses or Approvals

The Employer shall (where he is in a position to do so) provide reasonable assistance to the Contractor at the request of the Contractor:

- (a) by obtaining copies of the Laws of the Country which are relevant to the Contract but are not readily available, and
- (b) for the Contractor's applications for any permits, licences or approvals required by the Laws of the Country:
 - (i) which the Contractor is required to obtain under Sub-Clause 1.13 [Compliance with Laws],
 - (ii) for the delivery of Goods, including clearance through customs, and
 - (iii) for the export of Contractor's Equipment when it is removed from the Site.

2.3 Employer's Personnel

The Employer shall be responsible for ensuring that the Employer's Personnel and the Employer's other contractors on the Site:

- (a) co-operate with the Contractor's efforts under Sub-Clause 4.6 [Co-operation], and
- (b) take actions similar to those which the Contractor is required to take under sub-paragraphs (a), (b) and (c) of Sub-Clause 4.8 [Safety Procedures] and under Sub-Clause 4.18 [Protection of the Environment].

2.4 Employer's Financial Arrangements

The Employer has sourced the funds to finance the project.

2.5 Employer's Claims

If the Employer considers himself to be entitled to any payment under any Clause of these Conditions or otherwise in connection with the Contract, and/or to any extension of the Defects Notification Period, the Employer or the Engineer shall give notice and particulars to the Contractor. However, notice is not required for payments due under Sub-Clause 4.19 [Electricity, Water and Gas], under Sub-Clause 4.20 [Employer's Equipment and Free-Issue Material], or for other services requested by the Contractor.

The notice shall be given as soon as practicable after the Employer became aware, or should have become aware, of the event or circumstances giving rise to the claim. A notice relating to any extension of the Defects Notification Period shall be given before the expiry of such period.

The particulars shall specify the Clause or other basis of the claim, and shall include substantiation of the amount and/or extension to which the Employer considers himself to be entitled in connection with the Contract. The Engineer shall then proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) the amount (if any) which the Employer is entitled to be paid by the Contractor, and/or (ii) the extension (if any) of the Defects Notification Period in accordance with Sub-Clause 11.3 [Extension of Defects Notification Period].

This amount may be included as a deduction in the Contract Price and Payment Certificates. The Employer shall only be entitled to set off against or make any deduction from an amount certified in a Payment Certificate, or to

otherwise claim against the Contractor, in accordance with this Sub-Clause.

3. The Engineer

3.1 Engineer's Duties and Authority

The Employer shall appoint the Engineer who shall carry out the duties assigned to him in the Contract. The Engineer's staff shall include suitably qualified engineers and other professionals who are competent to carry out these duties.

The Engineer shall have no authority to amend the Contract.

The Engineer may exercise the authority attributable to the Engineer as specified in or necessarily to be implied from the Contract.

However, the Engineer shall obtain the specific approval of the Employer before taking action under the-following Sub-Clauses of these Conditions:

- (a) Sub-Clause 4.12-Unforeseeable Physical Conditions: Agreeing o determining an extension of time and/or additional cost.
- (b) Sub-Clause 8.4-Extension of Time for Completion: Agreeing o determining extension of time.
- (c) Sub-Clause 11.9-Performance Certificate: Issue of Performance Certificate.
- (d) Sub-Clause 13.1-Instructing a Variation: Except,
 - (i) in an emergency situation as determined by the Engineer and as amplified in sub-paras (g) and (h) below, or
 - (ii) If the variation in quantity of any item exceeds 25% of the stipulated quantity in the agreement
 - (iii) If the variation in quantity in any item results in increase in excess of 0.1% of contract price.
 - (iv) If variation in quantity in any item results in cumulative variation in contract price in excess of 2%.
- (e) Sub-Clause 13.3-Variation Procedure: Approving a proposal for Variation submitted by the Contractor in accordance with Sub Clause 13.1 or 13.2.
- (f) Sub-Clause 13.4-Payment in applicable Currencies: Specifying the amount payable in each of the applicable currencies for a Variation.
- (g) Clause 20.1: Contractor Claims for extension of time and/or additional payment.
- (h) Notwithstanding the obligation, as set out above, to obtain approval, if, in the opinion of the Engineer, an emergency occurs affecting the safety of life or of the Works or of adjoining property, he may, without relieving the Contractor of any of his duties and responsibility under the Contract, instruct the Contractor to execute all such work or to do all such things as may, in the opinion of the Engineer, be necessary to abate or reduce the risk. The Contractor shall forthwith comply, despite the absence of approval of the Employer, with any such instruction of the Engineer. The Engineer shall determine an addition to the Contract Price, in respect of such instruction, in accordance with Clause 13 and shall notify the Contractor accordingly, with a copy to the Employer.
- (i) In case the emergency mentioned in above Sub Paras occurs on

account of failure of Contractor, by way of not adhering to the approved scheme of work or not taking adequate safety precautions or by any other reason attributable to the contractor, then no additional amounts shall be paid to the Contractor for attending to such emergencies and the Contractor shall be liable for Employer's claims".

(j) Sub-clause 4.4 regarding deployment of Sub-Contractors.

3.2 **Delegation by** the Engineer

The Engineer may from time to time assign duties and delegate authority to assistants, and may also revoke such assignment or delegation. These assistants may include a resident engineer, and/or independent inspectors appointed to inspect and/or test items of Plant and/or Materials. The assignment, delegation or revocation shall be in writing and shall not take effect until copies have been received by both Parties.

However, unless otherwise agreed by both Parties, the Engineer shall not delegate the authority to determine any matter in accordance with Sub-Clause 3.5 [Determinations].

Assistants shall be suitably qualified persons, who are competent to carry out these duties and exercise this authority, and who are fluent in the language for communications defined in Sub-Clause 1.4 [Law and Language].

Each assistant, to whom duties have been assigned or authority has been delegated, shall only be authorised to issue instructions to the Contractor to the extent defined by the delegation. Any approval, check, certificate, consent, examination, inspection, instruction, notice, proposal, request, test, or similar act by an assistant, in accordance with the delegation, shall have the same effect as though the act had been an act of the Engineer. However:

- any failure to disapprove any work, Plant or Materials shall not constitute approval, and shall therefore not prejudice the right of the Engineer to reject the work, Plant or Materials;
- if the Contractor questions any determination or instruction of an (b) assistant, the Contractor may refer the matter to the Engineer, who shall promptly confirm, reverse or vary the determination or instruction.

Engineer

3.3Instructions of the The Engineer may issue to the Contractor (at any time) instructions and additional or modified Drawings which may be necessary for the execution of the Works and the remedying of any defects, all in accordance with the Contract. The Contractor shall only take instructions from the Engineer, or from an assistant to whom the appropriate authority has been delegated under this Clause. If an instruction constitutes a Variation, Clause 13 [Variations and Adjustments] shall apply.

> The Contractor shall comply with the instructions given by the Engineer or delegated assistant, on any matter related to the Contract. Whenever practicable, their instructions shall be given in writing. If the Engineer or a delegated assistant,

- gives an oral instruction and (a)
- receives a written confirmation of the instruction, from (or on behalf of) the (b) Contractor, within two working days after giving the instruction, and
- does not reply by issuing a written rejection and/or instruction within two working days after receiving the confirmation.

(d) then the confirmation shall constitute the written instruction of the Engineer or delegated assistant (as the case may be).

3.4 Replacement of the Engineer

Notwithstanding Sub-Clause 3.1, if the Employer intends to replace the Engineer, the Employer shall, not less than 21 days before the intended date of replacement, give notice to the Contractor of the name, address and relevant experience of the replacement Engineer.

3.5 Determinations

Whenever these Conditions provide that the Engineer shall proceed in accordance with this Sub-Clause 3.5 to agree or determine any matter, the Engineer shall consult with each Party in an endeavour to reach agreement. If agreement is not achieved, the Engineer shall make a fair determination in accordance with the Contract, taking due regard of all relevant circumstances.

The Engineer shall give notice to both Parties of each agreement or determination, with supporting particulars. Each Party shall give effect to each agreement or determination unless and until revised under Clause 20 [Claims, Disputes and Arbitration].

4. The Contractor

4.1 Contractor's General Obligations

The Contractor shall design (to the extent specified in the Contract), execute and complete the Works in accordance with the Contract and with the Engineer's instructions, and shall remedy any defects in the Works.

The Contractor shall provide the Plant and Contractor's Documents specified in the Contract, and all Contractor's Personnel, Goods, consumables and other things and services, whether of a temporary or permanent nature, required in and for this design, execution, completion and remedying of defects.

All equipment, and material, to be incorporated in or required for the Works shall be procured from approved sources as stipulated in the Contract.

The Contractor shall be responsible for the adequacy, stability and safety of all Site operations and of all methods of construction. Except to the extent specified in the Contract, the Contractor (i) shall be responsible for all Contractor's Documents, Temporary Works, and such design of each item of Plant and Materials as is required for the item to be in accordance with the Contract, and (ii) shall not otherwise be responsible for the design or specification of the Permanent Works.

The Contractor shall, whenever required by the Engineer, submit details of the arrangements and methods which the Contractor proposes to adopt for the execution of the Works. No significant alteration to these arrangements and methods shall be made without this having previously been notified to the Engineer.

On completion of the works, the contractor shall arrange to furnish to the Employer two (2) bound sets of all —As Built" drawings for every component of the Works at his own cost, all such copies being on Polyester film of quality to be approved by the Engineer or his Representative. The Taking — over Certificate of the Works, as per the provisions of Clause 10.1 herein, shall not be issued by the Engineer in the event of the Contractor's failure to furnish the aforesaid —As Built" drawings for the entire works.

If the Contract specifies that the Contractor shall design any part of the Permanent Works, then unless otherwise stated in the Special Conditions of

Contract:

- (a) the Contractor shall submit to the Engineer the Contractor's Documents for this part in accordance with the procedures specified in the Contract;
- (b) these Contractor's Documents shall be in accordance with the Specification and Drawings, shall be written in the language for communications defined in Sub-Clause 1.4 [Law and Language], and shall include additional information required by the Engineer to add to the Drawings for co-ordination of each Party's designs;
- (c) the Contractor shall be responsible for this part and it shall, when the Works are completed, be fit for such purposes for which the part is intended as are specified in the Contract; and
- (d) prior to the commencement of the Tests on Completion, the Contractor shall submit to the Engineer the -as-built" documents and operation and maintenance manuals in accordance with the Specification and in sufficient detail for the Employer to operate, maintain, dismantle, reassemble, adjust and repair this part of the Works. Such part shall not be considered to be completed for the purposes of taking-over under Sub-Clause 10.1 [Taking Over of the Works and Sections] until these documents and manuals have been submitted to the Engineer.

4.2 Performance Security

The Contractor shall obtain (at his cost) a Performance Security and an additional Performance Security, if any in terms of ITB 35.5, for proper performance of the contract, for the amount, currencies and validity period for Performance Security stated in the Contract Data. If an amount is not stated in the Contract Data, this Sub-Clause shall not apply.

The Contractor shall deliver the Performance Security and additional Performance Security, if any, to the Employer within 28 days after receiving the Letter of Acceptance, and shall send a copy to the Engineer. The Performance Security/additional Performance Security shall be issued by an entity and from within a country (or other jurisdiction) approved by the Employer, and shall be in the form as given in Section 8 (Contract Forms) or in another form specifically approved by the Employer.

The Performance Security/additional Performance Security shall be, at the Contractor's option, in any of the following forms:An unconditional Bank quarantee in the prescribed format; or

A Cashier's or Banker's certified cheque drawn on a Scheduled / Nationalized Bank in India in favour of Indian Port Rail Corporation Ltd. —payable at Mumbai.

The bank guarantee shall be from a bank having minimum net-worth of over INR 500 million from the specified banks as under:

- (i) a Schedule Bank in India, or
- (ii) a Foreign Bank having their operations in India, or
- (iii) a Foreign Bank which does not have operations in India is required to provide a counter-guarantee by State Bank of India,

In case the contractor is a JV;"the Performance Security/additional Performance Security, if any in terms of ITB 35.5, shall be submitted by each JV Partner separately on behalf of the JV in favour of IPRCLin proportion of

their respective percentage share specified in the JV Agreement. The additional Performance Security shall be submitted by the partner(s) responsible for execution of schedule(s) (as per JV agreement) against which additional Performance Security is required to be submitted in terms of ITB 35.5. However, Submission of Performance Guarantee Security by individual partners on behalf of the JV shall in no way dilute their Joint & Several responsibility. The Employer shall be entitled to recover the amount of Bank Guarantees individually and all the Partners jointly at its discretion."

The Contractor shall ensure that the Performance Security/additional Performance Security is valid and enforceable until the Contractor has executed and completed the Works and remedied defects, if any. If the contractor does not complete the work for any reasons whatsoever, the terms of the Performance Security/ additional Performance Security specify its expiry date, and the Contractor has not become entitled to receive the Performance Certificate by the date 28 days prior to the expiry date, the Contractor shall be bound to extend the validity of the Performance Security/additional Performance Security until the Works have been completed and any defects have been remedied.

The Guarantees shall be unconditional and irrevocable. The Employer shall return the Performance Security to the Contractor within 21 days after receiving a copy of the Performance Certificate. However, the Employer shall return additional Performance Security submitted in terms of ITB 35.5 as per the following:

- (a) If the contractor submits an application stating that all the works against the particular schedule(s)/bill(s) for which additional Performance Security was submitted in terms of ITB 35.5 have been completed in all respect, then the Employer, on being satisfied with the claim of the contractor, shall return the full additional Performance Security against the particular schedule(s). Decision of the Employer regarding completion of works against a particular schedule/bill shall be final and binding on the contractor.
- (b) If the contractor submits an application stating that majority of the works (physical progress being not less than 90%) against the particular schedule(s)/bill(s) for which additional Performance Security was submitted in terms of ITB 35.5 have been completed and execution of balance works is held up for reasons not attributable to the Contractor, then the Employer, on being satisfied with the claim of the contractor, shall return 75% of the amount of additional Performance Security against the particular schedule(s). The balance amount of additional Performance Security shall however be returned only after completion of the works against the particular schedule(s)/bill(s) for which additional Performance Security was submitted in terms of ITB 35.5, in all respects to the satisfaction of the Employer. Decision of the Employer regarding completion of works against a particular schedule/bill shall be final and binding on the contractor.

Without limitation to the provisions of the rest of this Sub-Clause, whenever the Engineer determines an addition or a reduction to the Contract Price as a result of a change in cost and/or legislation or as a result of a Variation amounting to more than 25 percent of the portion of the Contract Price payable in a specific currency, the Contractor shall at the Engineer's request promptly increase, or may decrease, as the case may be, the value of the Performance Security in that currency by an equal percentage Guarantee.

Wherever the contract is terminated under Clause 15.2, the Performance

Guarantee shall be encashed by the Employer:

- i) in full including additional performance guarantee amount, if any, taken in terms of sub clause 35.5 of ITB and not due for release on the date of issue of termination letter in terms of this clause, in case of termination of the contract as a whole: Or
- ii) at the discretion of the Employer it may be encashed in part/parts proportionate to the Contract price of the bill/schedule to which the terminated part of work belongs i.e $P=(A \times B) \div C$ where

P=Proportionate Bank Guarantee Amount.

A=Contract price of the particular bill/schedule to which the terminated part of work belongs.

B=Performance Guarantee amount in terms of GCC sub clause 4.2.

C=Total Contract price.

Plus additional performance Guarantee amount, if any, taken in terms of sub clause 35.5 of ITB and not due for release on the date of issue of termination letter in terms of this clause against this particular bill/schedule to which the terminated part of the work belongs, in case of termination in part/parts.

The balance work should be got done separately, and independently by IPRCL without risk and cost of the original contractor. The original contractor shall be debarred from participating in the tender for executingthe balance work. If the failed contractor is a JV or a partnership firm, then every member/partner of such a firm would be debarred from participating in the tender for the balance work either in his/her individual capacity or as a partner of any other JV/partnership firm.

In case the contractor fails to perform the contract or any JV partner fails to perform its obligations under the JV agreement, which is a part of this agreement, the Employer may issue notice of such default to the said JV partner or the JV (depending upon reasons of default) and declare the said JV partner or the JV as Poor Performer. The issue of such notice shall automatically debar the JV partner or JV, as the case may be, from participating in any IPRCL tender from the date of issue of notice of default. The relevant performance security including additional performance security, if any, in terms of sub clauses 35.5 of ITB submitted by the Contractor or submitted on behalf of JV partner to the extent not due for release at the time of contemplation of such action shall be encashed and forfeited either fully or in proportion of the percentage share of that partner in the JV agreement, as the case may be.

4.3 Contractor's Representative

The Contractor shall appoint the Contractor's Representative and shall give him all authority necessary, including financial powers, to act on the Contractor's behalf under the Contract.

Unless the Contractor's Representative is named in the Contract, the Contractor shall, prior to the Commencement Date, submit to the Engineer for consent the name and particulars of the person the Contractor proposes to appoint as Contractor's Representative. If consent is withheld or subsequently revoked, or if the appointed person fails to act as Contractor's Representative, the Contractor shall similarly submit the name and particulars of another suitable person for such appointment.

The Contractor shall not, without the prior consent of the Engineer, revoke the appointment of the Contractor's Representative or appoint a replacement.

The whole time of the Contractor's Representative shall be given to directing the Contractor's performance of the Contract. If the Contractor's Representative is to be temporarily absent from the Site during the execution of the Works, a suitable replacement person shall be appointed, subject to the Engineer's prior consent, and the Engineer shall be notified accordingly.

The Contractor's Representative shall, on behalf of the Contractor, receive instructions under Sub-Clause 3.3 [Instructions of the Engineer].

The Contractor's Representative may delegate any powers, functions and authority to any competent person, and may at any time revoke the delegation. Any delegation or revocation shall not take effect until the Engineer has received prior notice signed by the Contractor's Representative, naming the person and specifying the powers, functions and authority being delegated or revoked.

The Contractor's Representative shall be fluent in the language for communications defined in Sub-Clause 1.4 [Law and Language]. If the Contractor's Representative's delegates are not fluent in the said language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer.

The Contractor shall depute his Representative to attend all the review meetings notified by the Engineer

4.4Sub-contractors

The Contractor shall not subcontract the whole of the Works. The Contractor shall be responsible for the acts or defaults of any Subcontractor, his agents or employees, as if they were the acts or defaults of the Contractor.

Unless otherwise stated in the Special Conditions of Contract:

- (a) the Contractor shall not be required to obtain consent to suppliers solely of Materials, or to a subcontract for which the Subcontractor is named in the Contract or as specifically provided in the Contract data;
- (b) the prior consent of the Engineer shall be obtained to other proposed Subcontractors and/or suppliers;
- (c) the Contractor shall give the Engineer not less than 28 days' notice of the intended date of the commencement of each Subcontractor's work, and of the commencement of such work on the Site; and
- (d) each subcontract shall include provisions which would entitle the Employer to require the subcontract to be assigned to the Employer under Sub-Clause 4.5 [Assignment of Benefit of Subcontract] (if or when applicable) or in the event of termination under Sub-Clause 15.2 [Termination by Employer].

The Contractor shall ensure that the requirements imposed on the Contractor by Sub-Clause 1.12 [Confidential Details] apply equally to each Subcontractor.

Where practicable, the Contractor shall give fair and reasonable opportunity for contractors from the Country to be appointed as Subcontractors.

The Contractor shall release payment to the Sub-contractors/Suppliers promptly and shall endeavour to resolve all issues amicably and speedily with the Sub-contractors/Suppliers, so that the execution of work is not affected in any

manner whatsoever

In case a Sub-contractor/Supplier represents to the Engineer in writing with supporting documents, stating that he has not received payment due as per the agreement/work or purchase order for the works executed by such Sub-contractor or supplies made by such Supplier, which have been covered in previous Payment Certificates and the Engineer finds such representation having merit, the Engineer, before issuing next Payment Certificate, may forward a copy of the representation to the Contractor requesting the Contractor to supply reasonable evidence that the amount stated to be outstanding by the Sub-contractor/Supplier for the works executed or supplies made, which have been covered in previous Payment Certificates has been paid and if not, why the same is not payable. The Engineer may recommend to make payment to the Sub-contractor/Supplier unless the Contractor submits reasonable evidence to the Engineer:

- (i) that the amount claimed has been paid, or
- (ii) satisfying the Engineer in writing that the Contractor is entitled to withhold or that the amount is not payable.

On the recommendation of the Engineer, the Employer may (at his sole discretion) directly pay to the Sub-contractor/Supplier the amount due for and on behalf of the Contractor, part or all of such amounts previously certified (less applicable deductions) as are found due to the Sub-contractor/Supplier by the Engineer. The Employer shall adjust the amount paid directly to the Sub-contractor/Supplier from any amount due by it to the Contractor. The Contractor shall repay the amount, in case no amount is found due by the Employer to the Contractor.

That the payment by Employer, on behalf of the Contractor to its Sub-contractor/Supplier, shall not alter any terms of agreement between the Employer and the Contractor and nor the same shall result in any privity of contract between the Employer and the Sub-contractor/Supplier.

4.5 Assignment of Benefit of Subcontract

If a Subcontractor's obligations extend beyond the expiry date of the relevant Defects Notification Period and the Engineer, prior to this date, instructs the Contractor to assign the benefit of such obligations to the Employer, then the Contractor shall do so. Unless otherwise stated in the assignment, the Contractor shall have no liability to the Employer for the work carried out by the Subcontractor after the assignment takes effect.

4.6 Co-operation

The Contractor shall, as specified in the Contract or as instructed by the Engineer, allow appropriate opportunities for carrying out work to:

- (a) the Employer's Personnel,
- (b) any other contractors employed by the Employer, and
- (c) the personnel of any legally constituted public authorities,

who may be employed in the execution on or near the Site of any work not included in the Contract.

Any such instruction shall constitute a Variation if and to the extent that it causes the Contractor to incur Unforeseeable Cost. Services for these personnel and other contractors may include the use of Contractor's Equipment,

Temporary Works or access arrangements which are the responsibility of the Contractor.

If, under the Contract, the Employer is required to give to the Contractor possession of any foundation, structure, plant or means of access in accordance with Contractor's Documents, the Contractor shall submit such documents to the Engineer in the time and manner stated in the Specification.

4.7 Setting Out

The Contractor shall set out the Works in relation to original points, lines and levels ofreference specified in the Contract or notified by the Engineer. The Contractor shall be responsible for the correct positioning of all parts of the Works, and shall rectify any error in the positions, levels, dimensions or alignment of the works, notifying the Engineer within 28 days of the date of commencement

In the event of such discrepancy arising during the course of the work, for which Employer's documents are handed over after the date of commencement, the contractor shall seek clarifications within 14 days of receipt of such documents

The Employer shall be responsible for any errors in these specified or notified items of reference, but the Contractor shall use reasonable efforts to verify their accuracy before they are used.

Contractor shall promptly notify the Employer and the Engineer of any error, omission, fault, or any other defect in the design, drawing or specifications for the works, which he discovers when reviewing the Contract Documents, and in the process of execution of the Works. The contractor shall be responsible to ensure correlation in various drawings and bill of quantities, before commencement and execution of work. In case of any discrepancy the contractor shall bring it to notice of the Engineer for clarification within 28 days of the issue of Letter of Acceptance. In the event of such discrepancy arising during the course of the work, for which drawings are given after the date of issue of Letter of Acceptance, the contractor shall seek clarifications within 14 days of receipt of such drawings.

4.8 Safety Procedures

The Contractor shall follow the provisions laid down in Annexure 1 to Section 7 (Special Conditions of Contract), Chapter 1. (Safety and Security) of Section 5 (Works/Employers Requirements) and shall:

- (a) comply with all applicable safety regulations,
- (b) take care for the safety of all persons entitled to be on the Site,
- use reasonable efforts to keep the Site and Works clear of unnecessary obstruction so as to avoid danger to these persons,
- (d) provide fencing, lighting, guarding and watching of the Works until completion and taking over under Clause 10 [Employer's Taking Over], and
- (e) provide any Temporary Works (including roadways, footways, guards and fences) which may be necessary, because of the execution of the Works, for the use and protection of the public and of owners and occupiers of adjacent land.

4.8.1

Notwithstanding with any other provision, the Contractor shall have to pay penalty for damage to Railway cable in terms of clause C 15 of the JPO as

given in para 1.2 of Chapter 1. (Safety and Security) of Section 5 (Works/Employers Requirements) and shall also indemnify the Employer against any losses, damages to property or life in terms of para 1.11 and 1.12 of the chapter 1. (Safety and Security) of Section 5 (Works/Employers Requirements).

4.9 Quality Assurance

The Contractor shall institute a quality assurance system to demonstrate compliance with the requirements of the Contract. The system shall be in accordance with the details stated in the Contract. The Engineer shall be entitled to audit any aspect of the system.

Details of all procedures and compliance documents shall be submitted to the Engineer for information before each design and execution stage is commenced. When any document of a technical nature is issued to the Engineer, evidence of the prior approval by the Contractor himself shall be apparent on the document itself.

Compliance with the quality assurance system shall not relieve the Contractor of any of his duties, obligations or responsibilities under the Contract.

4.10 Site Data

The Employer shall have made available to the Contractor for his information, prior to the Base Date, all relevant data in the Employer's possession on subsurface and hydrological conditions at the Site, including environmental aspects. The Employer shall similarly make available to the Contractor all such data which come into the Employer's possession after the Base Date. The Contractor shall be responsible for interpreting all such data.

To the extent which was practicable (taking account of cost and time), the Contractor shall be deemed to have obtained all necessary information as to risks, contingencies and other circumstances which may influence or affect the Bid or Works. To the same extent, the Contractor shall be deemed to have inspected and examined the Site, its surroundings, the above data and other available information, and to have been satisfied before submitting the Bid as to all relevant matters, including (without limitation):

- (a) the form and nature of the Site, including sub-surface conditions,
- (b) the hydrological and climatic conditions,
- (c) the extent and nature of the work and Goods necessary for the execution and completion of the Works and the remedying of any defects,
- (d) the Laws, procedures and labour practices of the Country, and
- (e) the Contractor's requirements for access, accommodation, facilities, personnel, power, transport, water and other services.
- (f) Data made available by the Employer in accordance with the preceding paragraph shall be deemed to include data listed elsewhere in the contract as open for inspection at the address stipulated in the Contract.

4.11 Sufficiency of the Accepted Contract Amount

4.11 Sufficiency of the The Contractor shall be deemed to:

- (a) have satisfied himself as to the correctness and sufficiency of the Accepted Contract Amount, and
- (b) have based the Accepted Contract Amount on the data, interpretations, necessary information, inspections, examinations and satisfaction as to all relevant matters referred to in Sub-Clause 4.10 [Site Data].

Unless otherwise stated in the Contract, the Accepted Contract Amount covers

all the Contractor's obligations under the Contract (including those under Provisional Sums, if any) and all things necessary for the proper execution and completion of the Works and the remedying of any defects.

4.12 Unforeseeable **Physical Conditions**

In this Sub-Clause, -physical conditions" means natural physical conditions and man-made and other physical obstructions and pollutants, which the Contractor encounters at the Site when executing the Works, including sub-surface and hydrological conditions but excluding climatic conditions.

If the Contractor encounters adverse physical conditions which he considers to have been Unforeseeable, the Contractor shall give notice to the Engineer as soon as practicable.

This notice shall describe the physical conditions, so that they can be inspected by the Engineer, and shall set out the reasons why the Contractor considers them to be Unforeseeable. The Contractor shall continue executing the Works, using such proper and reasonable measures as are appropriate for the physical conditions, and shall comply with any instructions which the Engineer may give. If an instruction constitutes a Variation, Clause 13 [Variations and Adjustments] shall apply.

If and to the extent that the Contractor encounters physical conditions which are Unforeseeable, gives such a notice, and suffers delay and/or incurs Cost due to these conditions, the Contractor shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- payment of any such Cost, which shall be included in the Contract Price.

After receiving such notice and inspecting and/or investigating these physical conditions, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) whether and (if so) to what extent these physical conditions were Unforeseeable, and (ii) the matters described in sub-paragraphs (a) and (b) above related to this extent.

However, before additional Cost is finally agreed or determined under subparagraph (ii), the Engineer may also review whether other physical conditions in similar parts of the Works (if any) were more favourable than could reasonably have been foreseen when the Contractor submitted the Bid. If and to the extent that these more favourable conditions were encountered, the Engineer may proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine the reductions in Cost which were due to these conditions, which may be included (as deductions) in the Contract Price and Payment Certificates. However, the net effect of all adjustments under sub-paragraph (b) and all these reductions, for all the physical conditions encountered in similar parts of the Works, shall not result in a net reduction in the Contract Price.

The Engineer may take account of any evidence of the physical conditions foreseen by the Contractor when submitting the Bid, which may be made available by the Contractor, but shall not be bound by any such evidence.

Facilities

4.13 Rights of Way and The Contractor shall bear all costs and charges for special and/or temporary rights-of-way which he may require, including those for access to the Site. The Contractor shall also obtain, at his risk and cost, any additional facilities outside the Site which he may require for the purposes of the Works.

> In case any operation connected with traffic necessitates diversion, obstruction or closure of any road, railway or any other right of way, the approval of the

Engineer and the concerned authorities shall be obtained well in advance by the Contractor.

Provided that if it is found necessary for the Contractor to move one or more loads of heavy constructional plants and equipment, materials or Preconstructed units or parts of units of work over roads, highways, bridges on which such oversized and overweight items that are not normally to be moved, the contractor shall obtain prior permission from the concerned authorities.

Payments for complying with the requirements, if any, for protection or strengthening of the roads, highways or bridges shall be made by the contractor and such expenses shall be deemed to be included in his quoted contract price.

4.14 Avoidance of Interference

The Contractor shall not interfere unnecessarily or improperly with:

- (a) the convenience of the public, or
- (b) the access to and use and occupation of all roads and footpaths, irrespective of whether they are public or in the possession of the Employer or of others or
- (c) Passenger amenities at stations and station platforms.

The Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from any such unnecessary or improper interference.

4.15 Access Route

The Contractor shall be deemed to have been satisfied as to the suitability and availability of access routes to the Site. The Contractor shall use reasonable efforts to prevent any road or bridge from being damaged by the Contractor's traffic or by the Contractor's Personnel. These efforts shall include the proper use of appropriate vehicles and routes.

Except as otherwise stated in these Conditions:

- (a) the Contractor shall (as between the Parties) be responsible for any maintenance which may be required for his use of access routes;
- (b) the Contractor shall provide all necessary signs or directions along access routes, and shall obtain any permission which may be required from the relevant authorities for his use of routes, signs and directions;
- (c) the Employer shall not be responsible for any claims which may arise from the use or otherwise of any access route;
- (d) the Employer does not guarantee the suitability or availability of particular access routes; and
- (e) Costs due to non-suitability or non-availability, for the use required by the Contractor, of access routes shall be borne by the Contractor.

4.16 Transport of Goods

Unless otherwise stated in the Special Conditions of Contract:

- (a) the Contractor shall give the Engineer not less than 21 days' notice of the date on which any Plant or a major item of other Goods will be delivered to the Site;
- (b) the Contractor shall be responsible for packing, loading, transporting, receiving, unloading, storing and protecting all Goods and other things

required for the Works; and

(c) the Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from the transport of Goods, and shall negotiate and pay all claims arising from their transport.

4.17 Contractor's Equipment

The Contractor shall be responsible for all Contractors' Equipment. When brought on to the Site, Contractor's Equipment shall be deemed to be exclusively intended for the execution of the Works. The Contractor shall not remove from the Site any major items of Contractor's Equipment without the consent of the Engineer. However, consent shall not be required for vehicles transporting Goods or Contractor's Personnel off Site.

In the event of Contractor imports any equipment the following shall apply":

- (a) Custom Clearance: The Employer will assist the contractor, when required by furnishing letters of recommendation for obtaining expeditious clearance through customs of constructional plants, material and other things required for the works and then for re-export, if any. The following publications, may be referred to by the contractor for guidance about custom regulations etc:
 - (i) Import & export policy, together with amendments, if any, published by Govt. of India, Ministry of Commerce..
 - (j) Hand Book of Procedures, together with amendments, if any, Volume 1 and 2 published by Ministry of Commerce.
 - (iii) Customs Tariff, together with amendments, if any published by Central Customs.

The Contractor shall be responsible to follow the latest rules and regulations without any liability of the Employer.

- (b) Re-export of contractors equipment: The contractor shall obtain all the relevant information regarding procedure for the import and subsequent re-export of his equipment and materials from the Chief Controller of Imports and Exports, Mumbai, and shall inform himself and keep himself informed on the details of custom charges and draw-back regulations as applicable to the items of Constructional plant. The contractor shall provide the necessary guarantee/bonds where these are required by the customs notwithstanding that import licenses may be granted in the name of Employer.
- (c) Notwithstanding the provisions mentioned above, Contractor's Equipment, including essential spare parts therefore, imported by the Contractor for the sole purpose of executing the Contract shall be temporarily exempt from the payment of import duties and taxes upon initial importation, provided the Contractor shall post with the customs authorities at the port of entry an approved export bond or bank guarantee, valid until the Time for Completion plus six months, in an amount equal to the full import duties and taxeswhich would be payable on the assessed imported value of such Contractor's Equipment and spare parts, and callable in the event the Contractor's Equipment is not exported from the Country on completion of the Contract. A copy of the bond or bank guarantee endorsed by the custom authorities shall be provided by the Contractor to the Employer upon the importation of individual items of Contractor's Equipment and spare parts. Upon export of individual items of Contractor's Equipment or spare parts, or upon the completion

of the Contract. Contractor shall prepare for approval by the customs authority the authorities, an assessment of the residual value of the Contractor's Equipment and spare parts to be exported based on the depreciation scale(s) and other criteria used by the customs authorities for such purposes under the provisions of the applicable Laws. Import duties and taxes shall be due and payable to the customs authorities by the Contractor on (a) the difference between the initial imported value and the residual value of the contractor's equipment and spare parts to be exported and (b) on the initial imported value that contractor's equipments and spare parts remaining in the Country after completion of the Contract. Upon payment of such dues within 28 days of being invoiced, the bond or bank guarantee shall be reduced or released accordingly; otherwise the security shall be called in the full amount remaining in the Country.

(d) Conditions of hire of the contractor's equipment: A certified copy of the agreement in respect of any item of Equipment held by contractor under any agreement for hire or hire purchase thereof, shall be supplied to the Engineer/Employer."

4.18 Protection of the **Environment**

The Contractor shall take all reasonable steps to protect the environment (both on and off the Site) and to limit damage and nuisance to people and property resulting from pollution, noise and other results of his operations.

The Contractor shall ensure that emissions, surface discharges and effluent from the Contractor's activities shall not exceed the values stated in the Specification or prescribed by applicable Laws.

4.19 Electricity.

The Contractor shall, except as stated below, be responsible for the provision Water and Gas of all power, water and other services he may require.

> The Contractor shall be entitled to use for the purposes of the Works such supplies of electricity, water, gas and other services as may be available on the Site and of which details and prices are given in the Specification. The Contractor shall, at his risk and cost, provide any apparatus necessary for his use of these services and for measuring the quantities consumed.

> The quantities consumed and the amounts due (at these prices) for such services shall be agreed or determined by the Engineer in accordance with Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations]. The Contractor shall pay these amounts to the Employer.

4.20 Employer's Free-Issue Material

The Employer shall make the Employer's Equipment (if any) available for the use Equipment and of the Contractor in the execution of the Works in accordance with the details, arrangements and prices stated in the Specification. Unless otherwise stated in the Specification:

- the Employer shall be responsible for the Employer's Equipment, except (a) that
- the Contractor shall be responsible for each item of Employer's (b) Equipment whilst any of the Contractor's Personnel is operating it, driving it, directing it or in possession or control of it.

The appropriate quantities and the amounts due (at such stated prices) for the use of Employer's Equipment shall be agreed or determined by the Engineer in accordance with Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations]. The Contractor shall pay these amounts to the Employer.

The Employer shall supply, free of charge, the -free-issue materials" (if anv) in accordance with the details stated in the Contract data. The Employer shall, at his risk and cost, provide these materials at the time and place specified in the Contract. The Contractor shall then visually inspect them, and shall promptly give notice to the Engineer of any shortage, defect or default in these materials. Unless otherwise agreed by both Parties, the Employer shall immediately rectify the notified shortage, defect or default.

In case materials are handed over, in accordance with the procedure prescribed by the Engineer, after proper measurement and accounted for, the contractor shall be solely liable for any shortage, damage, defect or default in such material, and shall indemnify the Employer until the final accountal of materials is made by the Contractor on completion of the work.

4.21 **Progress** Reports

Unless otherwise stated in the Special Conditions of Contract, monthly progress reports shall be prepared by the Contractor and submitted to the Engineer in six copies. The first report shall cover the period up to the end of the first calendar month following the Commencement Date. Reports shall be submitted monthly thereafter, each within 7 days after the last day of the period to which it relates.

Reporting shall continue until the Contractor has completed all work which is known to be outstanding at the completion date stated in the Taking-Over Certificate for the Works.

Each report shall include:

- charts and detailed descriptions of progress, including each stage of design (if any), Contractor's Documents, procurement, manufacture, delivery to Site, construction, erection and testing; and including these stages for work by each nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]),
- photographs showing the status of manufacture and of progress on the Site:
- for the manufacture of each main item of Plant and Materials, the name of the manufacturer, manufacture location, percentage progress, and the actual or expected dates of:
 - commencement of manufacture, (i)
 - (ii) Contractor's inspections,

- (iii) tests, and
- (iv) shipment and arrival at the Site;
- the details described in Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment];
- copies of quality assurance documents, test results and certificates of Materials;
- list of notices given under Sub-Clause 2.5 [Employer's Claims] and notices given under Sub-Clause 20.1 [Contractor's Claims];
- safety statistics, including details of any hazardous incidents and activities relating to environmental aspects and public relations; and
- comparisons of actual and planned progress of all activities, with details of any events or circumstances which may jeopardise the completion in accordance with the Contract, and the measures being (or to be) adopted to overcome delays.

Unless otherwise stated in the Special Conditions of Contract:

4.22 Security of the (a) Site

- (a) the Contractor shall be responsible for keeping unauthorised persons off the Site, and
- (b) authorised persons shall be limited to the Contractor's Personnel and the Employer's Personnel; and to any other personnel notified to the Contractor, by the Employer or the Engineer, as authorised personnel of the Employer's other contractors on the Site.

4.23Contractor's Operations on Site

The Contractor shall confine his operations to the Site, and to any additional areaswhich may be obtained by the Contractor and agreed by the Engineer as working areas. The Contractor shall take all necessary precautions to keep Contractor's Equipment and Contractor's Personnel within the Site and these additional areas, and to keep them off adjacent land.

During the execution of the Works, the Contractor shall keep the Site free from all unnecessary obstruction, and shall store or dispose of any Contractor's Equipment or surplus materials. The Contractor shall clear away and remove from the Site any wreckage, rubbish and Temporary Works which are no longer required.

Upon the issue of a Taking-Over Certificate, the Contractor shall clear away and remove, from that part of the Site and Works to which the Taking-Over Certificate refers, all Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works. The Contractor shall leave that part of the Site and the Works in a clean and safe condition. However, the Contractor may retain on Site, during the Defects Notification Period, such Goods as are required for the Contractor to fulfill obligations under the Contract.

4.24 Fossils

All fossils, coins, articles of value or antiquity, and structures and other remains or items of geological or archaeological interest found on the Site shall be placed under the care and authority of the Employer. The Contractor shall take reasonable precautions to prevent Contractor's Personnel or other persons from removing or damaging any of these findings.

The Contractor shall, upon discovery of any such finding, promptly give notice

to the Engineer, who shall issue instructions for dealing with it. If the Contractor suffers delay and/or incurs Cost from complying with the instructions, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

5. Nominated Subcontractors

5.1 Definition of nominated Subcontractor

In the Contract, "nominated Subcontractor" means a Subcontractor:

- (a) who is stated in the Contract as being a nominated Subcontractor, or
- (b) whom the Engineer, under Clause 13 [Variations and Adjustments], instructs the Contractor to employ as a Subcontractor.

5.2 Objection to Nomination

The Contractor shall not be under any obligation to employ a nominated Subcontractor against whom the Contractor raises reasonable objection by notice to the Engineer as soon as practicable, with supporting particulars. An objection shall be deemed reasonable if it arises from (among other things) any of the following matters, unless the Employer agrees to indemnify the Contractor against and from the consequences of the matter:

- (a) there are reasons to believe that the Subcontractor does not have sufficient competence, resources or financial strength;
- (b) the subcontract does not specify that the nominated Subcontractor shall indemnify the Contractor against and from any negligence or misuse of Goods by the nominated Subcontractor, his agents and employees; or
- (c) the subcontract does not specify that, for the subcontracted work (including design, if any), the nominated Subcontractor shall:
 - (i) undertake to the Contractor such obligations and liabilities as will enable the Contractor to discharge his obligations and liabilities under the Contract, and
 - (ii) indemnify the Contractor against and from all obligations and liabilities arising under or in connection with the Contract and from the consequences of any failure by the Subcontractor to perform these obligations or to fulfil these liabilities.

5.3 Payments to nominated Subcontractors

The Contractor shall pay to the nominated Subcontractor the amounts which the Engineer certifies to be due in accordance with the subcontract. These amounts plus other charges shall be included in the Contract Price in accordance with sub-paragraph (b) of Sub-Clause 13.5 [Provisional Sums], except as stated in Sub-Clause 5.4 [Evidence of Payments].

5.4 Evidence of Payments

Before issuing a Payment Certificate which includes an amount payable to a nominated Subcontractor, the Engineer may request the Contractor to supply reasonable evidence that the nominated Subcontractor has received (Within 7 days of receipt of previous payment by the contractor) all amounts due in accordance with previous Payment Certificates, less applicable deductions for retention or otherwise. Unless the Contractor:

- (a) submits this reasonable evidence to the Engineer, or
 - (i) satisfies the Engineer in writing that the Contractor is reasonably entitled to withhold or refuse to pay these amounts, and
 - (ii) submits to the Engineer reasonable evidence that the nominated Subcontractor has been notified of the Contractor's entitlement,

then the Employer may (at his sole discretion) pay, direct to the nominated Subcontractor, part or all of such amounts previously certified (less applicable deductions) as are due to the nominated Subcontractor and for which the Contractor has failed to submit the evidence described in sub-paragraphs (a) or (b) above. The Contractor shall then repay, to the Employer, the amount which the nominated Subcontractor was directly paid by the Employer.

6. Staff and Labour

6.1 Engagement of Except as otherwise stated in the Specification, the Contractor shall make **Staff and** arrangements for the engagement of all staff and labour, local or otherwise, and for their payment, housing, feeding and transport.

The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labor with appropriate qualifications and experience from sources within the Country.

6.2 Rates of Wages and Conditions of Labour

The Contractor shall pay rates of wages, and observe conditions of labour, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.

If the Employer is obliged to provide amenities or arrange payment of wages to contract labour employed by the contractor either directly or through sub contractor under the contract on account of failures on the part the contractor to provide the amenities and / or arrange payment of wages to the contract labour as required of him under the provision of the said act / rules made there under, the Engineer/Employer shall be at liberty without prejudice to the rights of Engineer/Employer under Section 20(2) and 21(4) of the contract labour (Regulation and Abolition) Act 1970 to recover the whole or part of the expenditure so incurred on the wages so paid Engineer/Employer/Railway from the security deposit and/or from any sum or sums due to the contractor whether under this contract or any other contract.

The Contractor shall inform the Contractor's Personnel about their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances, and any benefits as are subject to taxes under the Laws of the Country for the time being in force, and the Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.

The Contractor shall keep the Employer indemnified in case any action is taken against the Employer by the competent authority on account of contravention of any of the provisions of any Act or rules made there-under, regulation or notifications including amendment. If the Employer is caused to pay or

reimburse, such amounts as may be necessary to cause or observe, or for non-observance of the provisions stipulated in the notifications/bye laws/Acts/Rules/ regulations including amendments, if any, on the part of the Contractor, the Engineer/Employer shall have the right to deduct any money due to the contractor including his amount of performance security. The Employer/Engineer shall also have right to recover from the Contractor any sum required or estimated to be required for making good the loss or damage suffered by the Employer.

The employees of the Contractor and the Sub-Contractor in no case shall be treated as the employees of the Employer at any point of time.

The Contractor shall duly comply with the provisions of the Apprentices Act 1961 (III of 1961), the rules made there under and the orders that may be issued from time to time under the said Act and the said Rules and on his failure or neglect to do so he shall be subject to all liabilities provide by the said Act and said Rules.

The Contractor and his Sub Contractors shall comply with all applicable Labour Laws, and should not employ Child Labour for construction and maintenance activities. The Contractor shall provide appropriate facilities for children in Construction Camp sites.

The Contractors shall not differentiate wages between men and women for work of equal value.

6.3 Persons in the Service of Employer

Persons in the The Contractor shall not recruit, or attempt to recruit, staff and labour from amongst persons in the service of the Employer or the Engineer.

6.4 Labour Laws

The Contractor shall comply with all the relevant labour Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration, and emigration, and shall allow them all their legal rights. The contractor and his sub-contractors shall be responsible to ensure at his own cost, compliance to all laws, byelaws, rules and regulations for the time being in force pertaining to the employment of local or imported labour and shall take all necessary precautions to ensure and preserve the health and safety of all staff employed directly or through sub-contractors or petty contractors on the works, which shall include all the acts listed in Appendix – 1 but not limited to the same.

The Contractor shall require his employees to obey all applicable Laws, including those concerning safety at work.

During continuance of the Contract, the Contractor and his Sub-Contractors shall abide at all times by all existing labour enactments and rules made thereunder, regulations, notifications and bye laws of the State or Central government or local authority and any other labour laws (including rules), regulations, bye laws that may be passed or notification that may be issued under any labour law in future either by the State or the Central Government or the local authority. Salient features of some of the major labour laws that are applicable to construction industry are given in Appendix 1 to these Conditions of Contract.

- **6.5 Working Hours** No work shall be carried out on the Site on locally recognised days of rest, or outside the normal working hours stated in the Contract Data, unless:
 - (a) otherwise stated in the Contract,

- (b) the Engineer gives consent, or
- (c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Works, in which case the Contractor shall immediately advise the Engineer.

6.6 Facilities for Staff and Labour

Except as otherwise stated in the Specification, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Specification.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the Permanent Works.

6.7 Health and Safety

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel. In collaboration with local health authorities, the Contractor shall ensure that medical staff, first aid facilities, sick bay and a standing arrangement for ambulance service are available at a phone call at all times at the Site and at any accommodation for Contractor's and Employer's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics.

In the event of any outbreak of illness of an epidemic nature, the Contractor shall comply with and carry out such regulations, orders and requirements as may be made by the Government or the local medical or sanitary authorities, for the purpose of dealing with and overcoming the same. The Contractor shall appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility, and shall have the authority to issue instructions and take protective measures to prevent accidents. Throughout the execution of the Works, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority.

The Contractor shall send, to the Engineer, details of any accident as soon as practicable after its occurrence.

HIV-AIDS Prevention. The Contractor shall conduct an HIV-AIDS awareness programme via an approved service provider, and shall undertake such other measures as are specified in this Contract to reduce the risk of the transfer of the HIV virus between and among the Contractor's Personnel and the local community, to promote early diagnosis and to assist affected individuals.

Epidemics

In the event of any outbreak of illness of an epidemic nature, the Contractor shall comply with and carry out such regulations, orders and requirements as may be made by the Government or the local medical or sanitary authorities, for the purpose of dealing with and overcoming the same.

Records of Safety and Health

The Contractor shall maintain such records and make such reports concerning safety, health and welfare of persons and damage to property as the Engineer may from time to time prescribe.

Submission of Returns: :

The contractor shall be responsible for timely submission of all returns and

statements to the concerned authorities in full compliance of all rules, bye-laws and regulations for the time being in force.

The Contractor shall throughout the contract (including the Defects Notification Period): (i) conduct Information, Education and Consultation Communication (IEC) campaigns, at least every other month, addressed to all the Site staff and labor (including all the Contractor's employees, all Sub-Contractors and Consultants' employees, and all truck drivers and crew making deliveries to Site for construction activities) and to the immediate local communities, concerning the risks, dangers and impact, and appropriate avoidance behavior with respect to of Sexually Transmitted Diseases (STD)—or Sexually Transmitted Infections (STI) in general and HIV/AIDS in particular; (ii) provide male or female condoms for all Site staff and labor as appropriate; and (iii) provide for STI and HIV/AIDS screening, diagnosis, counseling and referral to a dedicated national STI and HIV/AIDS program, (unless otherwise agreed) of all Site staff and labor.

The Contractor shall include in the program to be submitted for the execution of the Works under Sub-Clause 8.3 an alleviation program for Site staff and labour and their families in respect of Sexually Transmitted Infections (STI) and Sexually Transmitted Diseases (STD) including HIV/AIDS. The STI, STD and HIV/AIDS alleviation program shall indicate when, how and at what cost the Contractor plans to satisfy the requirements of this Sub-Clause and the related specification. For each component, the program shall detail the resources to be provided or utilized and any related sub-contracting proposed. The program shall also include provision of a detailed cost estimate with supporting documentation. Payment to the Contractor for preparation and implementation this program shall not exceed the Provisional Sum dedicated for this purpose.

6.8Contractor's Superintendence

Throughout the execution of the Works, and as long thereafter as is necessary to fulfil the Contractor's obligations, the Contractor shall provide all necessary superintendence to plan, arrange, direct, manage, inspect and test the work.

Superintendence shall be given by a sufficient number of persons having adequate knowledge of the language for communications (defined in Sub-Clause 1.4 [Law and Language]) and of the operations to be carried out (including the methods and techniques required, the hazards likely to be encountered and methods of preventing accidents), for the satisfactory and safe execution of the Works.

The Contractor shall employ the key personnel named in the Schedule of Personnel as referred to in the Contract Data to carry out the functions stated in the Schedule or other personnel approved by the Engineer. The Engineer will approve any proposed replacement of key personnel only if their qualifications, abilities and relevant experience are substantially equal to or better than those of the personnel listed in the Schedule.

The Contractor shall not employ any retired government Gazetted officer, who has either not completed one year after the date of retirement, or has not obtained permission to employment with the Contractor.

6.9Contractor's Personnel

The Contractor's Personnel shall be appropriately qualified, skilled and experienced intheir respective trades or occupations. The Engineer may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Contractor's Representative if applicable, who:

(a) persists in any misconduct or lack of care,

- (b) carries out duties incompetently or negligently,
- (c) fails to conform with any provisions of the Contract, or
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment.

If the Engineer asks the Contractor to remove a person who is a member of the Contractor's staff or his work force stating the reasons, the Contractor shall ensure that the person leaves the Site within seven (7) days and has no further connectior with the work in the Contract. The replacement person shall be appointed withir fourteen (14) days of the notification by the Engineer.

A reasonable proportion of the Contractor's Superintending Staff shall have a working knowledge of the English language or the Contractor shall have available on site at all times a sufficient number of competent interpreters to ensure the proper transmission of instructions and information. If appropriate, the Contractor shall then appoint (or cause to be appointed) a suitable replacement person.

6.10 Records of Contractor's Personnel and Equipment

The Contractor shall submit, to the Engineer, details showing the number of each class of Contractor's Personnel and of each type of Contractor's Equipment on the Site. Details shall be submitted each calendar month, in a form approved by the Engineer, until the Contractor has completed all work which is known to be outstanding at the completion date stated in the Taking-Over Certificate for the Works.

6.11 Disorderly Conduct

The Contractor shall at all times take all reasonable precautions to prevent any unlawful, riotous or disorderly conduct by or amongst the Contractor's Personnel, and to preserve peace and protection of persons and property on and near the Site.

6.12 Foreign Personnel

The Contractor may bring in to the country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, without any financial liability, if requested by the Contractor, use his best endeavours in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.

The Contractor shall be responsible for the return of these personnel to the place where they were recruited or to their domicile. In the event of the death in the Country of any of these personnel or members of their families, the Contractor shall similarly be responsible for making the appropriate arrangements for their return or burial. Contractor shall also be responsible for any legal liabilities during their stay.

6.13 Supply of Foodstuffs

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.

6.14 Supply of Water

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.

6.15 Measures

The Contractor shall at all times take the necessary precautions to protect the

and Pest **Nuisance**

against Insect Contractor's Personnel employed on the Site from insect and pest nuisance. and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.

> The Contractor shall provide his staff and labour with suitable prophylactics for the prevention of malaria, and take steps to prevent the formation of stagnant pools of water. He shall comply with all the regulations of the local health authorities in these respects and shall in particular arrange to spray thoroughly with approved insecticides all buildings erected on the site such treatment shall be carried out at least once a year or as instructed by the Engineer. The Contractor shall warn his staff and labour of the dangers of diseases like Malaria, Filaria and other contagious diseases etc. and also Scorpions, Snakes, Wild animals etc. and preventive actions required to be taken by the labour and staff.

6.16 Alcoholic Liquor or **Drugs**

The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal thereto by Contractor's Personnel.

6.17 Arms and Ammunition

The Contractor shall not give barter, or otherwise dispose of, to any person. any arms or ammunition of any kind, or allow Contractor's Personnel to do so.

6.18 Festivals and Religious **Customs**

The Contractor shall respect the Country's recognized festivals, days of rest and religious or other customs.

6.19 Funeral Arrangements

The Contractor shall be responsible, to the extent required by local regulations, for making any funeral arrangements for any of his local employees who may die while engaged upon the Works.

6.20 Prohibition of Forced or Compulsory Labour

The contractor shall not employ "forced or compulsory labour" in any form. "Forced or compulsory labour" consists of all work or service, not voluntarily performed, that is extracted from an individual under threat of force or penalty.

6.21 Prohibition of **Harmful Child** Labour

The Contractor shall not employ any child to perform any work that is economically exploitative, or is likely to be hazardous to, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

6.22Employment Records of Workers

The Contractor shall keep complete and accurate records of the employment of labour at the Site. The records shall include the names, ages, genders, hours worked and wages paid to all workers. These records shall be summarized on a monthly basis and shall be available for inspection by the Engineer during normal working hours. These records shall be included in the details to be submitted by the Contractor under Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment].

7. Plant, Materials and Workmanship

7.1 Manner of Execution

The Contractor shall carry out the manufacture of Plant, the production andmanufacture of Materials, and all other execution of the Works:

- (a) in the manner (if any) specified in the Contract,
- (b) in a proper workmanlike and careful manner, in accordance with recognised good practice, and
- (c) with properly equipped facilities and non-hazardous Materials, except as otherwise specified in the Contract.

7.2 Samples

The Contractor shall submit the following samples of Materials, and relevant information, to the Engineer for consent prior to using the Materials in or for the Works:

- (a) manufacturer's standard samples of Materials and samples specified in the Contract, all at the Contractor's cost, and
- (b) additional samples instructed by the Engineer as a Variation.

Each sample shall be labelled as to origin and intended use in the Works.

7.3 Inspection

The Employer's Personnel shall at all reasonable times:

- (a) have full access to all parts of the Site and to all places from which natural Materials are being obtained, and
- (b) during production, manufacture and construction (at the Site and elsewhere), be entitled to examine, inspect, measure and test the materials and workmanship, and to check the progress of manufacture of Plant and production and manufacture of Materials.

The Contractor shall give the Employer's Personnel full opportunity to carry out these activities, including providing access, facilities, permissions and safety equipment. No such activity shall relieve the Contractor from any obligation or responsibility.

The Contractor shall give notice to the Engineer whenever any work is ready and before it is covered up, put out of sight, or packaged for storage or transport. The Engineer shall then either carry out the examination, inspection, measurement or testing without unreasonable delay, or promptly give notice to the Contractor that the Engineer does not require to do so. If the Contractor fails to give the notice, he shall, if and when required by the Engineer, uncover the work and thereafter reinstate and make good, all at the Contractor's cost.

7.4 Testing

This Sub-Clause shall apply to all tests specified in the Contract, other than the Tests after Completion (if any).

The Contractor shall provide all apparatus, assistance, documents and other information, electricity, equipment, fuel, consumables, instruments, labour, materials, and suitably qualified and experienced staff, as are necessary to carry out the specified tests efficiently. The Contractor shall agree, with the Engineer, the time and place for the specified testing of any Plant, Materials and other parts of the Works.

The Engineer may, under Clause 13 [Variations and Adjustments], vary the location or details of specified tests, or instruct the Contractor to carry out additional tests. If these varied or additional tests show that the tested Plant, Materials or workmanship is not in accordance with the Contract, the cost of carrying out this Variation shall be borne by the Contractor, notwithstanding

other provisions of the Contract.

The Engineer shall give the Contractor not less than 24 hours' notice of the Engineer's intention to attend the tests. If the Engineer does not attend at the time and place agreed, the Contractor may proceed with the tests, unless otherwise instructed by the Engineer, and the tests shall then be deemed to have been made in the Engineer's presence.

If the Contractor suffers delay and/or incurs Cost from complying with these instructions or as a result of a delay for which the Employer is responsible, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

The Contractor shall promptly forward to the Engineer duly certified reports of the tests. When the specified tests have been passed, the Engineer shall endorse the Contractor's test certificate, or issue a certificate to him, to that effect. If the Engineer has not attended the tests, he shall be deemed to have accepted the readings as accurate.

7.5 Rejection

If, as a result of an examination, inspection, measurement or testing, any Plant, Materials or workmanship is found to be defective or otherwise not in accordance with the Contract, the Engineer may reject the Plant, Materials or workmanship by giving notice to the Contractor, with reasons. The Contractor shall then promptly make good the defect and ensure that the rejected item complies with the Contract.

If the Engineer requires this Plant, Materials or workmanship to be retested, the tests shall be repeated under the same terms and conditions. If the rejection and retesting cause the Employer to incur additional costs, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay these costs to the Employer.

- **7.6 Remedial Work** Notwithstanding any previous test or certification, the Engineer may instruct the Contractor to:
 - (a) remove from the Site and replace any Plant or Materials which is not in accordance with the Contract.
 - (b) remove and re-execute any other work which is not in accordance with the Contract, and
 - (c) execute any work which is urgently required for the safety of the Works, whether because of an accident, unforeseeable event or otherwise.

The Contractor shall comply with the instruction within a reasonable time, which shall be the time (if any) specified in the instruction, or immediately if urgency is specified under sub-paragraph (c).

If the Contractor fails to comply with the instruction, the Employer shall be entitled to employ and pay other persons to carry out the work. Except to the extent that the Contractor would have been entitled to payment for the work, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay to the Employer all costs arising from this failure.

7.7 Ownership Plant Materials

of Each item of Plant and Materials shall, to the extent consistent with the Laws of and the Country, become the property of the Employer at whichever is the earlier of the following times, free from liens and other encumbrances:

- (a) when it is delivered to the Site;
- (b) when the Contractor is entitled to payment of the value of the Plant and Materials under Sub-Clause 8.10 [Payment for Plant and Materials in Event of Suspension].

7.8 Royalties

Unless otherwise stated in the Specification, the Contractor shall pay all royalties, rents and other payments for:

- (a) natural Materials obtained from outside the Site, and
- (b) the disposal of material from demolitions and excavations and of other surplus material (whether natural or man-made), except to the extent that disposal areas within the Site are specified in the Contract.

8. Commencement, Delays and Suspension

8.1 Commencement of Works

The Engineer shall give the Contractor not less than 7 days' notice of the Commencement Date. Unless otherwise stated in the Special Conditions of Contract, the Commencement Date shall be within the number of days after the Contractor receives the Letter of Acceptance as specified in Contract Data.

The Contractor shall commence the execution of the Works as soon as is reasonably practicable after the Commencement Date, and shall then proceed with the Works with due expedition and without delay.

8.2 Time for Completion

The Contractor shall complete the whole of the Works, and each Section (if any), within the Time for Completion for the Works or Section (as the case may be), including:

- (a) achieving the passing of the Tests on Completion, and
- (b) completing all work which is stated in the Contract as being required for whole of the Works or Section(s) to be considered to be completed for the purposes of taking-over under Sub-Clause 10.1 [Taking Over of the Works and Sections].
- (c) The date of completion for works described in this sub-clause, shall be the earliest of the following:
 - (i) The date CRS recommends opening of the Section(s) for public carriage of passengers to Central Government, for speeds of not less than 75% of the designed operating speeds or,
 - (ii) The date CRS authorises the Railway Administration for opening of Section(s), subject to sanction of the Central Government for speeds of not less than 75% of the designed operating speeds, or,
 - (iii) The date CRS authorizes/sanctions openingofSection(s) without inspection.

8.3 Programme

The Contractor shall submit a detailed time programme to the Engineer within 28 days after receiving the notice under Sub-Clause 8.1 [Commencement of Works]. The program shall include the physical and Financial Progress vis-àvis program and forecast cash flow adopting Project Management Software Primavera/Sure Track/MS Project or as mutually agreed. The program must identify the milestones, interface requirements and program reporting

elements. The Contractor shall supply, free of cost one set of authorized software to the Engineer and the soft copy of structured program for the project. This shall be updated every month. The Contractor shall also submit a revised programme whenever the previous programme is inconsistent with actual progress or with the Contractor's obligations. Each programme shall include:

- (a) the order in which the Contractor intends to carry out the Works, including the anticipated timing of each stage of design (if any), Contractor's Documents, procurement, manufacture of Plant, delivery to Site, construction, erection and testing,
- (b) each of these stages for work by each nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]),
- (c) the sequence and timing of inspections and tests specified in the Contract, and
- (d) a supporting report which includes:
 - (i) a general description of the methods which the Contractor intends to adopt, and of the major stages, in the execution of the Works, and
 - (ii) details showing the Contractor's reasonable estimate of the number of each class of Contractor's Personnel and of each type of Contractor's Equipment, required on the Site for each major stage.

Unless the Engineer, within 21 days after receiving a programme, gives notice to the Contractor stating the extent to which it does not comply with the Contract, the Contractor shall proceed in accordance with the programme, subject to his other obligations under the Contract. The Employer's Personnel shall be entitled to rely upon the programme when planning their activities.

The Contractor shall promptly give notice to the Engineer of specific probable future events or circumstances which may adversely affect the work, increase the Contract Price or delay the execution of the Works. The Engineer may require the Contractor to submit an estimate of the anticipated effect of the future event or circumstances, and/or a proposal under Sub-Clause 13.3 [Variation Procedure].

If, at any time, the Engineer gives notice to the Contractor that a programme fails (to the extent stated) to comply with the Contract or to be consistent with actual progress and the Contractor's stated intentions, the Contractor shall submit a revised programme to the Engineer within 15 days in accordance with this Sub-Clause.

8.4 Extension of Time for Completion

The Contractor shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to an extension of the Time for Completion if and to the extent that completion for the purposes of Sub-Clause 10.1 [Taking-Over of the Works and Sections] is or will be delayed by any of the following causes:

- (a) a Variation (unless an adjustment to the Time for Completion has been agreed under Sub-Clause 13.3 [Variation Procedure]) or other substantial change in the quantity of an item of work included in the Contract,
- a cause of delay giving an entitlement to extension of time under a Sub-Clause of these Conditions,
- (c) exceptionally adverse climatic conditions,
- (d) Unforeseeable shortages in the availability of personnel or Goods caused

by epidemic or governmental actions, or

any delay, impediment or prevention caused by or attributable to the Employer, the Employer's Personnel, or the Employer's other contractors.

If the Contractor considers himself to be entitled to an extension of the Time for Completion, the Contractor shall give notice to the Engineer in accordance with Sub-Clause 20.1 [Contractor's Claims]. When determining each extension of time under Sub-Clause 20.1, the Engineer shall review previous determinations and may increase, but shall not decrease, the total extension of time.

8.5 by Authorities

Delays Caused If the following conditions apply, namely:

- the Contractor has diligently followed the procedures laid down by the relevant legally constituted public authorities in the Country.
- these authorities delay or disrupt the Contractor's work, and
- the delay or disruption was Unforeseeable.

then this delay or disruption will be considered as a cause of delay under subparagraph (b) of Sub-Clause 8.4 [Extension of Time for Completion].

8.6 Rate of **Progress**

If, at any time:

- actual progress is too slow to complete within the Time for Completion, and/or
- (b) progress has fallen (or will fall) behind the current programme under Sub-Clause 8.3 [Programme],

other than as a result of a cause listed in Sub-Clause 8.4 [Extension of Time for Completion], then the Engineer may instruct the Contractor to submit, under Sub-Clause 8.3 [Programme], a revised programme and supporting report describing the revised methods which the Contractor proposes to adopt in order to expedite progress and complete within the Time for Completion.

Unless the Engineer notifies otherwise, the Contractor shall adopt these revised methods, which may require increases in the working hours and/or in the numbers of Contractor's Personnel and/or Goods, at the risk and cost of the Contractor. If these revised methods cause the Employer to incur additional costs, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay these costs to the Employer, in addition to delay damages (if any) under Sub-Clause 8.7 below.

8.7 **Extension of** Time for **Delay Damages**

If the Contractor fails to comply with Sub-Clause 8.2 [Time for Completion for entire work or for specified section wise completion period], and he is not Completion with entitled to an extension of time under sub clause 8.4 then the employer may grant extension of time with delay damage in such case, the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay delay damages to the Employer for this default. These delay damages shall be the sum stated in the Contract Data, which shall be paid for every day which shall elapse between the relevant Time for Completion and the date stated in the Taking-Over Certificate. However, the total amount due under this Sub-Clause shall not exceed the maximum amount of delay damages (if any) stated in the Contract Data.

> Further, if the contractor fails to achieve physical/financial targets as per the agreed programme for a consecutive period of 3 months without any valid reasons, other than attributable to the contractor, a provisional recovery of delay damages shall be made from the next interim payment certificate @

1/10th of the sum of delay damages stated in the contract data, for the entire period of 3 months. Such recovery shall continue from the further interim payment certificates till the contractor is able to make good the shortfall and achieve the cumulative targets as per agreed programme. On achieving the cumulative progress targets as per agreed programme, the entire amount recovered till that month, shall be refunded to the contractor in the next interim payment certificate. In case the contractor is unable to make good the shortfall and achieve the cumulative targets resulting in delay in completion of the project, then the provisional recoveries made shall be adjusted against the delay damages to be finally imposed on the contractor.

These delay damages shall be the only damages due from the Contractor for such default, other than in the event of termination under Sub-Clause 15.2 [Termination by Employer] prior to completion of the Works. These damages shall not relieve the Contractor from his obligation to complete the Works, or from any other duties, obligations or responsibilities which he may have under the Contract.

8.8 Suspension Work

of The Engineer may at any time instruct the Contractor to suspend progress of part or all of the Works. During such suspension, the Contractor shall protect, store and secure such part or the Works against any deterioration, loss or damage.

The Engineer may also notify the cause for the suspension. If and to the extent that the cause is notified and is the responsibility of the Contractor, the following Sub-Clauses 8.9, 8.10 and 8.11 shall not apply.

8.9Consequences Suspension

- of If the Contractor suffers delay and/or incurs Cost from complying with the Engineer's instructions under Sub-Clause 8.8 [Suspension of Work] and/or from resuming the work, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:
 - (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
 - (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

The Contractor shall not be entitled to an extension of time for, or to payment of the Cost incurred in, making good the consequences of the Contractor's faulty design, workmanship or materials, or of the Contractor's failure to protect, store or secure in accordance with Sub-Clause 8.8 [Suspension of Work].

8.10 Payment for Plant and Materials in Event of Suspension

The Contractor shall be entitled to payment of the value (as at the date of suspension) of Plant and/or Materials, if:

- (a) the work on Plant or delivery of Plant and/or Materials has been suspended for more than 28 days,
- (b) the Contractor has marked the Plant and/or Materials as the Employer's property in accordance with the Engineer's instructions, and
- (c) Such materials or plant is received at site.

8.11 Prolonged Suspension

If the suspension under Sub-Clause 8.8 [Suspension of Work] has continued for more than 84 days, the Contractor may request the Engineer's permission to proceed. If the Engineer does not give permission within 28 days after being requested to do so, the Contractor may, by giving notice to the Engineer, treat

the suspension as an omission under Clause 13 [Variations and Adjustments] of the affected part of the Works. If the suspension affects the whole of the Works, the Contractor may give notice of termination under Sub-Clause 16.2 [Termination by Contractor].

8.12 Resumption Work

of After the permission or instruction to proceed is given, the Contractor and the Engineer shall jointly examine the Works and the Plant and Materials affected by the suspension. The Contractor shall make good any deterioration or defect in or loss of the Works or Plant or Materials, which has occurred during the suspension.

8.13 Bonus for early completion:

If the Contractor achieves completion of the whole of the Works or any section(s) prior to the intended Completion Date prescribed in Contract data (Extension of time pursuant to Clause 8.4 or any other clause of these conditions will not be considered), the Employer shall pay to the Contractor a sum stated in the Contract data as bonus for early completion, for every calendar month which shall elapse between the date of completion of all items of works as stipulated in the contract, including variations ordered by the Engineer and the time prescribed in Clause 8.2.

For the purpose of calculating bonus payments, the time given in the Bid for completion of the whole works or any section(s) is fixed and unless otherwise agreed, no adjustment of the time by reasons of granting an extension of time pursuant to clause 8.4 or any other clause of these conditions will be allowed. Any period falling short of completed month shall be ignored for the purpose of computing the period relevant for the payment of bonus.

Tests on Completion 9.

9.1Contractor's **Obligations**

The Contractor shall carry out the Tests on Completion in accordance with this Clause and Sub-Clause 7.4 [Testing], after providing the documents in accordance with sub-paragraph (d) of Sub-Clause 4.1 [Contractor's General Obligations].

The Contractor shall give to the Engineer not less than 21 days' notice of the date after which the Contractor will be ready to carry out each of the Tests on Completion. Unless otherwise agreed, Tests on Completion shall be carried out within 14 days after this date, on such day or days as the Engineer shall instruct.

In considering the results of the Tests on Completion, the Engineer shall make allowances for the effect of any use of the Works by the Employer on the performance or other characteristics of the Works. As soon as the Works, or a Section, have passed any Tests on Completion, the Contractor shall submit a certified report of the results of these Tests to the Engineer.

9.2

Delayed Tests If the Tests on Completion are being unduly delayed by the Employer, Sub-Clause 7.4 [Testing] (fifth paragraph) and/or Sub-Clause 10.3 [Interference with Tests on Completion] shall be applicable.

> If the Tests on Completion are being unduly delayed by the Contractor, the Engineer may by notice require the Contractor to carry out the Tests within 21 days after receiving the notice. The Contractor shall carry out the Tests on such day or days within that period as the Contractor may fix and of which he shall give notice to the Engineer.

> If the Contractor fails to carry out the Tests on Completion within the period of

21 days, the Employer's Personnel may proceed with the Tests at the risk and cost of the Contractor. The Tests on Completion shall then be deemed to have been carried out in the presence of the Contractor and the results of the Tests shall be accepted as accurate.

9.3 Retesting

If the Works, or a Section, fail to pass the Tests on Completion, Sub-Clause 7.5 [Rejection] shall apply, and the Engineer or the Contractor may require the failed Tests, and Tests on Completion on any related work, to be repeated under the same terms and conditions.

9.4 Failure to Pass Tests on Completion

If the Works, or a Section, fail to pass the Tests on Completion repeated under Sub-Clause 9.3 [Retesting], the Engineer shall be entitled to:

- (a) order further repetition of Tests on Completion under Sub-Clause 9.3;
- (b) if the failure deprives the Employer of substantially the whole benefit of the Works or Section, reject the Works or Section (as the case may be), in which event the Employer shall have the same remedies as are provided in sub-paragraph (c) of Sub-Clause 11.4 [Failure to Remedy Defects]; or
- (c) issue a Taking-Over Certificate, if the Employer so requests.

In the event of sub-paragraph (c), the Contractor shall proceed in accordance with all other obligations under the Contract, and the Contract Price shall be reduced by such amount as shall be appropriate to cover the reduced value to the Employer as a result of this failure. Unless the relevant reduction for this failure is stated (or its method of calculation is defined) in the Contract, the Employer may require the reduction to be (i) agreed by both Parties (in full satisfaction of this failure only) and paid before this Taking-Over Certificate is issued, or (ii) determined and paid under Sub-Clause 2.5 [Employer's Claims] and Sub-Clause 3.5 [Determinations].

9.5 Contractor's obligations

Notwithstanding the provisions of sub-clauses 4.1, 9.1 to 9.4 the provisions in subsequent sub-clauses shall apply for works of Permanent Way, signaling and telecommunication and railway electrification excluding General Electrical Services.

- (a) The Contractor shall be responsible for the execution of temporary and/or permanent works which may require the prior sanction/approval of Commissioner of Railway Safety (CRS) in accordance with extant rules for —The Railways opening for Public Carriage of Passengers" was amended from time to time. And applicable as and when the works are undertaken. The Contractor shall initiate the process for approval at least 63 (sixty three) days prior to undertaking such works which require the approval of Commissioner of Railway Safety (CRS) and furnish draft documentation to the Engineer.
- (b) The Contractor shall ensure that existing services and operations for public carriage of passengers or goods, are not affected except those, which are essentially required to be regulated for execution of works. Such items of works shall be planned and coordinated through the Engineer.
- (c) Prior to the commencement of commercial operations of passenger traffic
 - (i) The Contractor may have to operate locomotives, track machines and any other rolling stock for track tamping, trial runs, etc. for which track, signaling or OHE works must comply

Section 6 -General Conditions of Contract IPRCL

with the specifications.

- (ii) The Employer may permit freight train operations to Railway after certification by the authorized person of Zonal Railway
- (iii) The Contractor shall be responsible for maintaining the facilities ensuring safety of operations under (i) & (ii) above as per specifications.

10. **Employer's Taking Over**

Sections

10.1 Taking Over of Except as stated in Sub-Clause 9.4 [Failure to Pass Tests on Completion], the the Works and Works shall be taken over by the Employer/Railwaywhen (i) the Works have been completed in accordance with the Contract, including the matters described in Sub-Clause 8.2 [Time for Completion] and except as allowed in sub-paragraph (a) below, and (ii) a Taking-Over Certificate for the Works has been issued, or is deemed to have been issued in accordance with this Sub-Clause.

> The Contractor may apply by notice to the Engineer for a Taking-Over Certificate not earlier than 14 days before the Works will, in the Contractor's opinion, be complete and ready for taking over. If the Works are divided into Sections, the Contractor may similarly apply for a Taking-Over Certificate for each Section.

> In case the works are to be taken over in accordance with sub-clause 9.5, the completed works shall be taken over by the Zonal Railway with the procedure specified by the Engineer.

The Engineer shall, within 28 days after receiving the Contractor's application:

- issue the Taking-Over Certificate to the Contractor, stating the date on which the Works or Section were completed in accordance with the Contract, except for any minor outstanding work and defects which will not substantially affect the use of the Works or Section for their intended purpose (either until or whilst this work is completed and these defects are remedied): or
- (b) reject the application, giving reasons and specifying the work required to be done by the Contractor to enable the Taking-Over Certificate to be issued. The Contractor shall then complete this work before issuing a further notice under this Sub-Clause.

If the Engineer fails either to issue the Taking-Over Certificate or to reject the Contractor's application within the period of 28 days, and if the Works or Section (as the case may be) are substantially in accordance with the Contract, the Taking-Over Certificate shall be deemed to have been issued on the last day of that period.

Parts of the Works

10.2 Taking Over of The Engineer may, at the sole discretion of the Employer, issue a Taking-OverCertificate for any part of the Permanent Works.

> The Employer shall not use any part of the Works (other than as a temporary measure which is either specified in the Contract or agreed by both Parties) unless and until the Engineer has issued a Taking-Over Certificate for this part. However, if the Employer does use any part of the Works before the Taking-Over Certificate is issued:

> the part which is used shall be deemed to have been taken over as from the date on which it is used.

- (b) the Contractor shall cease to be liable for the care of such part as from this date, when responsibility shall pass to the Employer, and
- (c) if requested by the Contractor, the Engineer shall issue a Taking-Over Certificate for this part.

After the Engineer has issued a Taking-Over Certificate for a part of the Works, the Contractor shall be given the earliest opportunity to take such steps as may be necessary to carry out any outstanding Tests on Completion. The Contractor shall carry out these Tests on Completion as soon as practicable before the expiry date of the relevant Defects Notification Period.

If the Contractor incurs Cost as a result of the Employer taking over and/or using a part of the Works, other than such use as is specified in the Contract or agreed by the Contractor, the Contractor shall (i) give notice to the Engineer and (ii) be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to payment of any such Cost, which shall be included in the Contract Price. After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine this Cost and profit.

If a Taking-Over Certificate has been issued for a part of the Works (other than a Section), the delay damages thereafter for completion of the remainder of the Works shall be reduced. Similarly, the delay damages for the remainder of the Section (if any) in which this part is included shall also be reduced. For any period of delay after the date stated in this Taking-Over Certificate, the proportional reduction in these delay damages shall be calculated as the proportion which the value of the part so certified bears to the value of the Works or Section (as the case may be) as a whole. The Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these proportions. The provisions of this paragraph shall only apply to the daily rate of delay damages under Sub-Clause 8.7 [Delay Damages], and shall not affect the maximum amount of these damages.

10.3 Interference with If the Contractor is prevented, for more than 14 days, from carrying out the Tests on Tests onCompletion by a cause for which the Employer/Engineer/other Completion Contractors of the Employer,

are responsible, the Employer shall be deemed to have taken over the Works or Section (as the case may be) on the date when the Tests on Completion would otherwise have been completed.

The Engineer shall then issue a Taking-Over Certificate accordingly, and the Contractor shall carry out the Tests on Completion as soon as practicable, before the expiry date of the Defects Notification Period. The Engineer shall require the Tests on Completion to be carried out by giving 14 days' notice and in accordance with the relevant provisions of the Contract.

If the Contractor suffers delay and/or incurs Cost as a result of this delay in carrying out the Tests on Completion, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

10.4 Surfaces

Except as otherwise stated in a Taking-Over Certificate, a certificate for a

Requiring

Section orpart of the Works shall not be deemed to certify completion of any Reinstatement ground or other surfaces requiring reinstatement.

11. **Defects Liability**

11.1 Completion of Outstanding Work and Remedving **Defects**

In order that the Works and Contractor's Documents, and each Section, shall be in the condition required by the Contract (fair wear and tear excepted) by the expiry date of the relevant Defects Notification Period or as soon as practicable thereafter, the Contractor shall:

- complete any work which is outstanding on the date stated in a Taking-Over Certificate, within such reasonable time as is instructed by the Engineer, and
- execute all work required to remedy defects or damage, as may be notified by (or on behalf of) the Employer on or before the expiry date of the Defects Notification Period for the Works or Section (as the case may be).

If a defect appears or damage occurs, the Contractor shall be notified accordingly, by (or on behalf of) the Employer.

11.2 Cost of Remedying Defects

All work referred to in sub-paragraph (b) of Sub-Clause 11.1 [Completion of Outstanding Work and Remedying Defects] shall be executed at the risk and cost of the Contractor, if and to the extent that the work is attributable to:

- any design for which the Contractor is responsible.
- Plant, Materials or workmanship not being in accordance with the (b) Contract, or
- (c) failure by the Contractor to comply with any other obligation.

If and to the extent that such work is attributable to any other cause, the Contractor shall be notified promptly by (or on behalf of) the Employer, and Sub-Clause 13.3 [Variation Procedure] shall apply.

11.3 Extension of **Defects** Notification Period

The Employer shall be entitled subject to Sub-Clause 2.5 [Employer's Claims] to an extension of the Defects Notification Period for the Works or a Section if and to the extent that the Works, Section or a major item of Plant (as the case may be, and after taking over) cannot be used for the purposes for which they are intended by reason of a defect or damage. However, a Defects Notification Period shall not be extended by more than two years.

If delivery and/or erection of Plant and/or Materials was suspended under Sub-Clause 8.8 [Suspension of Work] or Sub-Clause 16.1 [Contractor's Entitlement to Suspend Work], the Contractor's obligations under this Clause shall not apply to any defects or damage occurring more than two years after the Defects Notification Period for the Plant and/or Materials would otherwise have expired.

11.4 Failure to Remedy Defects

If the Contractor fails to remedy any defect or damage within a reasonable time, a date may be fixed by (or on behalf of) the Employer, on or by which the defect or damage is to be remedied. The Contractor shall be given reasonable notice of this date.

If the Contractor fails to remedy the defect or damage by this notified date and

this remedial work was to be executed at the cost of the Contractor under Sub-Clause 11.2 [Cost of Remedying Defects], the Employer may (at his option):

- Carry out the work himself or by others, in a reasonable manner and at the Contractor's cost, but the Contractor shall have no responsibility for this work; and the Contractor shall subject to Sub-Clause 2.5 [Employer's Claims] pay to the Employer the costs reasonably incurred by the Employer in remedying the defect or damage;
- require the Engineer to agree or determine a reasonable reduction in the Contract Price in accordance with Sub-Clause 3.5 [Determinations]; or
- if the defect or damage deprives the Employer of substantially the whole benefit of the Works or any major part of the Works, terminate the Contract as a whole, or in respect of such major part which cannot be put to the intended use. Without prejudice to any other rights, under the Contract or otherwise, the Employer shall then be entitled to recover all sums paid for the Works or for such part (as the case may be), plus financing costs and the cost of dismantling the same, clearing the Site and returning Plant and Materials to the Contractor.

11.5 Removal of

If the defect or damage cannot be remedied expeditiously on the Site and Defective Work the Employer gives consent, the Contractor may remove from the Site for the purposesof repair such items of Plant as are defective or damaged. This consent may require the Contractor to increase the amount of the Performance Security by the full replacement cost of these items, or to provide other appropriate security.

11.6 Further Tests

If the work of remedying of any defect or damage may affect the performance of the Works, the Engineer may require the repetition of any of the tests described in the Contract. The requirement shall be made by notice within 28 days after the defect or damage is remedied.

These tests shall be carried out in accordance with the terms applicable to the previous tests, except that they shall be carried out at the risk and cost of the Party liable, under Sub-Clause 11.2 [Cost of Remedying Defects], for the cost of the remedial work.

11.7 Right of Access

Until the Performance Certificate has been issued, the Contractor shall have such right of access to the Works as is reasonably required in order to comply with this Clause, except as may be inconsistent with the Employer's reasonable security restrictions.

11.8 Contractor to Search

The Contractor shall, if required by the Engineer, search for the cause of any defect, under the direction of the Engineer. Unless the defect is to be remedied at the cost of the Contractor under Sub-Clause 11.2 [Cost of Remedying Defects], the Cost of the search shall be agreed or determined by the Engineer in accordance with Sub-Clause 3.5 [Determinations] and shall be included in the Contract Price.

11.9 Performance Certificate

Performance of the Contractor's obligations shall not be considered to have been completed until the Employer has issued the Performance Certificate to the Contractor, stating the date on which the Contractor completed his obligations under the Contract.

The Employer shall issue the Performance Certificate within 28 days after the latest of the expiry dates of the Defects Notification Periods, or as soon thereafter as the Contractor has supplied all the Contractor's Documents and

completed and tested all the Works, including remedying any defects.

Only the Performance Certificate shall be deemed to constitute acceptance of the Works.

11.10 Unfulfilled Obligations

After the Performance Certificate has been issued, each Party shall remain liable for the fulfilment of any obligation which remains unperformed at that time. For the purposes of determining the nature and extent of unperformed obligations, the Contract shall be deemed to remain in force.

11.11 Clearance of Site

Upon receiving the Performance Certificate, the Contractor shall remove any remaining Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works from the Site.

If all these items have not been removed within 28 days after the Employer receives a copy of the Performance Certificate, the Employer may sell or otherwise dispose of any remaining items. The Employer shall be entitled to be paid the costs incurred in connection with, or attributable to, such sale or disposal and restoring the Site.

Any balance of the moneys from the sale shall be paid to the Contractor. If these moneys are less than the Employer's costs, the Contractor shall pay the outstanding balance to the Employer.

12. Measurement and Evaluation

12.1 Works to be Measured

The Works shall be measured, and valued for payment, in accordance with this Clause.

Whenever the Engineer requires any part of the Works to be measured, reasonable notice shall be given to the Contractor's Representative, who shall:

- (a) promptly either attend or send another qualified representative to assist the Engineer in making the measurement, and
- (b) supply any particulars requested by the Engineer.

If the Contractor fails to attend or send a representative, the measurement made by (or on behalf of) the Engineer shall be accepted as accurate.

Except as otherwise stated in the Contract, wherever any Permanent Works are to be measured from records, these shall be prepared by the Engineer. The Contractor shall, as and when requested, attend to examine and agree the records with the Engineer, and shall sign the same when agreed. If the Contractor does not attend, the records shall be accepted as accurate.

If the Contractor examines and disagrees the records, and/or does not sign them as agreed, then the Contractor shall give notice to the Engineer of the respects in which the records are asserted to be inaccurate. After receiving this notice, the Engineer shall review the records and either confirm or vary them. If the Contractor does not so give notice to the Engineer within 14 days after being requested to examine the records, they shall be accepted as accurate.

12.2 Method of Measurement

Except as otherwise stated in the Contract and notwithstanding local practice:

- (a) measurement shall be made of the net actual quantity of each item of the Permanent Works, and
- (b) the method of measurement shall be in accordance with the Bill of

Quantities or other applicable Schedules.

12.3 Evaluation

Except as otherwise stated in the contract, the Engineer shall proceed in accordance with sub clause 3.5 (Determinations) to agree or determine the contract price by evaluating each item of work, applying the measurement agreed or determined in accordance with the above sub clause 12.1 and 12.2 and the appropriate rate or price for the item.

For each item of work, the appropriate rate or price for the item shall be the rate or price specified for such item in the contract or, if there is no such item, specified for similar work.

12.3.1 However, a new rate or price shall be appropriate for an item of work if :

- (a) (i) the measured quantity of the item is increased by more than 50% from the quantity of this item in the Bill of Quantities or the Schedule.
 - (ii) this increase in quantity multiplied by specified rate for this item in the Bill of Quantities or the Schedule, exceeds 0.25% ofthe Accepted Contract Amount,
 - (iii) New rates in case of increase in quantity of the agreement items shall be applicable to the increase in quantities beyond the specified percentages under 12.3.1 (a) (i) & (ii) above, and
 - (iv) this item is not specified in the contract as a —fixed rate item"; OR
- (b) (i) the work is instructed under clause 13[Variations and Adjustments].
 - (ii) no rate or price is specified in the contract for this item, and
- (iii) no specified rate or price is appropriate because the item of work is not of similar character, or is not executed under similar conditions, as any item in the contract.

12.3.2

- (i) Each new rate or price for item(s) as described in sub paragraph 12.3.1(a) above shall be derived from an assessment of the reasonable cost of executing the work with an additional element of 15% towards overheads and profit of the Contractor.
- (ii) Each new rate or price for item(s) as described in sub paragraph 12.3.1 (b) above shall be derived from an assessment of the reasonable cost of executing the work with an additional element of 15% towards overheads and profit of the Contractor, subject to the condition that such item(s) as described in sub paragraph 12.3.1 (b) above is/are not available in the "Standard Bill of Quantities of IPRCL".
- (iii) In case item(s) as described in sub paragraph 12.3.1 (b) above is/are available in the "Standard Bill of Quantities of IPRCL (updated upto 28 days prior to deadline for submission of bids)", new rate or price for such items shall be the rate as available in the "Standard Bill of Quantities of IPRCL (updated upto 28 days prior to deadline for

submission of bids)", modified by the percentage above/below accepted in this contract for the respective schedule and also price variation shall be applicable in the same manner as applicable to items specified in the contract.

- (iv) The assessment of reasonable cost of executing the work (except over heads and profit which shall be 15%) shall be arrived at based on the prevailing rates and by taking guidance from the following documents. The priority of the documents shall be in accordance with the following sequence:
 - a) Analysis of Unified SOR of Indian Railway;
 - b) Analysis issued by MORTH;
 - c) Analysis of Delhi Schedule of Rates issued by CPWD;
 - d) Market analysis.
- (v) If the final rate decided by the competent authority as per IPRCL SOP is not acceptable to the contractor, the contractor will be bound to execute the work at the rates as decided by the competent authority of IPRCL but he may refer the dispute in rate for settlement as per provisions of clause 20 of General Conditions of Contract.

12.3.3

Until such time as an appropriate rate or price is agreed or determined, the Engineer shall make the Interim payment at the rate of 80% of the rate proposed by him and accepted by the Project Director (concerned CPM/GM in charge of the project).

12.4 Omissions

Whenever the omission of any work forms part (or all) of a Variation, the value of which has not been agreed, if:

- (a) the Contractor will incur (or has incurred) cost which, if the work had not been omitted, would have been deemed to be covered by a sum forming part of the Accepted Contract Amount;
- (b) the omission of the work will result (or has resulted) in this sum not forming part of the Contract Price; and
- (c) this cost is not deemed to be included in the evaluation of any substituted work;

then the Contractor shall give notice to the Engineer accordingly, with supporting particulars. Upon receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine this cost, which shall be included in the Contract Price.

13. Variations and Adjustments

13.1 Right to Vary

Variations may be initiated by the Employer at any time prior to issuing the Taking-Over Certificate for the Works, either by an instruction or by making a request to the Contractor to submit a proposal.

The Contractor shall execute and be bound by each Variation till the price does not exceed 50% of the agreemental value as specified in letter of acceptance / original agreement. For variation beyond the above the contractor shall be bound to execute, unless the Contractor promptly gives notice to the Engineer stating (with supporting particulars) that the Contractor cannot readily obtain the Goods required for the Variation. Upon receiving this notice, the Engineer

shall cancel, confirm or vary the instruction.

Each Variation may include:

- (a) changes to the quantities of any item of work included in the Contract,
- (b) changes to the quality and other characteristics of any item of work,
- (c) changes to the levels, positions and/or dimensions of any part of the Works,
- (d) omission of any work unless it is to be carried out by others,
- (e) any additional work, Plant, Materials or services necessary for the Permanent Works, including any associated Tests on Completion, boreholes and other testing and exploratory work, or
- (f) changes to the sequence or timing of the execution of the Works.

The Contractor shall not make any alteration and/or modification of the Permanent Works, unless and until the Engineer instructs or approves a Variation.

13.2 Value Engineering

The Contractor may, at any time, submit to the Engineer a written proposal which (in the Contractor's opinion) will, if adopted, (i) accelerate completion, (ii) reduce the cost to the Employer of executing, maintaining or operating the Works, (iii) improve the efficiency or value to the Employer of the completed Works, or (iv) otherwise be of benefit to the Employer.

The proposal shall be prepared at the cost of the Contractor and shall include the items listed in Sub-Clause 13.3 [Variation Procedure].

If a proposal, which is approved by the Engineer, includes a change in the design of part of the Permanent Works, then unless otherwise agreed by both Parties:

- (a) the Contractor shall design this part,
- (b) sub-paragraphs (a) to (d) of Sub-Clause 4.1 [Contractor's General Obligations] shall apply, and
- (c) if this change results in a reduction in the contract value of this part, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine a fee, which shall be included in the Contract Price. This fee shall be half (50%) of the difference between the following amounts:
 - such reduction in contract value, resulting from the change, excluding adjustments under Sub-Clause 13.7 [Adjustments for Changes in Legislation] and Sub-Clause 13.8 [Adjustments for Changes in Cost],and
 - (ii) the reduction (if any) in the value to the Employer of the varied works, taking account of any reductions in quality, anticipated life or operational efficiencies.

However, if amount (i) is less than amount (ii), there shall not be a fee.

13.3 Variation Procedure

If the Engineer requests a proposal, prior to instructing a Variation, the Contractor shall respond in writing as soon as practicable, either by giving reasons why he cannot comply (if this is the case) or by submitting:

(a) a description of the proposed work to be performed and a programme for

its execution.

- (b) the Contractor's proposal for any necessary modifications to the programme according to Sub-Clause 8.3 [Programme] and to the Time for Completion, and
- (c) the evaluation of the Variation shall be as specified in Clause 12.3 Evaluation.

The Engineer shall, as soon as practicable after receiving such proposal (under Sub-Clause 13.2 [Value Engineering] or otherwise), respond with approval, disapproval or comments. The Contractor shall not delay any work whilst awaiting a response.

Each instruction to execute a Variation, with any requirements for the recording of Costs, shall be issued by the Engineer to the Contractor, who shall acknowledge receipt.

Each Variation shall be evaluated in accordance with Clause 12 [Measurement and Evaluation], unless the Engineer instructs or approves otherwise in accordance with this Clause.

13.4 Payment in Applicable Currencies

If the Contract provides for payment of the Contract Price in more than one currency, then whenever an adjustment is agreed, approved or determined as stated above, the amount payable in each of the applicable currencies shall be specified. For this purpose, reference shall be made to the actual or expected currency proportions of the Cost of the varied work, and to the proportions of various currencies specified for payment of the Contract Price.

13.5 Provisional Sums

Each Provisional Sum shall only be used, in whole or in part, in accordance with the Engineer's instructions, and the Contract Price shall be adjusted accordingly. The total sum paid to the Contractor shall include only such amounts, for the work, supplies or services to which the Provisional Sum relates, as the Engineer shall have instructed. For each Provisional Sum, the Engineer may instruct:

- (a) work to be executed (including Plant, Materials or services to be supplied) by the Contractor and valued under Sub-Clause 13.3 [Variation Procedure]; and/or
- (b) Plant, Materials or services to be purchased by the Contractor, from a nominated Subcontractor (as defined in Clause 5 [Nominated Subcontractors]) or otherwise; and for which these shall be included in the Contract Price:
 - (i) the actual amounts paid (or due to be paid) by the Contractor, and
 - (ii) a sum for overhead charges and profit, calculated as a percentage of these actual amounts by applying the relevant percentage rate (if any) stated in the appropriate Schedule. If there is no such rate, the percentage rate stated in the Contract Data shall be applied.

The Contractor shall, when required by the Engineer, produce quotations, invoices, vouchers and accounts or receipts in substantiation.

13.6 Day work

For work of a minor or incidental nature, the Engineer may instruct that a Variation shall be executed on a daywork basis. The work shall then be valued in accordance with the Daywork Schedule included in the Contract, and the following procedure shall apply. If a Daywork Schedule is not included in the Contract, this Sub-Clause shall not apply.

Before ordering Goods for the work, the Contractor shall submit quotations to the Engineer. When applying for payment, the Contractor shall submit invoices, vouchers and accounts or receipts for any Goods.

Except for any items for which the Daywork Schedule specifies that payment is not due, the Contractor shall deliver each day to the Engineer accurate statements in duplicate which shall include the following details of the resources used in executing the previous day's work:

- (a) the names, occupations and time of Contractor's Personnel,
- (b) the identification, type and time of Contractor's Equipment and Temporary Works. and
- (c) the quantities and types of Plant and Materials used.

One copy of each statement will, if correct, or when agreed, be signed by the Engineer and returned to the Contractor. The Contractor shall then submit priced statements of these resources to the Engineer, prior to their inclusion in the next Statement under Sub-Clause 14.3 [Application for Interim Payment Certificates].

13.7Adjustments for Changes in Legislation

The Contract Price shall be adjusted to take account of any increase or decrease in Cost resulting from a change in the Laws of the Country (including the introduction of new Laws and the repeal or modification of existing Laws) or in the judicial or official governmental interpretation of such Laws, made after the Base Date, which affect the Contractor in the performance of obligations under the Contract.

If the Contractor suffers (or will suffer) delay and/or incurs (or will incur) additional Cost as a result of these changes in the Laws or in such interpretations, made after the Base Date, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- (a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- (b) payment of any such Cost, which shall be included in the Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

In case there is a decrease in cost as a result of changes of Law by Legislation after the Base Date, the Engineer shall proceed in accordance with Sub Clause 3.5 (determination) to agree or determine these matters without waiting for Contractor's / Employer's Notice.

Notwithstanding the foregoing, the Contractor shall not be entitled to such an extension of time if the same shall already have been taken into account in determining an extension and such Cost shall not be separately paid if the same shall already have been taken into account in the indexing of any inputs to the table of adjustment data in accordance with the provisions of Sub-Clause 13.8.

13.8Adjustment for changes in cost.

(A) PRICE ADJUSTMENT

The amounts payable to the Contractor and valued at base rates and prices pursuant to Sub-Clause 14.3 (a) hereof shall be adjusted in respect of the rise or fall in the indexed costs for labour, Contractor's Equipment and plant, materials and other inputs to the Works, by the addition or subtraction of the amounts determined by the formulae prescribed in this clause.

(B) OTHER CHANGES IN COST

To the extent that full compensation for any rise or fall in the costs to the Contractor is not covered by the provisions of this or other Clauses in the Contract, the unit rates and prices included in the Contract shall be deemed to include amounts to cover the contingency of such other rise or fall in costs.

(C): ADJUSTMENT FORMULAE

Contract price shall be adjusted for increase or decrease in rates and price of labour, materials fuels and lubricants in accordance with the following principles and procedures as per formulae given below. The amount certified in each payment certificate is adjusted by applying respective price adjustment factor to the payment amounts due in each currency:

- a. Price adjustment shall apply only for work carried out within the stipulated time or extensions granted by the Employer and shall not apply to work carried out beyond the stipulated time: price adjustment for extensions for reasons attributable to the Contractor, shall be paid in accordance with sub-clause 13.8 (H)
- Price adjustment shall be calculated for the local and foreign components of the payment for the work done as per formulae given below; and
- c. Following expressions and meanings are assigned to the work done during each month:
 - R = Total value of work done during the month. It would include the value of materials on which secured advance has been granted, if any during the month less the value of materials in respect of which the secured advance has been recovered, if any, during the month. This excludes the cost of work on items for which rates were fixed under variations clause (12 and 13) for which the escalation will be regulated as mutually agreed at the time of fixation of rate.
 - R_1 = Portion of \underline{R} ' as payable in Indian Rupees
 - R_f = Portion of R' as payable in Foreign currency (at fixed exchange rates)

$=R_I+R_F$

(i) Adjustment for Labour Component

Price adjustment for increase or decrease in the cost
due to labour shall be paid in accordance with the

following formula:

- $V_L = P_1 / 100 \times R_1 \times (L_{1} L_0) / L_0$
- V_L = Increase or decrease in the cost of work during the month under consideration due to changes in labour cost.
- L₀ = Consumer price index Number for industrial workers All India – published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the closing date of submission of Bids.
- L₁= Consumer price index Number for industrial workers All india- Published in RBI (Reserve Bank of india) Bulletin in the month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related.
- P_I= Percentage of Labour component of the work.

(ii) Adjustment for Cement Component

Price adjustment for increase or decrease in the cost of cement procured by the contractor shall be paid in accordance with the following formula:

- $V_c = P_c / 100 X R_1 X (C_1-C_0) / C_0$
- V_c = Increase or decrease in the cost of work during the month under consideration due to changes in the rates for cement.
- C₀ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Cement & Lime—published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the closing date of submission of Bids.
- C₁ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Cement & Lime—published in RBI (Reserve Bank of India)
- Bulletin in the month on the day 28 days prior to the last day ofthe period to which a particular interim payment certificate is related.
 - P_c = Percentage of Cement component of the work.

iii) Adjustment for Steel Component

Price adjustment for increase or decrease in the cost of Steel procured by the contractor under different schedules of BOQ shall be paid in accordance with the following formula:

Formulae-I (for all schedules of BOQ except schedule- D):

 $V_s = P_s / 100 \times R_1 \times (S_1 - S_0) / S_0$

V_s= Increase or decrease in the cost of work on the date under consideration due to changes in the rates for Steel.

 S_0 =The average price of

- (i) 8mm diameter Fe 500 TMT reinforcement bar (*)
- (ii)65x65x6 mm angle IS 2062 E 250A SK
- (iii) PM plates above 10-20 mm IS 2062 E 250A SK
- (iv) Channel 200x75 mm IS 2062 E 250A SK

as per latest rate list of SAIL's ex-works / ex-plant base price plus applicable GST and Cess on GST (if any) thereof as available on SAIL's website —www.sail.co.in for the month on the day 28 days prior to the closing date of submission of bids.

 S_1 = The average price of

- (i) 8mm diameter Fe 500 TMT reinforcement bar (*)
- (ii) 65x65x6 mm angle IS 2062 E 250A SK
- (iii) PM platesabove 10-20 mm IS 2062 E 250A SK
- (iv) Channel 200x75 mm IS 2062 E 250A SK

as per latest rate list of SAIL's ex-works / ex-plant base price plus applicable GST and Cess on GST (if any) thereof as available on SAIL's website —www.sail.co.in for month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related.

P_s = Percentage of Steel component of the work.

Formulae-II (for schedule- D of BOQ):

- $V_s = P_s / 100 \times R_1 \times (S_1 S_0) / S_0$
- V_s= Increase or decrease in the cost of work on the date under consideration due to changes in the rates for Steel.
- S₀ = The price of 8mm diameter Fe 500 TMT reinforcement bar (*) as per latest rate list of SAIL's ex-works / ex-plant base price plus applicable GST and Cess on GST (if any) thereof as available on SAIL's website —www.sail.co.in for the month on the day 28 days prior to the closing date of submission of bids.
- S₁ = The price of 8mm diameter Fe 500 TMT reinforcement bar (*) as per latest rate list of SAIL's ex-works / ex-plant base price plus applicable GST and Cess on GST (if any) thereof as available on SAIL's website —www.sail.co.in for month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related.
- P_s = Percentage of Steel component of the work.

(*) Note: In case the rate of 8mm diameter Fe 500 TMT reinforcement bar is not available from the source specified above, the rate of Fe 415 may be used in place of Fe 500 for calculating the Price Adjustment for Steel component. However, only one grade i.e. either Fe 500 or Fe 415 of reinforcement bar be used for calculation of S₀ and S₁ for a particular bill."

(iv) Adjustment for Plant and Machinery and Spares Component

Price adjustment for increase or decrease in the cost of Plant and Machinery spares procured by the contractor shall be paid in accordance with the following formula:

- $V_p = P_p / 100 \text{ X R}_1 \text{X } (P_1 P_0) / P_0$
- V_p = Increase or decrease in the cost of work during the month under consideration due to changes in the rates for Plant and Machinery spares.
- P₀ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Construction Machinery published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the closing date of submission of Bids.
- P₁ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Construction Machinery published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related.
- P_p = Percentage of Plant and Machinery spares component of the work.

(vv) Adjustment for Fuel and Lubricants

Price adjustment for increase or decrease in the cost of POL(fuel and lubricants) shall be paid in accordance with the following formula:

- $V_f = P_f / 100 X R_1 X (F_1 F_0) / F_0$
 - V_f = Increase or decrease in the cost of work on the date under consideration due to changes in the rates for Fuel and lubricants.
 - F₀ = The official price of High-Speed Diesel (HSD) available on the official website of Indian Oil Corporation, applicable on the day 28 days prior to the closing date of submission of

Bids at the state capital specified in the contract data.

- F₁ = The official retail price of High-Speed Diesel (HSD) available on the official website of Indian Oil Corporation, applicable on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related at the state capital specified in contract data.
- P_f = Percentage of Fuel and Lubricants component of the work.

(vi) Adjustment for Other Non Ferrous Component

Price adjustment for increase or decrease in the cost of Other Non Ferrousmetalprocured by the contractor shall be paid in accordance with the following formula:

$$V_{NF} = P_{NF} / 100 X R_1 X (NF_1-NF_0) / NF_0$$

- V_{NF} = Increase or decrease in the cost of work during the month under consideration due to changes in the rates for Other Non Ferrousmetal.
- NF₀ =The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Other Non Ferrousmetal published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the closing date of submission of Bids.
- NF₁ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for Other Non Ferrousmetal published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related.
- P_{NF} = Percentage of Other Non Ferrousmetal component of the work.

NNote: For the application of this clause, Index of Other Non Ferrous metal has been chosen to represent Other Non Ferrous metal group in the month on the day 28 days prior to the closing date of submission of Bids.

(vii) Adjustment for Other local Materials

Price adjustment for increase or decrease in the cost of local materials other than Cement and Steel, Plant Machinery &Spares, POL and Other Non Ferrous Metals procured by the contractor shall be paid in accordance

with the following formula:

$V_m = P_m / 100 X R_i X (M_1-M_0) / M_0$

- V_m = Increase or decrease in the cost of work during the month under consideration due to changes in the rates for local material other than cement, steel, plant spares and POL.
- M₀ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for All-Commodities-published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the closing date of submission of Bids.
- M₁ = The index Number of Wholesale Prices in India by Groups and Sub Groups (Averages) for All -Commodities published in RBI (Reserve Bank of India) Bulletin in the month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related
- Pm =Percentage of local material component (other than cement, steel, plant, spares and POL) of the work.

(viii) Price Variation Clause for Contact and Catenary Wires

The Price payable shall be subject to adjustment, up or down, in accordance with the following formula:

$$P_1 = P_0 + (CD_2 X L_2 - CD_1 X L_1)$$

- Po = Quoted ex-works price of contact/catenary wire.
- L₁ = Average LME cash settlement quotation for Copper Grade A, 60 days prior to the date of opening of the tender.
- L_2 = L2 will be minimum of (i) & (ii) given below:-
 - (i) LME rates prevailing on 90 days prior to the date of offering for inspection of each lot.
 - (ii) Invoiced rate in invoice of actual imports.
- CD₁₌ Custom duty factor (CD1) should be assessed in the following manner as per example below duly considering applicable rates of taxes and duties on the days specified above:

1	Assessable value	100.000
2	Custom Duty @10%	10.000
3	Net Value (1+2)	110.000
4	Countervailing Duty (CVD) @	17.600
	16% on (3)	
5	Cess @ 2% on CVD	0.352

Section 6 -General Conditions of Contract IPRCL

6	Total of CVD and Cess (4+5)	17.952
7	Total Custom Duty (2 + 6)	27.952
8	Education Cess @ 2% on above	0.559
	(2% of 6)	
9	Total Value (1+7+8)	128.511
10	Less CVD (Modvat) (9 – 6)	110.559
11	CD₁ Factor	1.1056

 $CD_{2=}$ Custom duty factor prevailing 90 days prior to the date of inspection call, calculated as indicated above.

Notes:

- Documentary evidence for CD₂ must be given. Attested copy of custom tariff paid will constitute reasonable evidence for this purpose.
- For prevailing LME rates, certified copy of LME rate downloaded from official LME website will be accepted as documentary evidence.
- 3. LME rate in L₁ & L₂ will be converted to Indian Rupees at SBI's Selling Bills rate of exchange on the date 30 days prior to the date of opening of tender and date of delivery respectively.
- 4. In case, instead of imported material, indigenous material is used, CD factors shall be taken as one.
- 5. CD₁ and CD₂ should be based on same item serial number of the Custom Tariff. The total CD will also include special additional duty, if any.
- 6. In case index/price data are not available for particular date due to any reason then the index/price data shall be calculated by drawing a graph of 1st working day before and 1st working day after that particular date. As per graphic line price data arrived at on that particular date may be taken.

(ix) Adjustment for Fabricated and Galvanized Steel Structures:

(Item Nos. as specified in special conditions). The price adjustment of these items shall be paid as follows:

Price Variation Clause for Fabricated and Galvanized Steel Structures for Railway Electrification Works

The Price payable shall be subject to adjustment, up or down, in accordance with the following formula:

 $P = P_o [11 + 57 SBLR + 09 Zn + 23 W]$

100 SBLR_oZn_o W_o

P = Price payable as adjusted in accordance with the above formula.

 P_0 = Price quoted

SBLR_o = Price of Steel Blooms - Retail (refer notes)

This price is as applicable on the 1st working day of the month, <u>one</u> month prior to the deadline for submission of bids.

 Zn_0 = Price of electrolytic high grade zinc (refer notes).

This price is as applicable on the 1stworking day of the month, onemonth prior to the deadline for submission of bids.

W_o = All India average consumer price index number for industrial workers, as published by the LabourBureau, Ministry of Labour, Government of India(Base : 2001=100) (Refer notes). This index number is as applicable on the first working day of the month, threemonthsprior to the deadline for submission of bids.

(For example, if date of tendering falls in May 2014, the applicable prices of Steel Blooms - Retail (SBLR $_{\circ}$) and electrolytic high grade zinc (Zn $_{\circ}$) should be for the month of April 2014 and all India average consumer price index number (W_{\circ}) should be for the month of February 2014.

The above prices and indices are as published by IEEMA vide circular reference number IEEMA (PVC)/TLT/2014one month prior to the deadline for submission of bids.)

SBLR= Price of Steel Blooms-Retail (refer notes).

This price is as applicable on the 1st working day of the month, two months prior to the date of delivery.

Zn=Prices of electrolytic high grade zinc (refer notes).

This price is as applicable on the first working day of the month, two months prior to the date of delivery.

W = All India average consumer price index number for industrial workers, as published by the Labour Bureau, Ministry of Labour, Govt of India. (Base 2001=100)(refer notes).

This Index number is as applicable on the first working day of the month, four month prior to the date of delivery.

(For example, if date of delivery falls in December 2014, the applicable prices of steel bloom – Retail (SBLR) and Zinc (Zn) should be for the month of October 2014 and all India average

consumer price index number (W) should be for the month of August 2014.

The date of delivery is the date on which transmission line towers are notified as being ready for inspection/dispatch (in the absence of such notification, the date of manufacturer's dispatch note is to be considered as the date of delivery) or the contracted delivery date (including any agreed extension thereto), whichever is earlier.)

Notes:

- (a) All prices of raw materials are exclusive of modvatable excise/CV duty amount and exclusive of any other central, state or local taxes, octroi etc.
- (b) All prices are as on first working day of the month.
- (c) The details of prices are as under:
- 1) The prices of Steel Blooms are the averageRetail price of Blooms of size 150mm x 150mm of all cities in Rs/MT as published by Joint Plant Committee (JPC), Kolkata.
- The price of electrolytic high grade zinc (in Rs/MT) is exworks price as quoted by the primary producer.
- 3) Cost weight age of re-rolling / conversion charges is included in labor weightage (W)

(x) <u>Price Variation Clause for Traction Power Transformer complete with all accessories and components</u>

The Price payable shall be subject to adjustment, up or down, in accordance with the following formula:

$$P = \underline{P_o} \begin{bmatrix} 10 + 29\underline{C} + 27\underline{ES} + 7 \underline{IS} + 7 \underline{IM} + 7 \underline{TO} + 15 \underline{W} \end{bmatrix}$$

$$100 \qquad C_o E S_o \quad I S_o \quad I M_o \quad T O_o W_o$$

- P = Price payable as adjusted in accordance with the above formula
- P_o =Price quoted/Confirmed
- C_o =Average LME settlement price of copper wire bars (refer notes)
 This price is as applicable for the month, <u>ONE</u> month prior to the date of bid opening.
- ES_o= Price of CRGO Electrical Steel Lamination (refer notes)

 This price is as applicable on the 1st working day of the month,
 ONEmonth prior to the dead line for submission of bids.
- $IS_o = Average price of steel plates 10 mm thick (refer notes).$ This price is as applicable on the 1st working day of the

month, ONE month prior to the dead line for submission of bids.

IM_o=Price of Insulating Materials (refer notes).

This price is as applicable on the 1st working day of the month, <u>one</u> month prior to the dead line for submission of bids.

TO_o = Price of Transformer Oil (Refer notes)

This price is as applicable on the 1st working day of the month, <u>ONE</u> month prior to the dead line for submission of bids.

 W_o = All India average Consumer price index Number for industrial workers, as published by the labour bureau, ministry of labour, Govt. of India(base 2001 = 100)

This index number is as applicable on the 1st working day of the month <u>THREE</u> months prior to the dead line for submission of bids.

(For example, if date of bid opening falls in June 2015, applicable prices of Copper Wire Bars (C_o), Transformer Oil (TO_o), Steel Plates 10 mm thick (IS_o), CRGO Electrical Steel Laminations (ES_o) and Insulating material (IM_o) should be as on $I^{st}May$ 2015 and all India average consumer price index no. (W_o) should be for the month of March 2015.

The above prices and indices are as published by IEEMA vide circular reference number IEEMA (PVC)/PWR_TRF/-/-<u>ONE</u> month prior to dead line for submission of bids.

C = Average LME settlement price of copper wire bars (refer notes).

This price is as applicable for the month, <u>TWO</u> months prior to the date of delivery.

- ES= Price of CRGO Electrical Steel Lamination (refer notes).

 This price is as applicable on the 1st working day for the month, <u>TWO</u> months prior to the date of delivery
- IS = Average price of steel plates 10 mm thick (refer notes).
 This price is as applicable on the 1stworking day of the month, <u>ONE</u> month prior to the date of delivery.
- IM= Price of Insulating Materials (refer notes).
 This price is as applicable on the 1st working day of the month, TWO months prior to the date of delivery.

TO= Price of transformer oil (Refer notes)

This price is as applicable on the 1st working day of the month, ONE month prior to the date of delivery

W = All India average Consumer price index Number for industrial workers, as published by the labour bureau, ministry of labour, Govt. of India(base 2001 =100)

This index number is as applicable on the 1st working day of the month THREE months prior to the date of delivery.

(For example, if date of delivery in terms of clause given below falls in December 2015, applicable prices of Copper Wire Bars (C), Insulating Material (IM), CRGO Electrical Steel Lamination (ES) should be as on 1stOctober 2015 and Transformer Oil (TO), Plates 10 mm thick (IS) should be 1st November 2015 and All India average Consumer price index Number (W) should be for the month of September 2015.

The date of delivery is the date on which the transformer is notified as being ready for inspection/dispatch (in the absence of such notification, the date of manufacturer's dispatch note is to be considered as the date of delivery) or the contracted delivery date (including any agreed extension thereto), whichever is earlier.

Notes:

- (a) All prices of raw materials are exclusive of modvatable excise/CV duty amount and exclusive of any other central, state or local taxes, octroi etc. Transformers manufacturers import major raw materials like copper, CRGO steel sheets and Plates etc. The landed cost of these imported raw materials includes applicable custom duty but exclusive of modvatable CVD.
- (b) All prices are as on first working day of the month.
- (c) The details of prices are as under:
 - 1) The LME price of Copper Wire Bars (in Rs/MT) is the LME average settlement price of Copper Wire Bars converted into Indian Rupees with applicable average exchange rate of SBI of the month. This price is the landed cost, inclusive of applicable customs duty only but exclusive of countervailing duty.
 - 2) The price of CRGO is the price of CRGO Electrical Steel Lamination in Rs./MT suitable for transformers of ratings above 10MVA or Voltage above 33KV up to 400 KV.
 - 3) The price of steel is the average retail price of steel plates 10 mm thick as published by Joint Plant Committee (JPC) in Rs./MT as on 1st working day of the month.

- 4) The price of Insulating materials (in Rs./Kg) of pre-compressed pressboards of size 10 mm thick, 3200 mm x 4100 mm is the average C&F price in free currency per MT converted into Indian Rupees with applicable exchange rates prevailing as on 1st working day of the month as quoted by primary suppliers. This price is the landed cost, inclusive of applicable customs duty only but exclusive of countervailing duty.
- 5) The price of Transformer Oil (in Rs./K.Ltr.) is the average price on ex-refinery basis as quoted by primary producers for supply in drums.

(xi) PRICE VARIATION CLAUSE FOR SIGNALING CABLE

The price payable for signaling cables is variable as per Price Variation Formula given below:

For Signaling Copper Cables:

P=Po+CuF (Cu-Cuo) + CCFcu(CC-CCo) + FeF (Fe-Feo)

For Aluminum Power Cables:

P= Po+AlF (Al-Alo) + CCFAl(CC-CCo) + FeF(Fe-Feo)

Where.

P= Price payable per KM as adjusted in accordance with Price variation clause.

Po= Price per KM of cable as per Purchase order.

CuF= Variation factor for Copper

Cuo= Price of copper Rod in Rs. Per MT

CCFCu= Variation factor for PVC Compound for Copper Signaling cable

CCo= Price of PVC Compound in Rs. Per MT

AIF= Variation factor for Aluminum

Alo= Price of EC grade LME Aluminum rods (Properzi rods) in Rs. Per MT.

CCFAl= Variation factor for PVC Compound for Aluminum power cable

FeF= Variation factor for Steel

Feo= Price of Steel for Armour (Flat strip 4 mm. x 0.8mm/ Round

1.4mm dia) in Rs. Per MT

(Prices per MT for Cuo, CCo, Feo,Alo as applicable on the 1st working day of the month, one month prior to the deadline for submission of bids. The above prices and indices are as published by IEEMA vide circular reference no. IEEMA (PVC) /CABLE --/--/-- one month prior to the deadline for submission of bids.)

Cu= Price of Copper Rod in Rs. Per MT.

CC= Price of PVC Compound in Rs. Per MT.

Fe= Price of Steel for Armouring (Flat strip 4mm x 0.8 mm/ Round 1.4mm dia) in Rs. Per MT.

Al = Price of EC grade LME Aluminum rods (Properzi rods) in Rs. Per MT.

(Prices per MT for Cu, CC, Fe, Al as prevailing on 1stworking day of the calendar month covering the date One month prior to the date of inspection call letter will be applicable for the calculation of updated price. The above prices and indices are as published by IEEMA vide circular reference no. IEEMA (PVC) /CABLE --/--one month prior to the date of inspection.)

The value of variation factors for copper, steel and PVC Compound are different for different sizes of signaling cables. Accordingly, the PVC formula for some of the types of signaling cable is as given under:-

Underground Railway Signaling Cable unscreened and armoured copper conductor

(i) Size 30 C x 1.5 sq.mm. P=Po+0.391(Cu-Cuo)+0.557(CC-CCo)+0.425(Fe-Feo)

For armouring, price of steel flat strip of size 4mmx0.8mm is to be taken into consideration.

(ii) Size 24C x 1.5 sq.mm P= Po+0.313(Cu-Cuo)+ 0.481 (CC-CCo)+0.398(Fe-Feo)

For armouring, value of steel flat strip of size 4mmx0.8mm is to be taken into consideration.

(iii) Size 19C x 1.5 sq.mm P= Po+0.248(Cu-Cuo) + 0.395(CC-CCo)+0.343(Fe-Feo)

For armouring, value of steel flat strip of size 4mmx0.8mm is to be taken into consideration.

(iv) Size 12C x 1.5 sq.mm

P=Po+0.157(Cu-Cuo) + 0.277(CC-CCu)+0.289(Fe-Feo)

For armouring, value of steel wire size 1.4mm dia is to be taken into consideration

(v) Size 9C x 1.5 sq.mm

P= Po+0.117(Cu-Cuo)+0.241(CC-CCu)+0.383(Fe-Feo)

For armouring, value of steel wire size 1.4mm dia is to be taken into consideration.

(vi) Size 6Cx 1.5 sq.mm

P= Po+0.078(Cu-Cuo)+0.199(CC-CCu)+0.329(Fe-Feo)

For armouring, value of steel wire size 1.4mm dia is to be taken into consideration

(vii) Size 4Cx1.5 sq.mm

P=Po+0.052(Cu-Cuo)+0.152(CC-CCo)+0.277(Fe-Feo)

For armouring, value of steel wire size 1.4mm dia is to be taken into consideration.

(viii) Size 2C x 4 sq.mm(multistrand)

P= Po+0.073(Cu-Cuo)+0.156(CC-CCo)+0.3(Fe-Feo)

For armouring, value of steel wire size 1.4mm dia is to be taken into consideration.

(ix) Size 12C x 2.5 sq.mm

P= Po+0.282 (Cu-Cuo)+0.371 (CC-CCo)+0.342 (Fe-Feo)

For armouring, value of steel flat stripofsize 4mmx0.8mm is to be taken into consideration.

(x) Size $2C \times 2.5$ sq.mm

P= Po+0.047 (Cu-Cuo)+0.139 (CC-CCo)+0.277 (Fe-Feo)

For armouring, value of steel wire size 1.4mmdiais to be taken into consideration.

(xi) Size 2C x 25 sq.mm PVC insulated, armoured, Aluminum power cable

P= Po+0.146 (Al-Alo)+0.303 (CC-CCo)+0.306 (Fe-Feo)

For armouring, value of steel flat stripofsize 4mmx0.8mm is to be taken into consideration.

For the remaining varieties of signaling cables, the formula shall be the same as in use on Indian railways.

(xii) Adjustment for Foreign Currency Component

(for each of the foreign currencies in which the contract price is payable)

a. The foreign currency component of each payment which is convertible into foreign currency at fixed exchange rate shall be adjusted in accordance with the following formula:

$$VF_c = 0.85 \times R_f \times (Fe_1-Fe_0) / Fe_0$$

- VF_c = Increase or decrease in the cost of work payable due to changes in the cost of foreign input.
- Fe₀ = the index applicable for the foreign input(plant, material, engineer's salary etc. as the case may be) on the day 28 days prior to the date of submission of Bids, as published in the country of origin.
- Fe₁ = corresponding index on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related (average index in case indices are published at lesser intervals).
- a. The bidder shall, in his tender, indicate the foreign input, (plant, material, engineer's salary etc.) and appropriate index, the source of which shall be a Government or Public Organization. The bidder shall also attach specimens of the publications of the last 12 months for information of the Employer. If this index is not acceptable to the Employer, then he will specify as alternative index and the source of publishing of that index.
- b. If the bidder has requested payment in more than one foreign currency, R_f shall be suitably broken up and the formula applied separately to each currency component by taking into account and corresponding indices(index and currency belonging to the same country).
- c. The currency of foreign exchange payment and the index shall belong to the same country.
- (D) If the contractor changes the country of origin of the source of supply of any input to the works, he shall immediately notify the Engineer who shall modify the price adjustment provisions subsequent to such change to reflect the relevant cost index from the actual country

of origin of the input.

(E) If the currency in which the Contract price is expressed is different from the currencies of the sources of the relevant indices, the Engineer shall determine the correction to be applied in calculating the Price Adjustment Factor formula viii(a) in order to avoid distortions in the amount of price adjustment. Such correction shall be applied to the increment of price fluctuation in the base costs of the respective inputs and shall correspond to the ratio of the exchange rates between the respective currencies of the date of base indices and the date of current indices as defined in sub-clause viii(a).

(F) Sources of Indices

The sources of Indices for the foreign currency portion of the Contract (R_F) not stated in Sub-Clause 13.8 (C) shall be those as listed in the Contract Data, as approved by the Employer.

(G) BASE, CURRENT AND PROVISIONAL INDICES:

BASE, CURRENT AND PROVISIONAL INDICES, THE BASE COST INDICES OR PRICES SHALL BE THOSE PREVAILING ON THE DAY 28 DAYS PRIOR TO THE CLOSING DATE FOR SUBMISSION OF BIDS. CURRENT INDICES OR PRICES SHALL BE THOSE PREVAILING ON THE DAY 28 DAYS PRIOR TO LAST DAY OF THE PERIOD TO WHICH A PARTICULAR INTERIM PAYMENT CERTIFICATE IS RELATED. IF AT ANY TIME THE CURRENT INDICES ARE NOT AVAILABLE, PROVISIONAL INDICES AS DETERMINED BY THE ENGINEER WILL BE USED, SUBJECT TO SUBSEQUENT CORRECTION OF THE AMOUNTS PAID TO THE CONTRACTOR WHEN THE CURRENT INDICES BECOME AVAILABLE. THE INDEX NUMBERS OF VARIOUS GROUPS AND SUB GROUPS AS PUBLISHED BY RESERVE BANK OF INDIA IN THE RBI BULLETIN WILL BE CONSIDERED FOR THIS PURPOSE.

(H) LIMIT OF PRICE ADJUSTMENT

Provided that, in determining all such price adjustment in accordance with the aforesaid Sub-Clauses:

a. No account will be taken of any amount by which any cost incurred by the Contractor has been increased by default or negligence of the Contractor.

If the Contractor fails to complete the work within time for completion prescribed under Clause 8.2, the adjustment of prices thereafter until the completion of the works shall be made using either the indices or prices, whichever is more favourable to the Employer, provided that if an extension of time is granted pursuant to Sub-Clause 8.4, the above

position shall apply to the adjustments made after expiry of such extension of time.

(I) Percentages governing adjustments for change in cost

The percentages governing the price adjustment for the local currency portion (R_i) of the contract for various works is given in **Annexure 2**.

(J) Indices to be used in case of Interim Payment Certificate for a period more than a month

As per provisions of contract (Clause 14.3 of GCC) interim payments certificates are to be submitted by the contractor on monthly basis for the works/supply of items pertaining to a particular month. In such cases the monthly indices will be applied for the month on the day 28 days prior to the last day of the period to which a particular interim payment certificate is related. However, in case a bill is submitted for a period pertaining to more than a calendar month the average indices for those months on the day 28 days prior to last day of the period to which bill pertains and previous month(s) shall be used for calculation purpose.

14. Contract Price and Payment

14.1 The Contract Price

Unless otherwise stated in the Special Conditions of Contract:

- (a) the Contract Price shall be agreed or determined under Sub-Clause 12.3 [Evaluation] and be subject to adjustments in accordance with the Contract;
- (b) the Contractor shall pay all taxes, duties and fees required to be paid by him under the Contract, and the Contract Price shall not be adjusted for any of these costs except as stated in Sub-Clause 13.7 [Adjustments for Changes in Legislation];
- (c) any quantities which may be set out in the Bill of Quantities or other Schedule are estimated quantities and are not to be taken as the actual and final quantities:
 - (i) of the Works which the Contractor is required to execute, or
 - (ii) for the purposes of Clause 12 [Measurement and Evaluation]; and
- (d) the Contractor shall submit to the Engineer, within 28 days after the Commencement Date, a proposed breakdown of each lump sum price in the Schedules, if applicable. The Engineer may take account of the breakdown when preparing Payment Certificates, but shall not be bound by it.
- (e) It may be noted that in the event of the Contractor not making the due payments stated in sub-clause (b) above, and the concerned party puts up a claim with the Employer / Engineer, then the Employer / Engineer may make such payments and deduct the same from the sums due to the Contractor.

14.2 Advance Payment

14.2.1 Mobilization Advance

The Employer shall make an advance payment, as an Interest bearing loan for mobilisation, when the Contractor submits a guarantee in accordance with this Sub-Clause. The total advance payment, the number and timing of instalments (if more than one), and the applicable currencies and proportions, shall be as stated in the Contract Data.

Unless and until the Employer receives this guarantee, or if the total advance payment is not stated in the Contract Data, this Sub-Clause shall not apply.

The Engineer shall issue an Interim Payment Certificate for the first installment of mobilisation advance after receiving a Statement (under Sub-Clause 14.3 [Application for Interim Payment Certificates]) and after the Employer receives (i) the Performance Security in accordance with Sub-Clause 4.2 [Performance Security] and (ii) a guarantee in amounts and currencies equal to the advance payment plus 10%. The next installment shall be released only when the contractor submits statement of having utilized the first installment of mobilisation advance and the Employer is satisfied that the utilization has been done in purposeful manner.

14.2.2 Advance
against Plant
and
Machinery

DELETED

14.2.3 Guarantees

Advances as mentioned in sub-clauses 14.2.1 & 14.2.2 above, shall be payable against acceptable Bank Guarantees from banks as specified in clause 4.2. The guarantees shall be in the form as given in Section 8 (Contract Forms) or in another form approved by the Employer. The

Contractor shall ensure that the guarantees are valid and enforceable until the advance amount paid as has been repaid, but its amount may be progressively reduced by the amount repaid by the Contractor as indicated in the Payment Certificates. If the terms of the guarantee specify its expiry date, and the advance payment has not been repaid by the date 28 days prior to the expiry date, the Contractor shall extend the validity of the guarantee until the advance payment has been repaid.

14.2.4 Recovery of Advances

Unless stated otherwise in the Contract Data, the advance payment shall be repaid through percentage deductions from the interim payments determined by the Engineer in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates], as follows:

- (a) deductions shall commence in the next interim Payment Certificate following that in which the total of all certified interim payments (excluding the advance payment) exceeds 5 percent of the Accepted Contract Amount less Provisional Sums or passage of six months from the date of release of first advance payment, whichever is earlier; and
- (b) deductions shall be made for accrued interest on the advance up to the month and advance at the rate stated in the Contract Data of the amount of each Interim Payment Certificate (excluding the advance payment and deductions and repayments of retention) in the currencies and proportions of the advance payment until such time as the advance payment and accrued interest has been repaid; provided that the advance payment and accrued interest shall be completely repaid prior to the time when 80 percent of the Accepted Contract Amount has been certified for payment. If the amount of interim payment certificate is not sufficient for recovery of accrued interest or in the opinion of the Employer satisfactory progress is not being achieved by the contractor, then the contractor will have to deposit the accrued interest and return the mobilisation advance in part or in full as demanded by the Employer, failing which Employer shall have the right to encash the Bank Guarantee(s)

If the advance payment has not been repaid prior to the issue of the Taking-Over Certificate for the Works or prior to termination under Clause 15 [Termination by Employer], Clause 16 [Suspension and Termination by Contractor] or Clause 19 [Force Majeure] (as the case may be), the whole of the balance then outstanding shall immediately become due and payable by the Contractor to the Employer.

14.2.5 Advances to be Used only for This Work

The advances shall be used by the Contractor strictly for the purpose of the Contract, and for the purpose for which they are paid. Under no circumstances, shall the advances be diverted for other purposes. Any such diversion shall be construed as a breach of the Contract and the Contractor shall be asked to return the advance at once and pay interest at 15% per annum till the advance is recovered back from him. The Contractor shall return the advance and pay the interest in one go without demur.

Employer retains the right for any other remedy prescribed for breach of

Contract in this regard.

The Contractor, if required by the Engineer shall provide the details of utilisation of Mobilisation advance

14.3 Application for Certificates

The Contractor shall submit a Statement in six copies to the Engineer in Interim Payment accordance with the payment procedure specified by the Engineer, after the end of each month, in a form approved by the Engineer, showing in detail the amounts to which the Contractor considers himself to be entitled, together with supporting documents which shall include the report on the progress during this month in accordance with Sub-Clause 4.21 [Progress Reports and Record Measurement Sheets.

> The Statement shall include the following items, as applicable, which shall be expressed in the various currencies in which the Contract Price is payable, in the sequence listed:

- the estimated contract value of the Works executed and the Contractor's Documents produced up to the end of the month (including Variations but excluding items described in sub-paragraphs (b) to (g) below);
- any amounts to be added and deducted for changes in legislation and changes in cost, in accordance with Sub-Clause 13.7 [Adjustments for Changes in Legislation] and Sub-Clause 13.8 [Adjustments for Changes in Cost];
- any amount to be deducted for retention, calculated by applying the percentage of retention stated in the Contract Data to the total of the above amounts, until the amount so retained by the Employer reaches the limit of Retention Money (if any) stated in the Contract Data;
- any amounts to be added and deducted for the advance payment and repayments in accordance with Sub-Clause 14.2 [Advance Payment];
- any amounts to be added and deducted for Plant and Materials in accordance with Sub-Clause 14.5 [Plant and Materials intended for the Works];
- any other additions or deductions which may have become due under (f) the Contract or otherwise, including those under Clause 20 [Claims, Disputes and Arbitration]; and
- the deduction of amounts certified in all previous Payment Certificates. (g)
- Statement of interim payments certificates should be submitted by the Contractor to the Engineer by the 7th day of each month for the work executed upto the end of the previous month.
- (i) An amount to be deducted for the payments to be made to different Departments towards payments liable to be made by the Contractor.

14.4 Schedule of Payments

If the Contract includes a schedule of payments specifying the instalments in which the Contract Price will be paid, then unless otherwise stated in this schedule:

- (a) the instalments quoted in this schedule of payments shall be the estimated contract values for the purposes of sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates];
- (b) Sub-Clause 14.5 [Plant and Materials intended for the Works] shall not apply; and
- (c) if these instalments are not defined by reference to the actual progress achieved in executing the Works, and if actual progress is found to be less than that on which this schedule of payments was based, then the Engineer may proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine revised instalments, which shall take account of the extent to which progress is less than that on which the instalments were previously based.

If the Contract does not include a schedule of payments, the Contractor shall submit non-binding estimates of the payments which he expects to become due during each quarterly period. The first estimate shall be submitted within 42 days after the Commencement Date. Revised estimates shall be submitted at quarterly intervals, until the Taking-Over Certificate has been issued for the Works.

14.5 Plant and Materials intended for the Works

If this Sub-Clause applies, Interim Payment Certificates shall include, under sub-paragraph (e) of Sub-Clause 14.3:

- (i) an amount for Plant and Materials which have been sent to the Site for incorporation in the Permanent Works, and
- (ii) a reduction when the contract value of such Plant and Materials is included as part of the Permanent Works under sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates].

If the lists referred to in sub-paragraphs (b)(i) or (c)(i) below are not included in the Contract Data, this Sub-Clause shall not apply.

The Engineer shall determine and certify each addition if the following conditions are satisfied:

- (a) the Contractor has:
 - (i) kept satisfactory records (including the orders, receipts, Costs and use of Plant and Materials) which are available for inspection, and
 - (ii) submitted a statement of the Cost of acquiring and delivering the Plant and Materials to the Site, supported by satisfactory evidence;

and either:

- (b) the relevant Plant and Materials:
 - (i) are those listed in the Schedules for payment when shipped,
 - (ii) have been shipped to the Country, en route to the Site, in accordance with the Contract; and
 - (iii) are described in a clean shipped bill of lading or other evidence of shipment, which has been submitted to the Engineer together

with evidence of payment of freight and insurance, any other documents reasonably required, and a bank guarantee in a form and issued by an entity approved by the Employer in amounts and currencies equal to the amount due under this Sub-Clause: this guarantee may be in a similar form to the form referred to in Sub-Clause 14.2 [Advance Payment] and shall be valid until the Plant and Materials are properly stored on Site and protected against loss, damage or deterioration;

or

- (c) the relevant Plant and Materials:
 - are those listed in the Schedules for payment when delivered to the Site.
 - (ii) the original Invoice and the original Inspection Certificate by the approved Inspection agency marked for payment is furnished with the Application for IPC [sub-Clause 14.3].
 - (iii) have been delivered to and are properly stored on the Site, are protected against loss, damage or deterioration, and appear to be in accordance with the Contract.

The additional amount to be certified shall be the equivalent of eighty percent of the Engineer's determination of the cost of the Plant and Materials (including delivery to Site), taking account of the documents mentioned in this Sub-Clause and of the contract value of the Plant and Materials. The amount shall be certified on receipt of a Bank guarantee for the stated amount in the Form as given in Section 8 (Contract Forms) or in another form approved by the Employer.

The currencies for this additional amount shall be the same as those in which payment will become due when the contract value is included under sub-paragraph (a) of Sub-Clause 14.3 [Application for Interim Payment Certificates]. At that time, the Payment Certificate shall include the applicable reduction which shall be equivalent to, and in the same currencies and proportions as, this additional amount for the relevant Plant and Materials.

14.6 Issue of Interim Payment Certificates

No amount will be certified or paid until the employer has received and approved the performance security. Thereafter, the Engineer shall within two days after receiving a statement and supporting documents, issue to the Employer a provisional interim payment certificate which shall state the amount which the Engineer determines to be due after preliminary check as per IPRCL's procedure order. After this the Engineer shall, within 28 days after receiving a statement and supporting documents, issue to the employer an Interim Payment Certificate which shall state the amount which the Engineer fairly determines to be due, with supporting particulars.

However, prior to issuing the Taking-Over Certificate for the Works, the Engineer shall not be bound to issue the Interim Payment Certificate in an amount which would (after retention and other deductions) be less than the minimum amount of Interim Payment Certificates (if any) stated in the Contract Data. In this event, the Engineer shall give notice to the Contractor accordingly.

An Interim Payment Certificate shall not be withheld for any other reason, although:

(a) if anything supplied or work done by the Contractor is not in

accordance with the Contract, the cost of rectification or replacement may be withheld until rectification or replacement has been completed; and/or

(b) if the Contractor was or is failing to perform any work or obligation in accordance with the Contract, and had been so notified by the Engineer, the value of this work or obligation may be withheld until the work or obligation has been performed.

The Engineer may in any Payment Certificate make any correction or modification that should properly be made to any previous Payment Certificate. A Payment Certificate shall not be deemed to indicate the Engineer's acceptance, approval, consent or satisfaction.

14.7 Payment

The Employer shall pay to the Contractor:

- (a) the first instalment of the advance payment within 42 days after issuing the Letter of Acceptance or within 21 days after receiving the documents in accordance with Sub-Clause 4.2 [Performance Security] and Sub-Clause 14.2 [Advance Payment], whichever is later;
- (b) (i) After preliminary scrutiny and certifications by the Engineer, payment of 70% of the certified net payment due (after recoveries and deductions), shall be made by the Employer within 10 days of receiving a statement and supporting documents by the Engineer subject to the condition that last interim payment certificate has been settled after detailed check. In the event of the contractor submitting bills based on false measurements, Project Director should issue a written warning to him to the effect that the facility of 70% payment without detailed check will be withdrawn in future. If the contractor repeats the misconduct this facility should be withdrawn.
 - (ii). The amount certified in each Interim Payment Certificate within 56 days after the Engineer receives the Statement and supporting documents. Any discrepancy shall be rectified in the next payment to the Contractor; and
- (c) the amount certified in the Final Payment Certificate within 56 days after the Employer receives this Payment Certificate or, the undisputed amount shown in the Final Statement, within 56 days after the date of notification of the suspension in accordance with Sub-Clause 16.2.

Payment of the amount due, unless specified in the Contract Data, shall be made in INR into the bank account, nominated by the Contractor.

However, in case of JV, direct payment to individual JV partners shall be made on joint certification (about the net amounts payable to individual partners) by the authorized representative of the JV and concerned respective authorized representative of individual JV partners, after making requisite recoveries/deductions from the gross payment. In case of any dispute regarding the net amounts payable to individual partners, the Engineer shall decide the same on the basis of the execution of items of works under Schedules/Bills indicated in the JV agreement as the responsibility of execution of each JV partner. Payment to individual JV partners shall be

treated as payment made to the JV. The said payment shall not alter any obligation of the JV and its individual Partners under the Agreement and their obligations under the agreement shall remain joint and several.

A foreign company (either single entity or JV partner), shall have to submit proof of having opened their project office in India before any payment (including advance payment) is released to such a company. The required proof here shall be a copy of the report containing information as per format prescribed by Reserve Bank of India submitted to the Director General of Police (DGP) of the state concerned in which project office has been established.

14.8 Delayed Payment

If the Contractor does not receive payment in accordance with Sub-Clause 14.7 [Payment], the Contractor shall be entitled to receive interest compounded monthly on the amount unpaid during the period of delay. This period shall be deemed to commence on the date for payment specified in Sub-Clause 14.7 [Payment], irrespective (in the case of its sub-paragraph (b)) of the date onwhich any Interim Payment Certificate is issued.

Unless otherwise stated in the Special Conditions of Contract, the interest amount be calculated at the annual rate of seven percent.

The Contractor shall be entitled to this payment without formal notice or certification, and without prejudice to any other right or remedy.

14.9 Payment of Retention Money

The Retention Money shall be certified and paid with the final payment certificate or bank guarantee against retention money shall be released, after making required adjustments for recovery for shortage/excess materials, if any (except those quantities which become surplus due to change in planning/scheme by Railway/IPRCL) in case the recovery for surplus/excess materials is still balance after adjusting the amount payable in the final payment certificate.

The contractor shall be entitled to substitute a bank guarantee in the form approved by the Employer with the retention money amount recovered upto the date of request. Such substitution shall be permissible maximum upto 3 times. The Bank Guarantee shall be valid upto end of Defect Liability Period. In case of extension of date of completion of contract, the Contractor shall extend the validity of the Bank Guarantee(s) until the revised end of Defect Liability Period.

Wherever the contract is terminated under Clause 15.2, the Retention Money shall be forfeited and the balance work should be got done separately,

The balance work shall be got done independently without risk and cost of the original contractor,

The original contractor shall be debarred from participating in the tender for executing the balance work. If the failed contractor is a JV or a partnership firm, then every member/partner of such a firm would be debarred from participating in the tender for the balance work either in his/her individual capacity or as a partner of any other JV/partnership

firm

14.10 Statement at Completion

Within 84 days after receiving the Taking-Over Certificate for the Works, the Contractor shall submit to the Engineer six copies of a Statement at completion with supporting documents, in accordance with Sub-Clause 14.3 [Application for Interim Payment Certificates], showing:

- (a) the value of all work done in accordance with the Contract up to the date stated in the Taking-Over Certificate for the Works,
- (b) any further sums which the Contractor considers to be due, and
- (c) an estimate of any other amounts which the Contractor considers will become due to him under the Contract. Estimated amounts shall be shown separately in this Statement at completion.

The Engineer shall then certify in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates].

Within 56 days after receiving the Performance Certificate, the Contractor shallsubmit, to the Engineer, six copies of a draft final statement as per procedure prescribed by the Engineer, with supporting documents showing in detail in a form approved by the Engineer:

14.11Application for Final Payment Certificate

Within 56 days after receiving the Performance Certificate, the Contractor shallsubmit, to the Engineer, six copies of a draft final statement as per procedure prescribed by the Engineer, with supporting documents showing in detail in a form approved by the Engineer:

- (a) the value of all work done in accordance with the Contract, and
- (b) any further sums which the Contractor considers to be due to him under the Contract or otherwise.

If the Engineer disagrees with or cannot verify any part of the draft final statement, as per procedure prescribed by the Engineer the Contractor shall submit such further information as the Engineer may reasonably require and shall make such changes in the draft as may be agreed between them. The Contractor shall then prepare and submit to the Engineer the final statement as agreed. This agreed statement is referred to in these Conditions as the —Final Statement".

However if, following discussions between the Engineer and the Contractor and any changes to the draft final statement which are agreed, it becomes evident that a dispute exists, the Engineer shall deliver to the Employer (with a copy to the Contractor) an Interim Payment Certificate for the agreed parts of the draft final statement. Thereafter, if the dispute is finally resolved under Sub-Clause 20.3 [Obtaining Dispute Board's Decision] or Sub-Clause 20.2 [Amicable Settlement], the Contractor shall then prepare and submit to the Employer (with a copy to the Engineer) a Final Statement.

14.12Discharge

When submitting the Final Statement, the Contractor shall submit a discharge which confirms that the total of the Final Statement represents full and final settlement of all moneys due to the Contractor under or in connection with the Contract. This discharge may state that it becomes effective when the Contractor has received the Performance Security and

the outstanding balance of this total, in which event the discharge shall be effective on such date.

14.13 Issue of Final Payment Certificate

Within 28 days after receiving the Final Statement and discharge in accordance with Sub-Clause 14.11 [Application for Final Payment Certificate] and Sub-Clause 14.12 [Discharge], the Engineer shall issue, to the Employer, the Final Payment Certificate which shall state:

- (a) the amount which he fairly determines is finally due, and
- (b) after giving credit to the Employer for all amounts previously paid by the Employer and for all sums to which the Employer is entitled, the balance (if any) due from the Employer to the Contractor or from the Contractor to the Employer, as the case may be.

If the Contractor has not applied for a Final Payment Certificate in accordance with Sub-Clause 14.11 [Application for Final Payment Certificate] and Sub-Clause 14.12 [Discharge], the Engineer shall request the Contractor to do so. If the Contractor fails to submit an application within a period of 28 days, the Engineer shall issue the Final Payment Certificate for such amount as he fairly determines to be due.

14.14 Cessation of Employer's Liability

The Employer shall not be liable to the Contractor for any matter or thing under or inconnection with the Contract or execution of the Works, except to the extent that the Contractor shall have included an amount expressly for it:

- (a) in the Final Statement and also
- (b) (except for matters or things arising after the issue of the Taking-Over Certificate for the Works) in the Statement at completion described in Sub-Clause 14.10 [Statement at Completion].

However, this Sub-Clause shall not limit the Employer's liability under his indemnification obligations, or the Employer's liability in any case of fraud, deliberate default or reckless misconduct by the Employer.

14.15 Currencies of Payment

The Contract Price shall be paid in Indian Rupees (INR).

15. Termination by Employer

15.1 Notice Correct

- **to** If the Contractor fails to carry out any obligation under the Contract as mentioned below, but not limited, the Engineer may serve the contractor with a 14 day notice in writing calling upon the contractor to make good the failure and to remedy it. If the contractor;
 - (a) fails to comply with Sub-Clause 4.2 [Performance Security],
 - (b) abandons the Works or otherwise plainly demonstrates the intention not to continue performance of his obligations under the Contract,
 - (c) without reasonable excuse fails to:
 - (i) proceed with the Works in accordance with Clause 8 [Commencement, Delays and Suspension], or
 - (ii) comply with a notice issued under Sub-Clause 7.5 [Rejection] or

- Sub-Clause 7.6 [Remedial Work], within 28 days after receiving it, or
- (iv) (iii) adhere to the agreed programme of work / activity on the critical path, by a margin of 10% of the stipulated period, ortake steps to deploy competent and adequate number of personnel, and equipment to achieve progress as per agreed programme or
- (v) adhere to the instructions of Engineers/Employer persistently or
- (vi) comply any provision of the contract or
- (vii) provide the Engineer/Employer or their representative proper facilities for inspecting the works or any part thereof as required, under Clause 7.3 (Inspection) and 7.4 (Testing).
- (d) subcontracts the whole or major partof the Works or assigns the Contract without prior written consent of the Employer.,
 - If the contractor does not, within 14 days of receipt of notice under this sub-clause, proceed to make good his default in so far as the same is capable of being made good and carry on the work of complying with such direction as contained in the notice under sub clause 15.1,to the entire satisfaction of the Engineer/Employer, the Employer shall be entitled to take action under sub-clause 15.1.1 or 15.2 below.
- 15.1.1 In case of contractor's repeated failure to adhere to the agreed program, and whereas the contractor has been served with a Notice to Correct under Clause 15.1 of GCC, if the contractor approaches IPRCL with a revised program with specific monthly physical and financial targets along with the proposal to deploy matching inputs in the form of manpower and other resources to the satisfaction of the Employer, then the Employer may consider whether to proceed with termination of the contract under Clause 15.2 of GCC or to continue with the contract. However, the request to continue with the contract shall only be considered if the contractor supports his earnestness to adhere to the revised program by submitting additional Performance Security in the form of Bank Guarantee(s) of specified number and value as decided by the Employer (total value of which will not exceed 10% of the contract price). The encashment of these additional Bank Guarantee(s) shall be linked with the non achievement of agreed physical/financial targets agreed upon by the Contractor and the Employer.

15.2Termination Employer

- by The Employer shall be entitled to terminate the Contractas a whole or any part or parts (as may be specified in the Notice of Termination) if the Contractor:
 - (a) fails to comply with the directions contained in the notice under Sub-Clause 15.1 [Notice to Correct],
 - (b) becomes bankrupt or insolvent, goes into liquidation, has a receiving or administration order made against it by Court or Statutory Authorityhim, compounds with his creditors, or carries on business under a receiver, trustee or manager for the benefit of his creditors, or if any act is done or event occurs which (under applicable Laws) has a similar effect to any of these acts or events, or
 - (c) gives or offers to give (directly or indirectly) to any person any bribe, gift, gratuity, commission or other thing of value, as an inducement or reward:
 - (i) for doing or forbearing to do any action in relation to the Contract, or
 - (ii) for showing or forbearing to show favour or disfavour to any person

in relation to the Contract.

or if any of the Contractor's Personnel, agents or Subcontractors gives or offers to give (directly or indirectly) to any person any such inducement or reward as is described in this sub-paragraph (c). However, lawful inducements and rewards to Contractor's Personnel shall not entitle termination.

In any of these events or circumstances, the Employer may, by Notice Terminate the contract with immediate effect.

The Employer's election to terminate the Contract shall not prejudice any other rights of the Employer, under the Contract or otherwise.

The Contractor shall remove all his plants and machinery from the site then leave the Site and deliver any required Goods, all Contractors' Documents, and other design documents made by or for him, to the Engineer within 7 days from the issue of Notice of Termination, failing which L.D. shall be imposed as per provision of clause 8.7. However, the Contractor shall use his best efforts to comply immediately with any reasonable instructions included in the notice of Termination (i) for the assignment of any subcontract, and (ii) for the protection of life or property or for the safety of the Works.

After termination, the Employer may complete the Works and/or arrange for any other entities to do so. The Employer and these entities may then use any Goods, Contractor's Documents and other design documents made by or on behalf of the Contractor for completing the work.

15.3 Valuation at Date of Termination

As soon as practicable after a notice of termination under Sub-Clause 15.2 [Termination by Employer] has taken effect, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine the value of the Works, Goods and Contractor's Documents, and any other sums due to the Contractor for work executed in accordance with the Contract. For this purpose, the contractor shall be notified the date for witnessing of measurements and handing over of the materials for which contractor has already been paid. In case the contractor fails to attend or send a representative even after such notice, the Engineer shall ex parte proceed with measurements of the works executed and taking over of plants and materials etc. for which payment has already been made to the contractor, which shall be treated as final

15.4 Payment after Termination

After a notice of termination under Sub-Clause 15.2 [Termination by Employer] has taken effect, the Employer may:

- (a) proceed in accordance with Sub-Clause 2.5 [Employer's Claims],
- (b) encash the Performance Guarantee and forfeit the Performance Security:
- i) In full including additional Performance Guarantee amount if any taken in terms of sub clause 35.5 of ITBand not due for release on the date of issue of termination letter, in case of termination of the contract as a whole: Or
- ii) in part/parts proportionate to the contract price of the bill/schedule to which the terminated part of work belongs i.e.

 $P = (A \times B) \div C$ where,

P = Proportionate Bank Guarantee Amount

A = Contract Price of the particular bill/schedule to which the terminated

part of work belongs

B = Performance Guarantee amount in terms of GCC sub clause 4.2

C = Total Contract Price

Plus additional Performance Guarantee amount if any taken in terms of sub clause 35.5 of ITB and not due for release on the date of issue of termination letteragainst that particular bill/Schedule to which the terminated part of the work belongs in case of termination in part/parts.

(c) release any payment due to the contractor for works executed prior to termination and evaluation under clause 15.3 (valuation at date of termination, however, if by this time the Contractor has failed to make a payment due to the Employer, the same will be deducted from the payment due and any balance remaining shall then be paid to the Contractor.).

15.5 Employer's Entitlement to Termination for Convenience

The Employer shall be entitled to terminate the Contract, at any time for the Employer's convenience, by giving notice of such termination to the Contractor. The termination shall take effect 28 days after the later of the dates on which the Contractor receives this notice or the Employer returns the Performance Security. The Employer shall not terminate the Contract under this Sub-Clause in order to execute the Works himself or to arrange for the Works to be executed by another contractor or to avoid a termination of the Contract by the Contractor under Clause 16.2 [Termination by Contractor].

After this termination, the Contractor shall proceed in accordance with Sub-Clause 16.3 [Cessation of Work and Removal of Contractor's Equipment] and shall be paid in accordance with Sub-Clause 19.1 [Payment and Release in case of Optional Termination].

15.6 Corrupt or Fraudulent Practices

If the Employer determines that the Contractor has engaged in corrupt, fraudulent, collusive or coercive practices, in competing for or in executing the Contract, then the Employer may, after giving 14 days notice to the Contractor, terminate the Contractor's employment under the Contract and expel him from the Site, and the provisions of Clause 15 shall apply as if such expulsion had been made under Sub-Clause 15.2.

For the purposes of this Sub-Clause:

- (a) "corrupt practice" means the offering, giving, receiving of soliciting of any thing of "value to influence the action of a public official in the procurement process or in the Contract execution.
- (b) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of the Contract to the detriment of the Employer, and includes collusive practice among Bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Employer of the benefits of free and open competition.
- (c) "collusive practice" means a scheme or arrangement between two or more bidders, with or without the knowledge of the Employer, designed to establish bid prices at artificial, non-competitive levels.
- (d) "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract.

16. Suspension and Termination by Contractor

16.1 Contractor's Entitlement to

If the Engineer fails to certify in accordance with Sub-Clause 14.6 [Issue of Interim Payment Certificates] or the Employer fails to comply with Sub-Clause Suspend Work 2.4 [Employer's Financial Arrangements] or Sub-Clause 14.7 [Payment], the Contractor may, after giving not less than 21 days' notice to the Employer, suspend work (or reduce the rate of work) unless and until the Contractor has received the Payment Certificate, reasonable evidence or payment, as the case may be and as described in the notice.

> The Contractor's action shall not prejudice his entitlements to interest under Sub-Clause 14.8 [Delayed Payment] and to termination under Sub-Clause 16.2 [Termination by Contractor].

> If the Contractor subsequently receives such Payment Certificate, evidence or payment (as described in the relevant Sub-Clause and in the above notice) before giving a notice of termination, the Contractor shall resume normal working as soon as is reasonably practicable.

> If the Contractor suffers delay and/or incurs Cost as a result of suspending work (or reducing the rate of work) in accordance with this Sub-Clause, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- an extension of time for any such delay, if completion is or will be (a) delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- payment of any such Cost plus profit, which shall be included in the (b) Contract Price.

After receiving this notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

16.2 Termination by Contractor

The Contractor shall be entitled to terminate the Contract if:

- the Contractor does not receive the reasonable evidence within 42 days (a) after giving notice under Sub-Clause 16.1 [Contractor's Entitlement to Suspend Work] in respect of a failure to comply with Sub-Clause 2.4 [Employer's Financial Arrangements],
- the Engineer fails, within 56 days after receiving a Statement and (b) supporting documents, to issue the relevant Payment Certificate.
- the Contractor does not receive the amount due under an Interim (c) Payment Certificate within 42 days after the expiry of the time stated in Sub-Clause 14.7 [Payment] within which payment is to be made (except for deductions in accordance with Sub-Clause 2.5 [Employer's Claims]),
- a prolonged suspension affects the whole of the Works as described in (d) Sub-Clause 8.11 [Prolonged Suspension], or
- the Employer becomes bankrupt or insolvent, goes into liquidation, has a receiving or administration order made against him, compounds with his creditors, or carries on business under a receiver, trustee or manager for the benefit of his creditors, or if any act is done or event occurs which (under applicable Laws) has a similar effect to any of these acts or events.

In any of these events or circumstances, the Contractor may, upon giving 14 days' notice to the Employer, terminate the Contract. However, in the case of sub-paragraph (d) or (e), the Contractor may by notice terminate the Contract immediately.

The Contractor's election to terminate the Contract shall not prejudice any other rights of the Contractor, under the Contract or otherwise.

16.3 Cessation of Work and Removal of Contractor's Equipment

After a notice of termination under Sub-Clause 15.5 [Employer's Entitlement to Termination], Sub-Clause 16.2 [Termination by Contractor] or Sub-Clause 19.1 [Optional Termination, Payment and Release] has taken effect, the Contractor shall promptly:

- (a) cease all further work, except for such work as may have been instructed by the Engineer for the protection of life or property or for the safety of the Works.
- (b) hand over Contractor's Documents, Plant, Materials and other work, for which the Contractor has received payment, and
- (c) remove all other Goods from the Site, except as necessary for safety, and leave the Site.

16.4 Payment on Termination

After a notice of termination under Sub-Clause 16.2 [Termination by Contractor] has taken effect, the Employer shall promptly:

- (a) return the Performance Security to the Contractor,
- (b) pay the Contractor in accordance with Sub-Clause 19.1 [Optional Termination, Payment and Release], and
- (c) should the contract be terminated under sub-clause 15.5 of this clause and the contractor claims payment for expenditure incurred by him in the expectation of completing the whole of the work, the Employer shall admit and consider such claims as are deemed reasonable and are supported by vouchers to the satisfaction of the Engineer. The Employer's decision on the necessity and propriety of such expenditure shall be final and conclusive.
- (d) The Contractor shall have no claim to any payment of compensation or otherwise, howsoever on account of any profit or advantage which he might have derived from the execution of the work in full but which he did not derive in consequence of termination of contract.

17. Risk and Responsibility

17.1 Indemnities

The Contractor shall indemnify and hold harmless the Employer, the Employer's Personnel, and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of:

- (a) bodily injury, sickness, disease or death, of any person including railway user whatsoever arising out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and
- (b) damage to or loss of any property, real or personal (other than the Works), to the extent that such damage or loss arises out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless and to the extent that any such damage or loss is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel,, their respective agents, or anyone directly or

indirectly employed by any of them.

The Employer shall indemnify and hold harmless the Contractor, the Contractor's Personnel, and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of (1) bodily injury, sickness, disease or death, which is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and (2) the matters for which liability may be excluded from insurance cover, as described in subparagraphs (d)(i), (ii) and (iii) of Sub-Clause 18.3 [Insurance Against Injury to Persons and Damage to Property].

17.2 Contractor's Care of the Works

The Contractor shall take full responsibility for the care of the Works and Goods from the Commencement Date until the Taking-Over Certificate is issued (or is deemed to be issued under Sub-Clause 10.1 [Taking Over of the Works and Sections]) for the Works, when responsibility for the care of the Works shall pass to the Employer. If a Taking-Over Certificate is issued (or is so deemed to be issued) for any Section or part of the Works, responsibility for the care of the Section or part shall then pass to the Employer.

After responsibility has accordingly passed to the Employer, the Contractor shall take responsibility for the care of any work which is outstanding on the date stated in a Taking-Over Certificate, until this outstanding work has been completed.

If any loss or damage happens to the Works, Goods or Contractor's Documents during the period when the Contractor is responsible for their care, from any cause not listed in Sub-Clause 17.3 [Employer's Risks], the Contractor shall rectify the loss or damage at the Contractor's risk and cost, so that the Works, Goods and Contractor's Documents conform with the Contract.

The Contractor shall be liable for any loss or damage caused by any actions performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued and which arose from a previous event for which the Contractor was liable.

17.3 Employer's Risks

The risks referred to in Sub-Clause 17.4 below, insofar as they directly affect the execution of the works in the Country, are:

- (a) war, hostilities (whether war be declared or not), invasion, act of foreign enemies.
- (b) rebellion, terrorism, sabotage by persons other than the Contractor's Personnel, revolution, insurrection, military or usurped power, or civil war, within the Country,
- (c) riot, commotion or disorder within the Country by persons other than the Contractor's Personnel.
- (d) munitions of war, explosive materials, ionising radiation or contamination by radio-activity, within the Country, except as may be attributable to the Contractor's use of such munitions, explosives, radiation or radio-activity,
- (e) pressure waves caused by aircraft or other aerial devices travelling at sonic or supersonic speeds,
- (f) use or occupation by the Employer of any part of the Permanent Works, except as may be specified in the Contract,
- (g) design of any part of the Works by the Employer's Personnel or by others

for whom the Employer is responsible, and

any operation of the forces of nature which is Unforeseeable or against (h) which an experienced contractor could not reasonably have been expected to have taken adequate preventative precautions.

Employer's Risks

17.4 Consequences of If and to the extent that any of the risks listed in Sub-Clause 17.3 above results in loss or damage to the Works, Goods or Contractor's Documents, the Contractor shallpromptly give notice to the Engineer and shall rectify this loss or damage to the extent required by the Engineer.

> If the Contractor suffers delay and/or incurs Cost from rectifying this loss or damage, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 20.1 [Contractor's Claims] to:

- an extension of time for any such delay, if completion is or will be (a) delayed, under Sub-Clause 8.4 [Extension of Time for Completion], and
- payment of any such Cost, which shall be included in the Contract Price. (b) In the case of sub-paragraphs (f) and (g) of Sub-Clause 17.3 [Employer's Risks], Cost shall be payable.

After receiving this further notice, the Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine these matters.

Industrial **Property Rights**

17.5 Intellectual and In this Sub-Clause, infringement" means an infringement (or alleged infringement) of any patent, registered design, copyright, trade mark, trade name, trade secret or other intellectual or industrial property right relating to the Works; and -elaim" means a claim (or proceedings pursuing a claim) alleging an infringement.

> Whenever a Party does not give notice to the other Party of any claim within 28 days of receiving the claim, the first Party shall be deemed to have waived any right to indemnity under this Sub-Clause.

> The Employer shall indemnify and hold the Contractor harmless against and from any claim alleging an infringement which is or was:

- (a) an unavoidable result of the Contractor's compliance with the Contract,
- a result of any Works being used by the Employer: (b)
 - for a purpose other than that indicated by, or reasonably to be inferred from, the Contract, or
 - in conjunction with anything not supplied by the Contractor, unless such use was disclosed to the Contractor prior to the Base Date or is stated in the Contract.

The Contractor shall indemnify and hold the Employer harmless against and from any other claim which arises out of or in relation to (i) the manufacture, use, sale or import of any Goods, or (ii) any design for which the Contractor is responsible.

If a Party is entitled to be indemnified under this Sub-Clause, the indemnifying Party may (at its cost) conduct negotiations for the settlement of the claim, and any litigation or arbitration which may arise from it. The other Party shall, at the request and cost of the indemnifying Party, assist in contesting the claim. This other Party (and its Personnel) shall not make any admission which might be prejudicial to the indemnifying Party, unless the indemnifying Party failed to take over the conduct of any negotiations, litigation or arbitration upon being requested to do so by such other Party.

17.6 Limitation of Liability

Neither Party shall be liable to the other Party for loss of use of any Works, loss of profit, loss of any contract or for any indirect or consequential loss or damage which may be suffered by the other Party in connection with the Contract, provided that this exclusion shall not apply to any obligation of the Contractor to pay Delay Damages to the Employer under Sub-Clause 8.7 [Delay Damages].

The total liability of the Contractor to the Employer, under or in connection with the Contract other than under Sub-Clause 4.19 [Electricity, Water and Gas], Sub-Clause 4.20 [Employer's Equipment and Free-Issue Material], Sub-Clause 17.1 [Indemnities] and Sub-Clause 17.5 [Intellectual and Industrial Property Rights], shall not exceed the sum as specified in the Contract Data or if nothing is specified in the Contract Data, the accepted Contract Amount.

This Sub-Clause shall not limit liability in any case of fraud, deliberate default or reckless misconduct by the defaulting Party.

17.7 Use of Employer's /Facilities

The Contractor shall take full responsibility for the care of the Employer provided accommodation and facilities, if any, as detailed in the Specification, Accommodation from the respective dates of hand-over to the Contractor until cessation of occupation(where hand-over or cessation of occupation may take place after the date stated in the Taking-Over Certificate for the Works).

> If any loss or damage happens to any of the above items while the Contractor is responsible for their care arising from any cause whatsoever other than those for which the Employer is liable, the Contractor shall, at his own cost, rectify the loss or damage to the satisfaction of the Engineer.

18. Insurance

18.1 General Requirements for Insurances

In this Clause, insuring Party means, for each type of insurance, the Party responsible for effecting and maintaining the insurance specified in the relevant Sub-Clause.

Wherever the Contractor is the insuring Party, each insurance shall be effected with insurers and in terms approved by the Employer. These terms shall be consistent with any terms agreed by both Parties before the date of the Letter of Acceptance. This agreement of terms shall take precedence over the provisions of this Clause.

Wherever the Employer is the insuring Party, each insurance shall be effected with insurers and in terms consistent with the details annexed to the Special Conditions of Contract.

If a policy is required to indemnify joint insured, the cover shall apply separately to each insured as though a separate policy had been issued for each of the joint insured. If a policy indemnifies additional joint insured, namely in addition to the insured specified in this Clause, (i) the Contractor shall act under the policy on behalf of these additional joint insured except that the Employer shall act for Employer's Personnel, (ii) additional joint insured shall not be entitled to receive payments directly from the insurer or to have any other direct dealings with the insurer, and (iii) the insuring Party shall require all additional joint insured to comply with the conditions stipulated in the policy.

Each policy insuring against loss or damage shall provide for payments to be made in the currencies required to rectify the loss or damage. Payments received from insurers shall be used for the rectification of the loss or damage.

The relevant insuring Party shall, within the respective periods stated in the Contract Data (calculated from the Commencement Date), submit to the other Party:

- (a) evidence that the insurances described in this Clause have been effected, and
- (b) copies of the policies for the insurances described in Sub-Clause 18.2 [Insurance for Works and Contractor's Equipment] and Sub-Clause 18.3 [Insurance against Injury to Persons and Damage to Property].

When each premium is paid, the insuring Party shall submit evidence of payment to the other Party. Whenever evidence or policies are submitted, the insuring Party shall also give notice to the Engineer.

Each Party shall comply with the conditions stipulated in each of the insurance policies. The insuring Party shall keep the insurers informed of any relevant changes to the execution of the Works and ensure that insurance is maintained in accordance with this Clause.

Neither Party shall make any material alteration to the terms of any insurance without the prior approval of the other Party. If an insurer makes (or attempts to make) any alteration, the Party first notified by the insurer shall promptly give notice to the other Party.

If the insuring Party fails to effect and keep in force any of the insurances it is required to effect and maintain under the Contract, or fails to provide satisfactory evidence and copies of policies in accordance with this Sub-Clause, the other Party may (at its option and without prejudice to any other right or remedy) effect insurance for the relevant coverage and pay the premiums due. The insuring Party shall pay the amount of these premiums to the other Party, and the Contract Price shall be adjusted accordingly.

Nothing in this Clause limits the obligations, liabilities or responsibilities of the Contractor or the Employer, under the other terms of the Contract or otherwise. Any amounts not insured or not recovered from the insurers shall be borne by the Contractor and/or the Employer in accordance with these obligations, liabilities or responsibilities. However, if the insuring Party fails to effect and keep in force an insurance which is available and which it is required to effect and maintain under the Contract, and the other Party neither approves the omission nor effects insurance for the coverage relevant to this default, any moneys which should have been recoverable under this insurance shall be paid by the insuring Party.

Payments by one Party to the other Party shall be subject to Sub-Clause 2.5 [Employer's Claims] or Sub-Clause 20.1 [Contractor's Claims], as applicable.

The Contractor shall be entitled to place all insurance relating to the Contract (including, but not limited to the insurance referred to Clause 18) with insurers from any eligible source country.

18.2 Insurance for Works and Contractor's Equipment

The Contractor shall insure the Works, Plant, Materials, including those issued by the Employer and Contractor's Documents for not less than the full reinstatement cost including the costs of demolition, removal of debris and professional fees and profit, subject to a maximum value indicated in Contract Data. This insurance shall be effective from the date by which the evidence is to be submitted under sub-paragraph (a) of Sub-Clause 18.1 [General Requirements for Insurances], until the date of issue of the Taking-Over Certificate for the Works.

The insuring Party shall maintain this insurance to provide cover until the date

of issue of the Performance Certificate, for loss or damage for which the Contractor is liable arising from a cause occurring prior to the issue of the Taking-Over Certificate, and for loss or damage caused by the Contractor in the course of any other operations (including those under Clause 11 [Defects Liability]).

The insuring Party shall insure the Contractor's Equipment for not less than the full replacement value, including delivery to Site. For each item of Contractor's Equipment, the insurance shall be effective while it is being transported to the Site and until it is no longer required as Contractor's Equipment.

Unless otherwise stated in the Special Conditions of Contract, insurances under this Sub-Clause:

- (a) shall be effected and maintained by the Contractor as insuring Party,
- (b) shall be in the joint names of the Parties, who shall be jointly entitled to receive payments from the insurers, payments being held or allocated between the Parties for the sole purpose of rectifying the loss or damage,
- (c) shall cover all loss and damage from any cause not listed in Sub-Clause 17.3 [Employer's Risks],
- (d) shall also cover loss or damage to a part of the Works which is attributable to the use or occupation by the Employer of another part of the Works, and loss or damage from the risks listed in sub-paragraphs (c), (g) and (h) of Sub-Clause 17.3 [Employer's Risks], excluding (in each case) risks which are not insurable at commercially reasonable terms, with deductibles per occurrence of not more than the amount stated in the Contract Data (if an amount is not so stated, this sub-paragraph (d) shall not apply), and

- may however exclude loss of, damage to, and reinstatement of: (e)
 - part of the Works which is in a defective condition due to a defect in its design, materials or workmanship (but cover shall include any other parts which are lost or damaged as a direct result of this defective condition and not as described in subparagraph (ii) below).
 - ii) a part of the Works which is lost or damaged in order to reinstate any other part of the Works if this other part is in a defective condition due to a defect in its design, materials or workmanship.
 - iii) a part of the Works which has been taken over by the Employer, except to the extent that the Contractor is liable for the loss or damage, and
 - iv) Goods while they are not in the Country, subject to Sub-Clause 14.5 [Plant and Materials intended for the Works].

If, more than one year after the Base Date, the cover described in subparagraph (d) above ceases to be available at commercially reasonable terms. the Contractor shall (as insuring Party) give notice to the Employer, with supporting particulars. The Employer shall then (i) be entitled subject to Sub-Clause 2.5 [Employer's Claims] to payment of an amount equivalent to such commercially reasonable terms as the Contractor should have expected to have paid for such cover, and (ii) be deemed, unless he obtains the cover at commercially reasonable terms, to have approved the omission under Sub-Clause 18.1 [General Requirements for Insurances].

18.3 Insurance Persons and Damage to **Property**

The insuring Party shall insure against each Party's liability for any loss, against Injury to damage, death or bodily injury which may occur to any physical property (except things insured under Sub-Clause 18.2 [Insurance for Works and Contractor's Equipment]) or to any person (except persons insured under Sub-Clause 18.4 [Insurance for Contractor's Personnel]), which may arise out of the Contractor's performance of the Contract and occurring before the issue of the Performance Certificate.

> This insurance shall be for a limit per occurrence of not less than the amount stated in the Contract Data, with no limit on the number of occurrences. If an amount is not stated in the Contract Data, this Sub-Clause shall not apply.

> Unless otherwise stated in the Special Conditions of Contract, the insurances specified in this Sub-Clause:

- shall be effected and maintained by the Contractor as insuring Party,
- shall be in the joint names of the Parties, (b)
- shall be extended to cover liability for all loss and damage to the Employer's property including Railways Property (except things insured under Sub-Clause 18.2) arising out of the Contractor's performance of the Contract, and
- may however exclude liability to the extent that it arises from:
 - the Employer's right to have the Permanent Works executed on, over, under, in or through any land, and to occupy this land for the Permanent Works.
 - (ii) damage which is an unavoidable result of the Contractor's

obligations to execute the Works and remedy any defects, and

(iii) a cause listed in Sub-Clause 17.3 [Employer's Risks], except to the extent that cover is available at commercially reasonable terms.

18.4 Insurance for Contractor's Personnel

The Contractor shall effect and maintain insurance against liability for claims, damages, losses and expenses (including legal fees and expenses) arising from injury, sickness, disease or death of any person employed by the Contractor or any other of the Contractor's Personnel.

The Employer and the Engineer shall also be indemnified under the policy of insurance, except that this insurance may exclude losses and claims to the extent that they arise from any act or neglect of the Employer or of the Employer's Personnel.

The insurance shall be maintained in full force and effect during the whole time that these personnel are assisting in the execution of the Works. For a Subcontractor's employees, the insurance may be effected by the Subcontractor, but the Contractor shall be responsible for compliance with this Clause.

19. Force Majeure

If at any time, during the continuance of this contract, the performance in whole or in part by either party of any obligation under this contract shall be prevented or delayed by reason of any war, hostility, acts of public enemy, civil commotion, sabotage, serious loss or damage by fire, explosions, epidemics, strikes, lockouts or acts of God (hereinafter referred to events') provided. notice of the happening of any such event is given by either party to the other within 14 days from the date of occurrence thereof, neither party shall by reason of such event, be entitled to terminate this contract nor shall either party have any claim for damages against the other in respect of such nonperformance of delay in performance, and works under the contract shall be resumed as soon as practicable after such event has come to an end or ceased to exist, and decision of the Engineer as to whether the works have been so resumed or not shall be final and conclusive. PROVIDED FURTHER that if the performance in whole or in part of any obligation under this contract is prevented or delayed by reason of any such event for a continuous period exceeding 84 days, either party may at its option terminate the contract by giving notice to the other party.

19.1 Payment and Release in case of Optional Termination

Upon such termination, the Engineer shall determine the value of the work done and issue a Payment Certificate which shall include:

- (a) Theamountspayable for any work carried out for which a price is stated in the contract;
- (b) TheCost of Plant and Materials ordered for the Works which have been delivered to the Contractor, or of which the contractor is liable to accept delivery; this Plant and materials shall become the property of (and be at the risk of) the Employer when paid for by the Employer, the Contractor shall place the same at the Employer's disposal;
- (c) OtherCosts or liabilities supported by necessary documentary evidence which in the circumstances were reasonably and necessarily incurred by the Contractor in the expectation of completing the Works as per mutually agreed programme.

(d) the Cost of removal of Temporary Works and Contractor's Equipment from the Site and the return of these items to the Contractor's works in his country (or to any other destination at no greater cost).

20. Claims, Disputes and Arbitration

20.1 Contractor's Claims

If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall give notice to the Engineer, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Engineer. Without admitting the Employer's liability, the Engineer may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Engineer to inspect all these records, and shall (if instructed) submit copies to the Engineer.

Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Engineer, the Contractor shall send to the Engineer a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Engineer may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Engineer.

Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Engineer and approved by the Contractor, the Engineer shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the

principles of the claim within such time.

Each Payment Certificate shall include such amounts for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.

The Engineer shall proceed in accordance with Sub-Clause 3.5 [Determinations] to agree or determine (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with Sub-Clause 8.4 [Extension of Time for Completion], and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

20.2 Amicable Settlement

In case any dispute between the Engineer and the Contractor for which claim has already been made by the contractor, remains unresolved, the Contractor shall, then, give notice of dissatisfaction and intention to commence arbitration to the Employer duly specifyingthesubject of the dispute or difference as also the amount of claim item wise. The Parties shall make attempts to settle the dispute amicably before the commencement of arbitration. However, unless both Parties agree otherwise, demand for arbitration may be made by the contractor after ninety days from the day on which a notice of dissatisfaction and intention to commence arbitration was given, even if no attempt at amicable settlement has been made.

20.3 Arbitration

Any dispute, in respect of which amicable settlement has not been reached, arising between the Employer and the Domestic or Foreign Contractor related to any matter arising out of or connected with this contract, then the contractor, after 90 days but within 150 days from the day on which a notice of dissatisfaction and intention to commence arbitration was given under GCC 20.2, shall be entitled to demand in writing that the dispute or difference be referred to arbitration.

Only such dispute(s) or difference(s) in respect of which the demand had been made for amicable settlement under GCC 20.2 but could not be settled, together with counter claims or set off, given by the Employer, shall be referred to arbitration subject to the condition that total amount of claims in the contract is not exceeding 20% of the contract price. Other matters shall not be included in the reference.

The Arbitration proceedings shall be assumed to have commenced from the day, a written and valid demand for arbitration is received by Managing Director, Indian Port Rail Corporation Limited, Mumbai (MD/IPRCL).

The disputes so referred to arbitration shall be settled in accordance with the Indian Arbitration & Conciliation Act, 1996 and any statutory modification or re-enactment thereof.

Further, it is agreed between the parties as under:

20.3.1 Number of Arbitrators: The arbitral tribunal shall consist of:

- (i) Sole Arbitrator in cases where the total value of all claims in question added together does not exceed Rs. 2 Crore;
- (ii) 3 (Three) arbitrators in all other cases.

Procedure for Appointment of Arbitrators: The arbitrators shall be appointed as per following procedure:

- (i) In case of Sole Arbitrator: MD/IPRCL shall appoint an arbitrator within 60 days from the day when a written and valid demand for arbitration is received by MD/IPRCL.
- (ii) In case of 3 Arbitrators:
 - (a) Within 60 days from the day when a written and valid demand for arbitration is received by MD/IPRCL, the Employer will forward a panel of 3 names to the contractor. The contractor will then give his consent for any one name out of the panel to be appointed as one of the Arbitrators within 30 days of dispatch of the request by the Employer.
 - (b) Employer will decide the second Arbitrator. MD/IPRCL shall appoint the two Arbitrators, including the name of one Arbitrator for whom consent was given by the contractor, within 30 days from the receipt of the consent for one name of the Arbitrator from the contractor. In case the contractor fails to give his consent within 30 days of dispatch of the request of the Employer then MD/IPRCL shall nominate both the Arbitrators from the panel.
 - (c) The third Arbitrator shall be chosen by the two Arbitrators so appointed by the parties and shall act as Presiding Arbitrator. In case of failure of the two Arbitrators appointed by the parties to reach upon consensus within a period of 30 days from the appointment of the Arbitrators subsequently appointed, then, upon the request of either or both parties, the Presiding Arbitrator shall be appointed by the Managing Director, Indian Port Rail Corporation Limited, Mumbai
 - (d) If one or more of the arbitrators appointed as above refuses to act as arbitrator, withdraws from his office as arbitrator, or vacates his/their

office/offices or is/are unable or unwilling to perform his functions as arbitrator for any reason whatsoever or dies or in the opinion of the MD/IPRCL fails to act without undue delay, the MD/IPRCL shall appoint new arbitrator/arbitrators to act in his/their place except in case of new Presiding Arbitrator who shall be chosen following the same procedure as mentioned in para (ii)(c) above. Such reconstituted Tribunal may, at its discretion, proceed with the reference from the stage at which it was left by the previous arbitrator(s).

20.3.3

Qualification and Experience of Arbitrators (to be appointed as per subclause 20.3.2 above): The arbitrators to be appointed shall have minimum qualification and experience as under:

Arbitrator shall be:

a working/retired officer (not below E-9 grade and above in a PSU with which IPRCL has no business relationship) of any discipline of Engineering or Accounts/Finance department, having experience in Contract Management of construction contracts; or

a retired officer (retired not below the HAG level in Railways) of any Engineering Services of Indian Railways or Indian Railway Accounts Service, having experience in Contract Management of construction contracts; or a retired officer who should have retired more than 3 years previously from the date of appointment as Arbitrator (retired not below E-9 grade in IPRCL or a PSU with which IPRCL has a business relationship) of any Engineering discipline or Accounts/Finance department, having experience in Contract Management of construction contracts.

No person other than the persons appointed as per above procedure and having above qualification and experience shall act as Arbitrator.

20.3.4

No new claim shall be added during proceedings by either party. However, a party may amend or supplement the original claim or defence thereof during the course of arbitration proceedings subject to acceptance by Tribunal having due regard to the delay in making it.

20.3.5

Neither party shall be limited in the proceedings before such arbitrators to the evidence nor did arguments previously put before during amicable settlement.

20.3.6

The reference to arbitration may proceed, notwithstanding that the Works shall not then be or be alleged to be complete, provided always that the obligations of the Employer, the Engineer and the Contractor shall not be altered by the reason of the arbitration being conducted during the progress of the Works. Neither party shall be entitled to suspend the Works, nor shall payment to the

Contractor be withheld on account of such proceedings

20.3.7

If the contractor(s) does/do not prefer his/their specific and final claims in writing, within a period of 90 days of receiving the intimation from the Employer/Engineer that the final bill is ready for signature of the contractor(s), he/they will be deemed to have waived his/their claim(s) and the Employer shall be discharged and released of all liabilities under the contract in respect of these claims

20.3.8

Arbitration proceedings shall be held at Mumbai, India or at a place where MD/IPRCL's (dealing the contract) office is located, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be in English.

20.3.9

The Arbitral Tribunal should record day to day proceedings. The proceedings shall normally be conducted on the basis of documents and written statements

All arbitration awards shall be in writing and shall state item wise, the sum and detailed reasons upon which it is based.

20.3.10

Any ruling on award shall be made by a majority of members of Tribunal. In the absence of such a majority, the views of the Presiding Arbitrator shall prevail.

A party may apply for correction of any computational errors, any typographical or clerical errors or any other error of similar nature occurring in the award of a tribunal and interpretation of specific point of award to tribunal within 60 days of the receipt of award.

A party may apply to tribunal within 60 days of receipt of award to make an additional award as to claims presented in the arbitral proceedings but omitted from the arbitral award.

20.3.11

Where the Arbitral award is for the payment of money, no interest shall be payable on whole or any part of the money for any period till the date on which the award is made.

20.3.12

The fees and other charges of the conciliator/arbitrators shall be as per the scales fixed by the employer from time to time irrespective of the fact whether the Arbitrator(s) is/are appointed by the Employer or by the Court of law unless specifically directed by Hon'ble Court otherwise on the matter, and shall be shared equally by the Employer and the Contractor. However, the expenses incurred by each party in connection with the preparation, presentation will be borne by itself.

21. Jurisdiction of courts

The Contract Agreement shall be subject to exclusive jurisdiction of Courts as indicated in the Contract Data.

APPENDIX 1

SALIENT FEATURES OF SOME MAJOR LABOUR LAWS APPLICABLE TO ESTABLISHMENTS ENGAGED IN BUILDING AND OTHER CONSTRUCTION WORK

(The laws as current on the date of bid opening will apply)

- a) **Workmen Compensation Act 1923**: The Act provides for compensation in case of injury by accident arising out of and during the course of employment.
- b) **Payment of Gratuity Act 1972**: Gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years service or more or on death the rate of 15 days wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.
- c) Employees P.F. and Miscellaneous Provision Act 1952 (since amended): The Act Providesfor monthly contributions by the employer plus workers @ 10% or 8.33%. The benefits payable under the Act are:
 - (i) Pension or family pension on retirement or death, as the case may be.
 - (ii) Deposit linked insurance on the death in harness of the worker.
 - (iii) payment of P.F. accumulation on retirement/death etc.
- d) **Maternity Benefit Act 1951**: The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.
- e) Contract Labour (Regulation & Abolition) Act 1970: The Act provides for certain welfare measures to be provided by the Contractor to contract labour and in case the Contractor fails to provide, the same are required to be provided, by the Principal Employer by Law. The Principal Employer is required to take Certificate of Registration and the Contractor is required to take license from the designated Officer. The Act is applicable to the establishments or Contractor of Principal Employer if they employ 20 or more contract labour.
- f) **Minimum Wages Act 1948**: The Employer is supposed to pay not less than the Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, Runways are scheduled employments.
- g) Payment of Wages Act 1936: It lays down as to by what date the wages are to be paid, when it will be paid and what deductions can be made from the wages of the workers.

- h) **Equal Remuneration Act 1979**: The Act provides for payment of equal wages for work of equal nature to Male and Female workers and for not making discrimination against Female employees in the matters of transfers, training and promotions etc.
- i) Payment of Bonus Act 1965: The Act is applicable to all establishments employing 20 or more employees. The Act provides for payments of annual bonus subject to a minimum of 8.33% of wages and maximum of 20% of wages to employees drawing Rs.3500/-per month or less. The bonus to be paid to employees getting Rs.2500/- per month or above upto Rs.3500/- per month shall be worked out by taking wages as Rs.2500/-per month only. The Act does not apply to certain establishments. The newly set-up establishments are exempted for five years in certain circumstances. Some of the State Governments have reduced the employment size from 20 to 10 for the purpose of applicability of this Act.
- j) Industrial Disputes Act 1947: The Act lays down the machinery and procedure for resolution of Industrial disputes, in what situations a strike or lock-out becomes illegal and what are the requirements for laying off or retrenching the employees or closing down the establishment.
- k) Industrial Employment (Standing Orders) Act 1946: It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the Employer on matters provided in the Act and get the same certified by the designated Authority.
- I) **Trade Unions Act 1926:** The Act lays down the procedure for registration of trade unions of workmen and employers. The Trade Unions registered under the Act have been given certain immunities from civil and criminal liabilities.
- m) Child Labour (Prohibition & Regulation) Act 1986: The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of Child Labour is prohibited in Building and Construction Industry.
- n) Inter-State Migrant workmen's (Regulation of Employment & Conditions of Service) Act 1979: The Act is applicable to an establishment which employs 5 or more inter-state migrant workmen through an intermediary (who has recruited workmen in one state for employment in the establishment situated in another state). The Inter-State migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, travelling expenses from home upto the establishment and back, etc.
- o) The Building and Other Construction workers (Regulation of Employment and Conditions of Service) Act 1996 and the Cess Act of 1996: All the establishments who carry on any building or other construction work and employs 10 or more workers are covered under this Act. All such establishments are required to pay cess at the rate not exceeding 2% of the cost of construction as may be modified by the Government. The Employer of the establishment is required to provide safety measures at the Building or construction work and other welfare measures, such as Canteens, First-Aid facilities, Ambulance, Housing accommodations for workers near the work place etc. The Employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government.

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

As per Central Government's Notification No.S.O.2899 dated 26.09.1996 under this act, the cess shall be levied @1% of cost of construction works which shall be deducted from each bill of the payment due to the contractor.

p) **Factories Act 1948**: The Act lays down the procedure for approval at plans before setting up a factory, health and safety provisions, welfare provisions, working hours, annual earned leave and rendering information regarding accidents or dangerous occurrences to designated authorities. It is applicable to premises employing 10 persons or more with aid of power or 20 or more persons without the aid of power engaged in manufacturing process.

Section 8 - Contract Forms

This Section contains forms which, once completed, will form part of the Contract. The forms for Performance Security and Advance Payment Security, when required, shall only be completed by the successful Bidder after contract award.

All italicized text is for guidance how to prepare the various forms and shall be deleted from the final documents.

Table of Forms

Letter of Acceptance Form No. COF/1	2
Contract Agreement Form No. COF/2	3
Form of Contract Performance Security Form No. COF/3	5
Form of additional Performance Security	9
Advance Payment Security1	2
Proforma for Bank Guarantee for Advance against Supply of Plant and Materials 10	6
Form of Bank Guarantee For Release of Balance Retention Money)

Section 8: Contract Forms IPRCL

FORM No. COF/1

Letter of Acceptance [on letterhead paper of the Employer]

date
To:[name and address of the Contractor]
Subject:[Insert Name and Identification number][Notification of Award]
This is to notify you that your Bid dated [Insert Date] for execution of the [name of the contract and identification number, as given in the Contract Data] for the Accepted Contract Amount of the equivalent of INR [Insert amount in numbers and words and name of the currency] , as corrected and modified in accordance with the Instructions to Bidders, is hereby accepted.
You are requested to furnish the Performance Security within 28 days in accordance with the Conditions of Contract, using for that purpose the <i>of</i> the Performance Security Form No. COF/3 included in Section 8 (Contract Forms) of the Bidding Document.
Authorized Signature:
Name and Title of Signatory:

Page 2 of 23 Section 8: Contract Forms

IPRCL

Section 8: Contract Forms

Page 3 of 23

FORM No. COF/2

Contract Agreement

THIS AGREEMENT made the day of . . . , between [Name of the Employer. (hereinafter "the Employer"), of the one part, and [name of the Contractor]. (hereinafter "the Contractor"), of the other part:

WHEREAS the *Employer* desires that the Works known as [name of the Contract]. should be executed by the Contractor, and has accepted a Bid by the Contractor for the execution and completion of these Works and the remedying of any defects therein,

The Employer and the Contractor agree as follows:

- 1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Contract documents referred to
- 2. The following documents shall be deemed to form and be read and construed as part of this Agreement. This Agreement shall prevail over all other Contract documents.
 - the Letter of Acceptance
 - the Letter of Technical Bid
 - the Letter of Price Bid
 - the Addenda Nos. [insert addenda numbers if any].
 - the Special Conditions of Contract
 - Part A: Contract Data
 - Part B: Specific Provisions
 - the General Conditions of Contract;
 - the Specification
 - the Drawings;
 - the Work's Requirements
 - the completed Schedules including (priced Bill of Quantities)
 - Any other documents

Section 8: Contract Forms

¹In case Contractor is a Joint Venture the "name of the contractor" shall be inserted as under : "the Joint Venture under the name and title of, comprising of[Lead Partner] ;.......; and"

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

- 3. In consideration of the payments to be made by the Employer to the Contractor as indicated in this Agreement, the Contractor hereby covenants with the Employer to execute the Works and to remedy defects therein in conformity in all respects with the provisions of the Contract.
- 4. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of [name of the borrowing country]. . . . on the day, month and year indicated above.

Signed by	Signed by
for and on behalf of the Employer in the presence of	for and on behalf the Contractor in the presence of
Witness, Name, Signature, Address, Date	Witness, Name, Signature,

COF/3

FORM OF CONTRACTPERFORMANCE SECURITY (BANK GUARANTEE)

[Refer Clause 41 of Instructions to Bidders]

(On non-judicial stamp paper of the appropriate value in accordance with stamp Act. The stamp paper to be in the name of Executing Bank).

From:
Name and Address of the Bank
То:
Managing Director,
Indian Port Rail Corporation Ltd.,
4th Floor, Nirman Bhavan,
Mumbai Port Trust Building,
M. P. Road, Mazgaon (E),
Mumbai - 400 010

WHEREAS, Indian Port Rail Corporation Ltd., hereinafter called the **Employer**, acting through **[Insert Designation and address of the Employer's Representative]**, has accepted the bid of **[Insert Name and address of the Contractor]**, hereinafter called the **Contractor**, for the work of **[Insert Name of Work]**, vide Notification of Award No.**[Insert Notification of Award No.]**.

AND

WHEREAS, the contractor is required to furnish Performance Security for the sum of *[Insert Value of Performance Security required]*, in the form of bank guarantee, being a condition precedent to the signing of the contract agreement.

WHEREAS, [Insert Name of the Bank], with its Branch [Address] having its Headquarters office at [Address], hereinafter called the Bank, acting through [Designation(s) of the authorised person of the Bank], have, at the request of the [Insert name of the JV partner], a JV partner on behalf of the contractor, agreed to give guarantee for performance security and additional performance security as hereinafter contained:

1 KNOW ALL MEN by these present that I/We the undersigned [Insert name(s) of authorized representatives of the Bank], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee

Section 8: Contract Forms Page 6 of 23

to pay the Employer the full amount in the sum of <u>[Insert Value of Performance Security required]</u> as above stated.

- The Bank undertakes to immediately pay on presentation of demand by the Employer any amount up to and including aforementioned full amount without any demur, reservation or recourse. Any such demand made by the Employer on the Bank shall be final, conclusive and binding, absolute and unequivocal notwithstanding any disputes raised/pending before any Court, Tribunal, Arbitration or any Authority or any threatened litigation by the Employer of Bank.
- On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- The Bank shall pay the amount as demanded immediately on presentation of the demand by Employer without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5. The Bank Guarantee shall be unconditional and irrevocable.
- The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Contractor.
- The Bank agrees that no change, addition, modifications to the terms of the Contract Agreement or to any documents, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- This guarantee is valid and effective from the date of its issue, which is *[insert date of issue]*. The guarantee and our obligations under it will expire on *[Insert the date twenty eight days after the expected end of defect liability period]*. All demands for payment under the guarantee must be received by us on or before that date.
- 9 The Bank agrees that the Employers right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will be valid until either the aforementioned full amount is paid to the

Section 8: Contract Forms Page 7 of 23

Employer or the guarantee is released by Employer before the Expiry date.

- The Bank agrees that its obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- 11 The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.
- The Guarantee shall be in addition to and without prejudice to any other security Guarantee(s) of the contractor in favour of the Employer available with the Employer. The Bank, under this Guarantee, shall be deemed as Principal Debtor of the Employer.

[Signature of Authorised person of Bank]	Date Place
[Name in Block letters]	
[Designation]	
[P/Attorney] No.	
Bank's Seal	
[P/Attorney] No	Witness:
	. Signature Name & Address & Seal
	. Signature Name & address & Seal

Section 8: Contract Forms

IPRCL

Note:

- 1 All italicized text is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
- In case the guarantee is issued by a foreign Bank, which does not have operations in India, the said bank shall have to provide a counter-guarantee by State Bank of India.
- 3, The Bank Guarantee should be duly attested by Notary public with notarial stamp of appropriate value affixed thereon.
- 4. In case the Contractor is a JV, the Performance Security is required to be furnished on behalf of the JV in favour of the Employer by the JV Partners in proportion of their respective percentage share specified in the JV Agreement. The percentage share of M/s [Insert Name of the JV Partner] in the JV is [Fill share % in the JV] percent. All the Bank Guarantee of JV Partners are liable to be encashed cumulatively.

Section 8: Contract Forms Page 9 of 23

FORM OF ADDITIONAL PERFORMANCE SECURITY (BANK GUARANTEE)

[Refer Clause 35.5 of Instructions to Bidders]

(On non-judicial stamp paper of the appropriate value in accordance with stamp Act.

The stamp paper to be in the name of Executing Bank)

From: Name and Address of the Bank
To:
Managing Director,
Indian Port Rail Corporation Ltd.,
4th Floor, Nirman Bhavan,
Mumbai Port Trust Building

M. P. Road, Mazgaon (E),

Mumbai - 400 010

WHEREAS, Indian Port Rail Corporation Ltd., hereinafter called the **Employer**, acting through *[Insert Designation and address of the Employer's Representative]*, has accepted the bid of *[Insert Name and address of the Contractor]*, hereinafter called the **Contractor**, for the work of *[Insert Name of Work]*, vide Notification of Award No. *[Insert Notification of Award No.]*.

AND

WHEREAS, the contractor is required to furnish additional Performance Security for the sum of *[Insert Value of additional Performance Security required]*, in the form of bank guarantee, being a condition precedent to the signing of the contract agreement.

WHEREAS, <u>[Insert Name of the Bank]</u>, with its Branch <u>[Address]</u> having its Headquarters office at <u>[Address]</u>, hereinafter called the **Bank**, acting through **[Designation(s) of the authorised person of the Bank]**, have, at the request of the [Insert name of the JV partner], a JV partner on behalf of the contractor, agreed to give guarantee for additional performance security as hereinafter contained:

- 1 KNOW ALL MEN by these present that I/We the undersigned [Insert name(s) of authorized representatives of the Bank], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee to pay the Employer the full amount in the sum of [Insert Value of additional Performance Security required] as above stated.
- The Bank undertakes to immediately pay on presentation of demand by the Employer any amount up to and including aforementioned full amount without any demur, reservation or recourse. Any such demand made by the Employer on the Bank shall be final, conclusive and binding, absolute and unequivocal

Section 8: Contract Forms Page 10 of 23

- notwithstanding any disputes raised/ pending before any Court, Tribunal, Arbitration or any Authority or any threatened litigation by the Employer of Bank.
- 3 On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- 4 The Bank shall pay the amount as demanded immediately on presentation of the demand by Employer without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5 The Bank Guarantee shall be unconditional and irrevocable.
- 6 The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Contractor.
- 7 The Bank agrees that no change, addition, modifications to the terms of the Contract Agreement or to any documents, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- This guarantee is valid and effective from the date of its issue, which is *[insert date of issue]*. The guarantee and our obligations under it will expire on *[Insert the date twenty eight days after the expected end of defect liability period]*. All demands for payment under the guarantee must be received by us on or before that date.
- 9 The Bank agrees that the Employers right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will be valid until either the aforementioned full amount is paid to the Employer or the guarantee is released by Employer before the Expiry date.
- 10 The Bank agrees that its obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- 11 The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- 12 The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.
- 13 The Guarantee shall be in addition to and without prejudice to any other security Guarantee(s) of the contractor in favour of the Employer available with the Employer. The Bank, under this Guarantee, shall be deemed as Principal Debtor of the Employer.

Section 8: Contract Forms Page 11 of 23

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

[Signature of Authorised person of Bank]	Date Place	
[Name in Block letters]		
[Designation]		
[P/Attorney] No.		
Bank's Seal		
[P/Attorney] No	Witness: 1. Signature	

- Name & Address & Seal
- 2. Signature
 Name & address & Seal

Note:

- 1 All italicized text is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
- 2 In case the guarantee is issued by a foreign Bank, which does not have operations in India, the said bank shall have to provide a counter-guarantee by State Bank of India.
- 3 The Bank Guarantee should be duly attested by Notary public with notarial stamp of appropriate value affixed thereon.
- 4 In case the Contractor is a JV, the additional Performance Security is required to be furnished on behalf of the JV in favour of the Employer by the JV Partner(s) who is responsible for execution of schedule(s) (as per JV agreement) against which additional Performance Security is required to be submitted in terms of ITB 35.5. All the Bank Guarantee of JV Partners are liable to be encashed cumulatively.

Section 8: Contract Forms Page 12 of 23

Advance Payment Security

[Refer Clause 14.2 of GCC]

(On non-judicial stamp paper of appropriate value in accordance with stamp Act.

The stamp paper to be in the name of Executing Bank)

From

[Name and Address of the Bank]

To Managing Director, Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010

WHEREAS, according to the Conditions of the Contract, an advance payment is admissible to the contractor against submission of bank guarantee(s).

At the request of the Contractor, we [....name of the Bank....] with our branch at [....address....], having our Head Office at [....address....] (hereinafter called the Bank) have, at the request of [.....Insert name of the JV partner.....], a JV partner on behalf of the Contractor, agreed to give the said guarantee as hereinafter contained:

1. KNOW ALL MEN by these present that I/We the undersigned [....Insert name(s) of authorized representative(s) of the Bank....], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantees to pay the Employer

Section 8: Contract Forms Page 13 of 23

the sum of Rs./...value in figure..../(Rupees [...value in words..../ only(hereinafter called the Full Amount).

- 2. The Bank undertakes to immediately pay to the Employer, without any demur, reservation or recourse, any amount up to and including aforementioned full amount upon first written demand/demands from the Employer.
- 3. On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- The Bank shall pay the amount so demanded without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5. The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank, the Contractor or the Employer.
- The Bank agrees that no change, addition, modification to the terms of the Contract Agreement or to any document, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 7. This guarantee is valid and effective from the date of it's issue, which is [....date of issue....]. The guarantee and our obligations under it will expire on dated[....Please refer note 4 & 5....]. All demands for payment under the guarantee must be received by us on or before that date.
- The Bank agrees that the Employer's right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will continue until either the aforementioned full amount is paid to the Employer or the guarantee validity period expires.
- 9. The Bank agrees that it's obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- 10. The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- 11. The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.

	- · · · J · · · · · · ·		 	
-	F	-		
Dated	[

Section 8: Contract Forms Page 14 of 23 **IPRCL**

Place	[]
	(Signature of the Authorized Person of the Bank)
	(Name in Block Letters)
	(Designation)
	(Bank's Seal)
	(Authorization No.)
Witne	ess:
1.	Signature, Name & Address
2.	Signature, Name & Address
Note:	
1.	All italicized text in brackets [text] is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
2.	In case the guarantee is issued by a foreign Bank, the said bank shall have operations in India and should be issued by Indian operations branch of the said bank.
3.	The Bank Guarantee should be duly attested by Notary Public with notarial stamps of appropriate value affixed thereon.

4. Mobilization Advance under GCC 14.2.1:

(a) For Single Entity

For the 1st Installment of Advance, two Bank Guarantees of equal amounts (each equal to half of the first installment of advance plus 10%) shall be furnished. One Bank Guarantee shall be valid for a period equal to 40% of the stipulated completion period of the contract and the second Bank Guarantee shall be valid for a period equal to 60% of the stipulated completion period of the contract.

For the 2nd Installment of Advance, two Bank Guarantees of equal amounts (each equal to half of the second installment of advance plus 10%) shall be furnished. One Bank Guarantee shall be valid for a period equal to 80% of the stipulated completion period of the contract and the second Bank Guarantee shall be valid for the stipulated completion period of the contract.

OR

(b) For JV

For the 1st Installment of Advance, individual JV partner shall furnish Bank Guarantee equal to his share in the 1st installment of Advance plus 10%. Each Bank Guarantee shall be valid for a period equal to 60% of the stipulated completion period of the contract.

For the 2nd Installment of Advance, individual JV partner shall furnish Bank Guarantee equal to his share in the second installment of advance plus 10%. Each Bank Guarantee shall be valid for the stipulated completion period of the contract.

5. Advance against Plant and Machinery under GCC 14.2.2:

(a) For Single Entity

For each Installment of Advance, one Bank Guarantee equal to the installment of advance plus 10% shall be furnished. Each Bank Guarantee shall be valid for the stipulated completion period of the contract.

OR

(b) For JV

For each Installment of Advance, individual JV partner shall furnish a Bank Guarantee equal to his share in the installment of advance plus 10%.

Section 8: Contract Forms Page 16 of 23

CONSTRUCTION CONTRACT FOR RAIL CONNECTIVITY TO DRY PORT AT JALNA (AURANGABAD-JALNA SECTION)

Each Bank Guarantee shall be valid for the stipulated completion period of the contract.

Section 8: Contract Forms Page 17 of 23

Proforma for Bank Guarantee for Advance against Supply of Plant and Materials

[Refer Clause 14.5 of GCC]

(On non-judicial stamp paper of appropriate value in accordance with stamp Act. The stamp paper to be in the name of Executing Bank)

From [Name and Address of the Bank]

To

Managing Director, Indian Port Rail Corporation Ltd., 4th Floor, Nirman Bhavan, Mumbai Port Trust Building, M. P. Road, Mazgaon (E), Mumbai - 400 010

Beneticiary: Indian Port Rail Corporation Ltd.,	
Guarantee No.: [reference number of the guarantee]Dated:[

WHEREAS, Indian Port Rail Corporation Ltd., (hereinafter called the Employer) has entered into Contract No. [....reference number of the Contract....] dated [.............] for the execution of [name of the contract](hereinafter called the Contract) with [....name of the Contractor....](hereinafter called the Contractor).

WHEREAS, according to the Conditions of the Contract, an amount at the rate of 80% of the cost of the Plant and Materials (including delivery at site) is admissible to the contractor against submission of bank guarantee of equivalent amount.

At the request of the Contractor, we [....name of the Bank....] with our branch at [....address....], having our Head Office at[....address....] (hereinafter called the Bank) have, at the request of the Contractor, agreed to give the said guarantee as hereinafter contained:

- 1. KNOW ALL MEN by these present that I/We the undersigned [....Insert name(s) of authorized representative(s) of the Bank....], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantees to pay the Employer the sum of Rs. [....value in figure....] (Rupees [....value in words....] only (hereinafter called the Full Amount).
- 2. The Bank undertakes to immediately pay to the Employer, without any demur, reservation or recourse, any amount up to and including aforementioned full amount upon first written demand/demands from the Employer.
- **3.** On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.

Section 8: Contract Forms Page 18 of 23

- **4.** The Bank shall pay the amount so demanded without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- **5.** The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank, the Contractor or the Employer.
- **6.** The Bank agrees that no change, addition, modification to the terms of the Contract Agreement or to any document, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 8. The Bank agrees that the Employer's right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will continue until either the aforementioned full amount is paid to the Employer or the guarantee validity period expires.
- **9.** The Bank agrees that its obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- **10.** The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- **11.** The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.

	ed[] ee []	
		(Signature of the Authorized Person of the Bank)
		(Name in Block Letters)
		(Designation)
		(Bank's Seal)
		(Authorization No.)
Witn	ness:	
1.	Signature, Name & Address	

Section 8: Contract Forms Page 19 of 23

IPRCL

2.	Signature.	Name	& Address

Note:

- 1. All italicized text in brackets [....text....] is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
- In case the guarantee is issued by a foreign Bank, which does not have operations in India, the said bank shall have to provide a counter-guarantee by State Bank of India.
- 3. The Bank Guarantee should be duly attested by Notary Public with notarial stamps of appropriate value affixed thereon.

Section 8: Contract Forms Page 20 of 23

FORM OF BANK GUARANTEE FOR RELEASE OF BALANCE RETENTION MONEY

(On non-judicial stamppaper of theappropriatevalue in accordancewithstampAct. Thestamppaperto be in thename of Executing Bank).

From:
Name and Address of the Bank
То:
Managing Director,
Indian Port Rail Corporation Ltd.,
4th Floor, Nirman Bhavan,
Mumbai Port Trust Building,
M. P. Road, Mazgaon (E),
Mumbai - 400 010

WHEREAS, Indian Port Rail Corporation Ltd., (hereinafter called the Employer), acting through <u>[Insert Designation and address of the Employer's Representative]</u>, has entered into a contract with <u>[Insert Name and address of the Contractor's Representative]</u>,, (hereinafter called the Contractor), for the work of <u>[Insert Name of Work]</u>, vide Notification of Award No.<u>].</u>

a) WHEREAS as per conditions of contract Employer has deducted an amount of Rs. [Insert Amount deducted as retention money] towards retention money till date, and WHEREAS now the contractor has requested the Employer for releasing the said amount on submission of a bank guarantee of equivalent amount which has been accepted by the Employer.****

OR

WHEREAS as per conditions of contract Employer has deducted an amount of Rs. [Insert Amount deducted as retention money] towards retention money till date, out of a total amount of Rs. [Insert total Amount of retention money deductable as specified in the contract] which is due to be deducted as retention money as per Contract agreement and WHEREAS now the Contractor has requested the Employer to accept a bank guarantee of the equivalent amount of the total retention money due as per contract agreement so that the amount already deducted may be released in favour of the contractor and that no further deduction towards retention money will be made in future which has been accepted by the Employer. ****

Section 8: Contract Forms Page 21 of 23

- b) WHEREAS, [Insert Name of the Bank], with its Branch [Address] having its Headquarters office at [Address], hereinafter called the Bank, acting through [Designation(s) of the authorised person of the Bank], have, at the request of the contractor, agreed to give guarantee as hereinafter contained:
- 1. KNOW ALL MEN by these present that I/We the undersigned [Insert name(s) of authorized representatives of the Bank], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee the Employer to pay the full amount in the sum of [Insert Value of the Bank Guarantee being submitted] as above stated.
- 2. The Bank undertakes to immediately pay to the Employer any amount up to and including aforementioned full amount upon written order/orders from the Employer without any demur, reservation or recourse.
- 3. On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- **4.** The Bank shall pay the amount so demanded without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- **5.** The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Contractor.
- 6. The Bank agrees that no change, addition, modifications to the terms of the Contract Agreement or to any documents, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 7. This guarantee is valid and effective from the date of it's issue, which is [insert date of issue]. The guarantee and our obligations under it will expire on [Insert the date twenty eight days after the expected end of defect liability period.]. All demands for payment under the guarantee must be received by us on or before that date.

Section 8: Contract Forms Page 22 of 23

- 8. The Bank agrees that the Employers right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will continue until either the aforementioned full amount is paid to the Employer or the guarantee expires.
- **9.** The Bank agrees that it's obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- **10.** The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- **11.**The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.

Date Place	 [Signa	[Signature of Authorised person of Bank]	
	[Name in Block le	, ,	
	[Designation]	•	
	Address		
Witness:			
 Signature 			
Name & Addre	ss & Seal		
2. Signature		Bank's Seal	
Name & addre	ss & Seal	Authorisation No	

Note:

- 1) All italicized text is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
- 2) In case the guarantee is issued by a foreign Bank, the said bank shall have operations in India and should be countersigned by Indian operations branch of the said bank.
- 3) The Bank Guarantee should be duly attested by Notary public with notarial stamps of appropriate value affixed thereon.
- 4) **** strike out whichever is not applicable.

Section 8: Contract Forms Page 23 of 23

Instructions to Bidders (ITB)

Bid Data Sheet (BDS)

Evaluation & Qualification Criteria (EQC)

Bidding Forms

Works Requirements

General Conditions of Contract (GCC)

Special Conditions of Contract

Part A: Contract Data

Special Conditions of Contract

Part B: Specific Provisions

Contract Forms

PART I

Bidding Procedure

PART II

Work's Requirements

PART III

Conditions of Contract and Contract Forms

VOLUME I

VOLUME II Bill Of Quantities (BOQ)

VOLUME III DRAWINGS